



Into the PRIMARY HEALTHCARE SETTING



IMPLEMENTING TOBACCO CONTROL

INDIAN HEALTH SERVICE TOBACCO CONTROL TASK FORCE

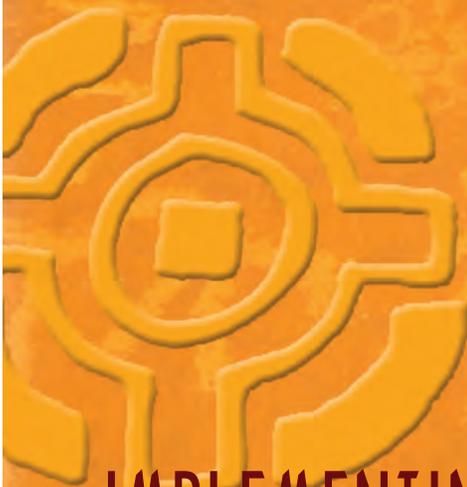


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INTO THE PRIMARY HEALTHCARE SETTING



Indian Health Service Tobacco Control Task Force
U.S. Department of Health and Human Services

Version 2

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Acknowledgement

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Associate Professor, Department of Anthropology, The University of Arizona

The Indian Health Service Tobacco Control Task Force and contributors to the *Implementing Tobacco Control into the Primary Healthcare Setting* Fieldbook wish to pay special tribute to Dr. Griffin-Pierce who passed away suddenly.

Dr. Griffin-Pierce is author of the Through Native Eyes introductions of the Fieldbook. Additionally, she selflessly contributed her original art work that introduces each Unit. She was of Catawba heritage with an unrelenting love of the Navajo culture nurtured since childhood and actualized by an adoptive Navajo family. She authored five published books about Native Americans and won awards for her artful publications. Dr. Griffin-Pierce was admired by her students and brought energy and spirit to every event involving the publication of this Fieldbook. We miss her presence as we revise this edition of the Fieldbook.....

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WELCOME MESSAGE



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Welcome to the Indian Health Service Tobacco Control Task Force Fieldbook. This fieldbook is a tool for the National Indian Health Service Tobacco Initiative Strategic Tobacco Plan. The ultimate goal is to work toward healthy American Indian and Alaska Native communities. We can only accomplish this multi-disciplinary vision by working in partnership with Tribal and Urban Indian communities. Working together, we can create healthier communities and families.

Nathaniel Cobb, MD
Chair, IHS Tobacco Control Task Force

Megan Wohr, RPh
IHS Tobacco Control Specialist

NATIONAL TOBACCO INITIATIVE INDIAN HEALTH SERVICE, TRIBAL, AND URBAN HEALTH SYSTEMS

Despite the tragic health consequences of smoking, physicians and other healthcare clinicians often fail to assess and treat tobacco use consistently and effectively. For instance, recent studies report that only half of tobacco users who saw a primary care physician over a one-year period were asked about their tobacco use and advised to quit (Goldstein et al, 1997; Robinson, Laurent, & Little, 1995). Yet in surveys analyzed by the American Academy of Family Physicians (AAFP) Tobacco Cessation Advisory Committee, 70% of family physicians said that they ask their patients about tobacco use and 40% said that they take action to help patients quit (Theobald & Jaen, 2006). As the U.S. Public Health Service Clinical Practice Guideline comments, “[t]his failure to assess and intervene consistently with tobacco users exists in the face of substantial evidence that even brief smoking cessation treatments can be effective” (Fiore et al, 2008). The Clinical Practice Guideline continues by stating that tobacco use

“*presents a rare confluence of circumstances: (1) a highly significant health threat; (2) a disinclination among clinicians to intervene consistently; and (3) the presence of effective interventions. This last point is buttressed by evidence that smoking cessation interventions, if delivered in a timely and effective manner, significantly reduce the smoker’s risk of suffering from smoking-related disease. Indeed, it is difficult to identify any other condition that presents such a mix of lethality, prevalence, and neglect, despite effective and readily available interventions (Fiore et al., 2008).*”

This fieldbook serves as a tool for the National Indian Health Service Tobacco Initiative Strategic Tobacco Plan. The plan is a reflection of a multidisciplinary vision for tobacco prevention and control within the Indian Health Service, Tribal, and Urban Indian programs. While preparing this plan, the Indian Health Service Tobacco Control Task Force team asked three primary questions:

- *How can the Indian Health Service serve its constituency on tobacco cessation, prevention, and control?*
- *What services and programs are missing?*
- *How can the Indian Health Service encourage a successful tobacco control environment among American Indian/Alaska Native people?*

These questions were within the current framework of the Indian Health Service, Tribal, and Urban Indian programs.

One of the **main foci** of this plan is to **establish tobacco use prevention and treatment networks**. These networks will help the Indian Health Service leadership to define the role it can play in contributing to eliminating tobacco use morbidity and mortality in American Indian/Alaska Native communities. The plan provides the opportunity for the Indian Health Service, Tribal, and Urban Indian facilities, as well as other federal partners and non-governmental organizations, to collaborate in tobacco control planning and implementation. It also presents a vision for how community participation in tobacco control can be fostered in a clinical setting, while incorporating not only treatment and clean indoor air policy, but also tobacco-free households. .

The core strength of this plan can be found in its **shared vision** with the public health environment, the clinical setting, and the American Indian/Alaska Native peoples at highest risk for tobacco dependence.

SMOKING	KILLS PEOPLE WE LOVE.
THAT'S WHY	
WE ASK ABOUT IT EVERY TIME.	
WE OFFER HELP IN QUITTING.	
WE FOLLOW UP AT EVERY VISIT.	



The ultimate goal of the Indian Health Service Tobacco Control Task Force is to work toward healthy American Indian/Alaska Native communities. The mission of this group, in partnership with American Indian and Alaska Native peoples, is to raise their physical, mental, social, and spiritual health to the highest level possible through prevention and reduction of tobacco-related diseases. We follow the guiding principles of being:

- » Multidisciplinary
- » Comprehensive
- » Collaborative
- » Inclusive
- » Culturally and Community Sensitive
- » Holistic
- » Accessible

The purpose of the Indian Health Service Tobacco Control Taskforce is to:

Establish and maintain an Indian Health Service-wide infrastructure for the effective development, implementation, and evaluation of tobacco dependence treatment educational programs.

- » Develop community-based programs and build relationships with existing tobacco prevention and education coalitions and alliances to enhance our ability to provide effective, affordable, state-of-the-art tobacco dependence treatment programs.
- » Design systems and processes that will be self-sustaining by the end of the project period.

Action steps the Tobacco Control Task Force is taking to ensure success:

- » Certifying individuals to deliver brief tobacco treatment interventions with all individuals who report using commercial tobacco.
- » Utilizing a certification model that (1) is consistent with the U.S. Public Health Service Clinical Practice Guideline: *Treating Tobacco Use and Dependence: 2008 Update*, (2) functions in concert with the Indian Health Service system, and (3) equips Indian Health Service personnel to build capacity in a “Train the Trainer” role.

- » Establishing networks of tobacco dependence initiatives throughout the Indian Health Service, Tribal, and Urban Indian facilities, using a “Training of Trainers” capacity-building distribution model and encouraging certified instructors to offer intervention services certification to health and human service providers, worksites, schools, and community health influencers.
- » Identifying, collecting, analyzing, maintaining, and reporting information on the current needs and resources for tobacco dependence treatment services in local communities and throughout the Indian Health Service, Tribal, and Urban Indian healthcare system.
- » Developing tobacco dependence treatment program materials designed for “Training of Trainers” that are specific to the needs of American Indian/Alaska Native communities and their primary health influencers.
- » Developing and implementing a system for delivering “Train the Trainer” workshops for communities interested in assisting others to quit tobacco through educational programs and evidence-based tobacco dependence treatment interventions.
- » Implementing educational tobacco control workshops for tobacco dependence treatment programs, wellness programs, and tribal programs that target health and human service providers and the communities they serve.
- » Evaluating the effectiveness of the proposed model by assessing process, impact, and outcome.

The content of the following units is modeled after several federal, state, and tribal efforts that have demonstrated success in implementing the National Cancer Institute’s **Five A Model** and identifying tobacco dependent clients in health and human service settings. This fieldbook is an opportunity to link with your healthcare providers and develop evidence-based interventions that will assist American Indian/Alaska Native patients who are dependent on commercial tobacco to seek help in quitting. The Tobacco Control Task Force members have endeavored to provide you with the resources and informational support that you need to make that first contact. As a result, you will be building relationships and developing integrated systems with healthcare providers at all levels to identify individuals who use and/or who are dependent on commercial tobacco, thus creating the comprehensive support required to assist them in improving their health by abstaining from commercial tobacco use.

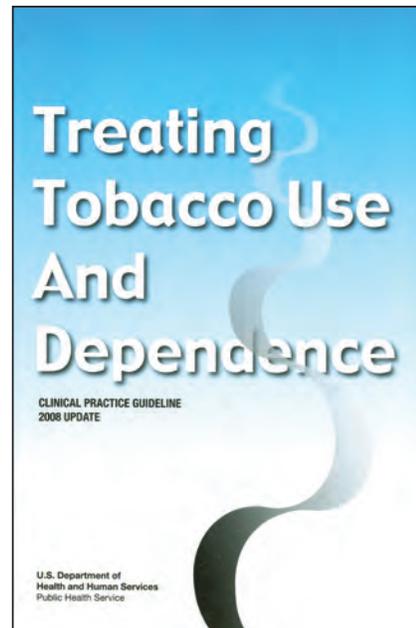


“ The number one challenge in healthcare today is taking the science and changing it into a culturally competent message that changes behavior.

–Richard Carmona, M.D., M.P.H., F.A.C.S.
17th U.S. Surgeon General

U.S. Public Health Service Clinical Practice Guideline

The U.S. Public Health Service Clinical Practice Guideline: *Treating Tobacco Use and Dependence: 2008 Update* provides the framework of information and practice that you will find throughout the fieldbook. Based on a comprehensive literature review, the Clinical Practice Guideline offers recommendations to assist clinicians and non-clinicians in identifying individuals who use and/or who are dependent on tobacco for the purpose of delivering effective interventions (Fiore et al., 2008).



The major findings of the Clinical Practice Guideline support the Task Force objectives, and are as follows:

- » Every person who uses commercial tobacco should be offered effective evidence-based tobacco cessation treatment at every encounter
- » Clinicians should ask about tobacco and record the tobacco use status of **every patient**
- » Brief tobacco dependence treatment, three minutes per visit, **is effective**
- » Intensive treatment is more effective in producing long-term abstinence from tobacco
- » Effective pharmacotherapies (i.e., non-nicotine and nicotine replacement therapy), clinician-delivered social support, and skills training are particularly effective components of tobacco cessation treatment
- » Health and Human Service systems should make institutional changes to systematically identify and intervene with all tobacco users at every visit

(Adapted from Fiore et al., 2008)



“Desire and intention are the most dynamic of our faculties; they do work. They are the true explorers of the infinite, the instruments of our ascent to the Creator. Reason comes to the foot of the mountain; it is the industrious who will be urged by the passionate heart which climbs the slope.”

– Evelyn Underhill

Each unit begins with a “Through Native Eyes” section. The purpose of these sections is to present American Indian/Alaska Native perspectives so that tobacco dependence treatment programs can be delivered in culturally respectful ways that reach American Indian/Alaska Native audiences. Anglo-American patients are used to being treated as individuals, but American Indian people feel isolation when they are singled out. This is why the most effective types of treatment are those that are community based. It is suggested that health and human service professionals make an effort to determine if a tribal wellness program exists in the community. If so, make tobacco dependence treatment an integral part of the program so that patients can be referred to the program for support.

Also, each unit ends with a “Reflection & Action” section for readers to reflect on the manual’s content and focus on potential actions for addressing tobacco use in their facility or community.

Posters

Visual learning is a key motivator to use when conducting a tobacco dependence intervention. For this reason, it is suggested that posters addressing commercial tobacco abstinence in American Indian/Alaska Native communities be placed in prominent locations throughout examination and waiting rooms. Waiting periods



present an ideal time to teach patients through eye-catching posters about the risks of commercial tobacco and the rewards of being tobacco free.

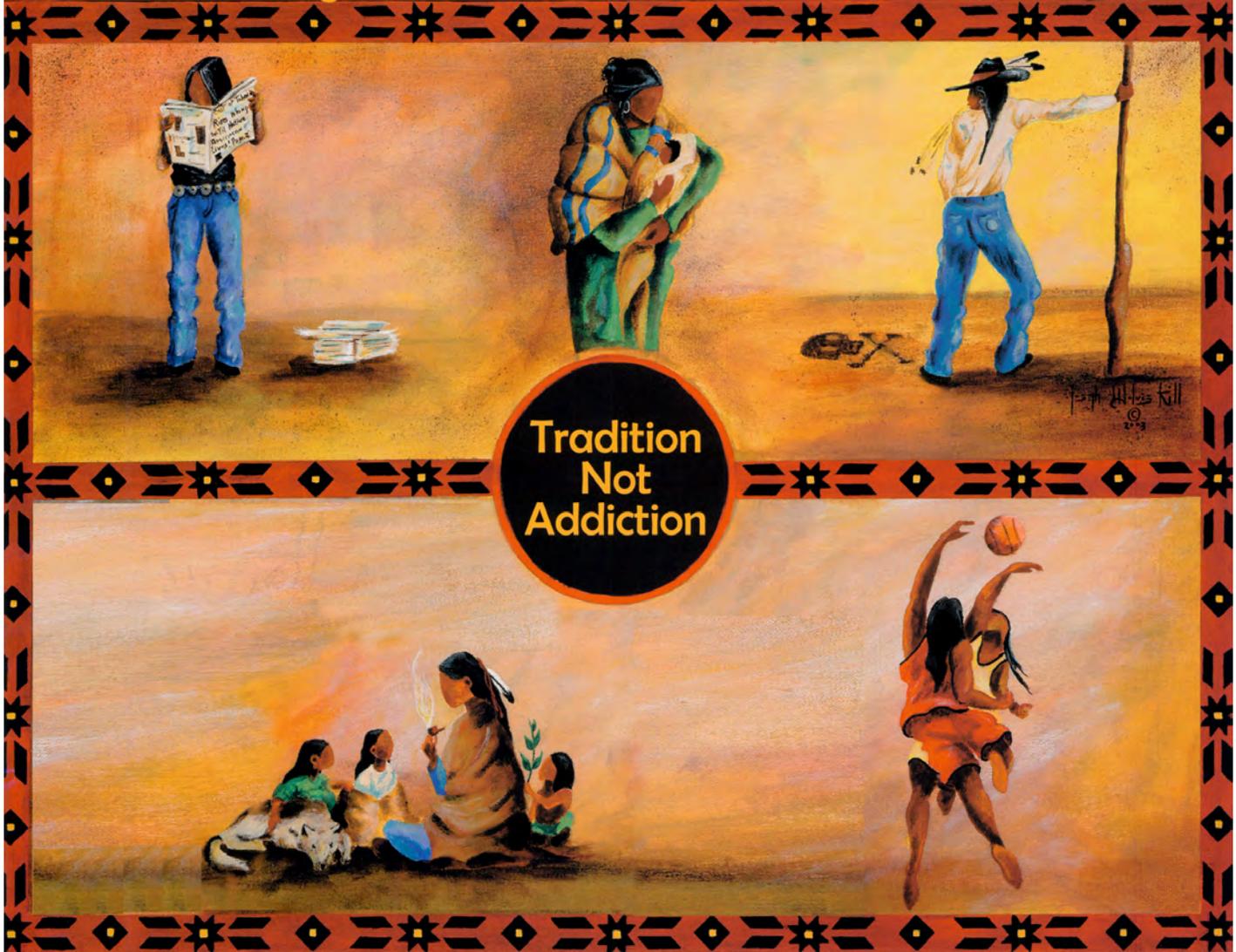
Posters have the potential to integrate commercial tobacco **INDEPENDENCE** as part of a healthy spiritual lifestyle based on traditional tribal values. Spiritual belief is a pervasive aspect of American Indian/Alaska Native cultures, although belief systems vary widely among tribal groups. The interconnections of all things means that humans, the Creator, and nature are closely related. This means that humans have a responsibility to show respect to other humans, the Creator, and beings in the natural world. Leading a whole and healthy life is the way the Creator intended for humans to live. Abstaining from commercial tobacco use shows respect for oneself, one's family, and the Creator.

Throughout this fieldbook, various posters are showcased to emphasize commercial tobacco abuse in the American Indian/Alaskan Native communities. In 1994 the IHS Cancer Prevention and Control Program sponsored a contest for Native artists to make tobacco control posters. Each IHS Area Tobacco Coordinator solicited entries from local artists, and chose the best from those submitted. Winners received a cash prize.

Suggested items to include on a patient education poster:

- *Impact of commercial tobacco use on family and community (“Stop for your children and the strength of your community”).*
- *The survival of future generations of American Indian/Alaska Native peoples is dependent upon halting the use of commercial tobacco.*
- *Freedom from commercial tobacco is part of a spiritual/traditional way of life (“The Creator did not intend for us to smoke except in ceremonies”).*
- *Breath is sacred and connects us with all living things (“Overall wellness depends on being free from commercial tobacco use, establishing an exercise routine, nourishing one’s body with healthy food, and connecting to one’s family”).*

Embrace your culture, health and children.



**Tradition
Not
Addiction**

End Tobacco Abuse.



Community Tobacco
Education and Prevention Program
Inter Tribal Council of Arizona, Inc.

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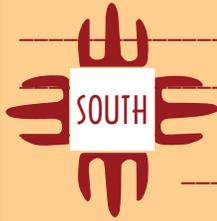
IMPLEMENTING TOBACCO CONTROL into the PRIMARY HEALTHCARE SETTING

REFLECTION

Action



THINKING – What information will help me to understand the health risks of commercial tobacco in my community?



PLANNING – What can I do?



INITIATING A PLAN – How will I do it?



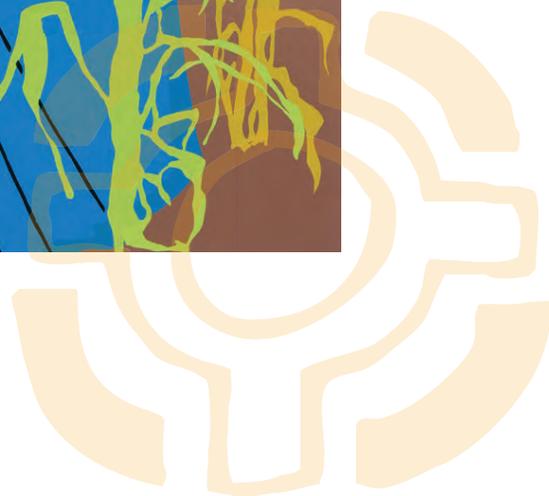
EVALUATING AND MAINTAINING – How will I know that the program is working?

TRADITIONAL AND COMMERCIAL TOBACCO

UNIT ONE



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The use of traditional tobacco, whether smoked or chewed, has a spiritual and/or social role in American Indian culture—commercial tobacco use dishonors that role. Many American Indian tribes use tobacco in a sacred way: for ceremonies, hospitality, healing, and offerings. It was not intended to be used in the form of cigarettes or chewing tobacco for personal pleasure. In contrast to traditional tobacco, commercial tobacco is an extremely addictive drug. Daily chronic use has caused commercial tobacco to become a health hazard among American Indian/Alaska Native people.

The use of traditional tobacco plants for spiritual, ceremonial, social, and/or medicinal purposes goes back thousands of years. Most indigenous nations have traditional stories explaining how tobacco was introduced to their communities, many of which emphasize the sacred properties of the plant, containing both the power to heal, if used properly, and the power to cause harm, if used improperly.

The population of American Indians and Alaska Natives in the United States in the year 2008 was 4,861,963 (U.S. Census Bureau Population Estimates, 2009). There are **562** federally recognized American Indian/Alaska Native tribes, plus an unknown number of tribes without federal recognition (U.S. Bureau of Indian Affairs, 2009). Each tribe has its own culture, beliefs, and practices. Alaska Native is a term used to describe people of Athabascan, Tsimpsian, Tlingit, Haida, Eskimo, and Aleut descent. The sacred use of traditional tobacco is rare in Alaska Native populations.

Tribal groups along the Eastern seaboard, from the Algonquians and Iroquoians of the Northeast to the Seminoles of Florida, planted native tobacco along with other traditional plants, such as corn, beans, and melons. However, it was not until some time after 1614 that Englishman John Rolfe introduced Orinoco tobacco to Virginia. Colonists soon recognized the potential monetary gain associated with tobacco



farming. However, tobacco, like cotton, quickly degrades the quality of local soil, and thus requires large tracts of land to maintain viability. The resulting land grab pushed the indigenous peoples of these areas farther and farther westward (California Rural Indian Health Board, Inc. [CRIHB], n.d.).

American Indians of nearly all regions used tobacco pipes and for Plains Indian people, such pipes were among the most important of sacred objects. While tribes were guardians of elaborate pipes used only for special ceremonies, performed for reasons such as ensuring a successful bison hunt, healing, or marking the beginning of peace or war, individuals did own their own pipes. Pipes were usually made of a highly valued stone known as red pipestone (catlinite). American Indians also made pipes of other materials, including steatite, argillite, limestone, serpentine slate, soapstone, calcite, chlorite, and shale for the pipe bowl, with ash or sumac for the stem. Some special pipes were carved into ornate sculptured shapes (CRIHB, n.d.).

The Sioux Lame Deer described his feelings when he was allowed to smoke his people's Buffalo Calf pipe:

“ I felt the pipe coming alive in my hand ... a power surging from it into my body, filling all of me.... When I smoked it I was at the center of all things, giving myself to the Great Spirit....

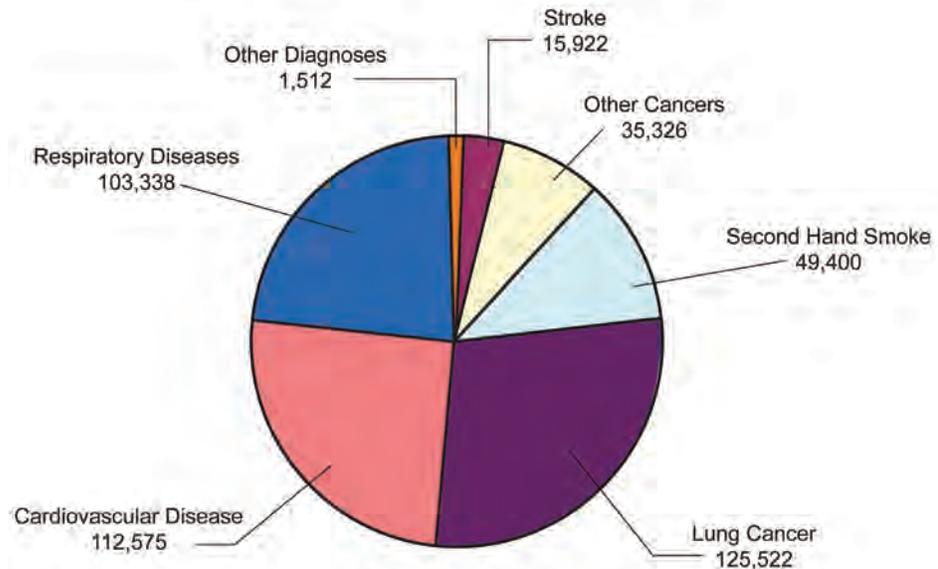
(Griffin-Pierce, 1995).

This historic and enduring relationship with traditional tobacco needs to be recognized and addressed when shaping meaningful, culturally respectful tobacco-related policies in American Indian communities that use traditional tobacco.



REFLECTIONS

443,595 U.S. Deaths Attributable Each Year to Cigarette Smoking *



* Average annual number of deaths, 2000–2004

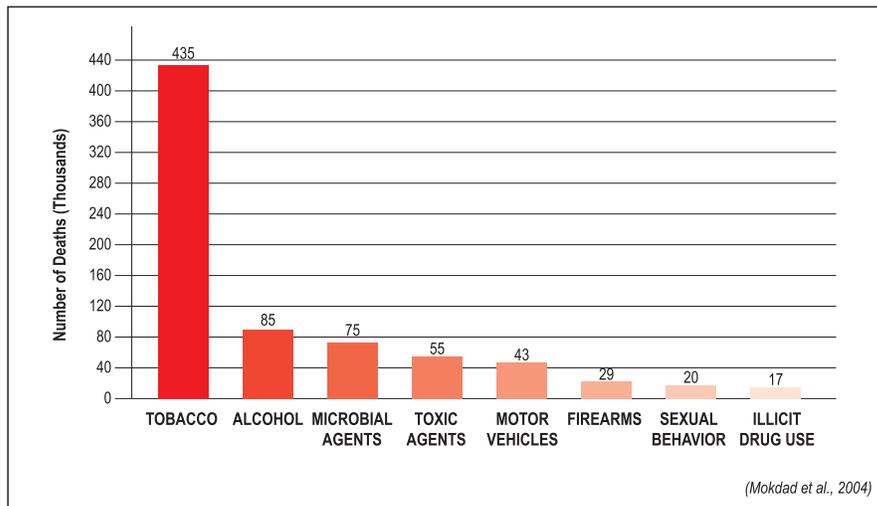
Source: MMWR 2008; 57(45):1226-1228.

(CDC, 2008a)

- » **One in five deaths** in the U.S. is tobacco-related.
- » Men who smoke lose on average 13.2 years of life and female smokers lose 14.5 years.
- » **Smoking is a known cause of:** cancer, heart disease, stroke, and chronic obstructive pulmonary disease (CDC, 2002).
- » Tobacco use is surprisingly widespread, given the health dangers it presents and the public's awareness of those dangers. In 2005, 20.9% of U.S. adults smoked cigarettes (CDC, 2006).
- » The estimated smoking-attributable cost for medical care in the United States exceeds **\$96 billion annually** (Campaign for Tobacco-Free Kids [CTFK], 2006a).
- » The estimated cost of lost productivity due to smoking-related disability in the United States exceeds **\$97 billion per year** (CTFK, 2006a).



Actual Causes of Death in the United States, 2000



Tobacco use kills significantly more people per year than any other form of preventable causes.

Smoking Prevalence Among U.S. Adult Ethnic/Racial Groups

U.S. Adult Ethnic/Racial Groups	Smoking Prevalence (%)	Men	Women
African American or Black	19.8	24.8	13.5
American Indian or Alaska Native	36.4	36.7	36.0
Asian	9.6	15.9	4.0
Hispanic or Latino	13.3	18.0	8.3
Native Hawaiian or Other Pacific Islander	–	–	–
White/Non-Hispanic	21.4	23.1	19.8

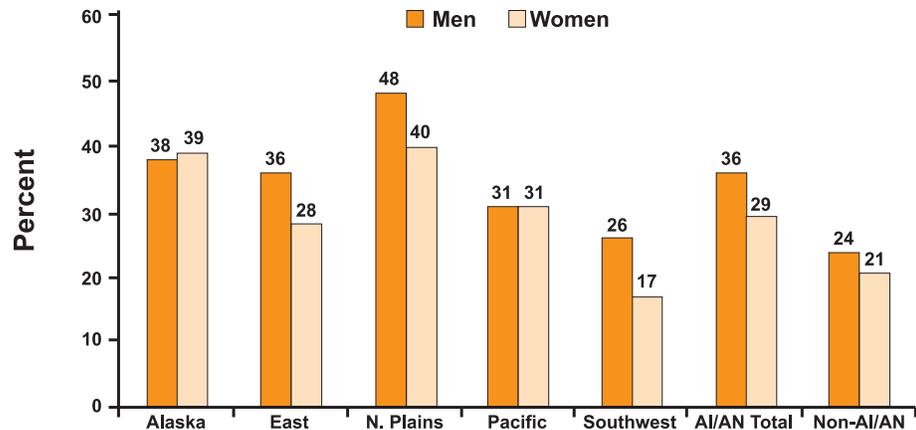
(CDC, 2007)

American Indian/Alaska Native groups have the highest reported tobacco use of any US ethnic group, with estimates ranging from 32%-40% (Morton et al., 2008).

REFLECTIONS

REFLECTIONS

Current Cigarette Smoking Among AI/AN Adult Populations by Region, *1997-2000



*Ever smoked at least 100 cigarettes and currently smoke

(Denny & Holtzman, 2000)

This data was collected from 36 states covered by the 12 IHS Area Offices from 1997 to 2000 through the Behavioral Risk Factor Surveillance System (BRFSS) (Denny & Holtzman, 2000).

There are *many resources* to help the cigarette smoker learn about the dangers of commercial tobacco and provide motivation to quit.

American Cancer Society: www.cancer.org/docroot/PED/ped_10_1.asp

American Lung Association, Smoking and AI/AN Fact Sheet:
www.lungusa.org/site/pp.asp?c=dvLUK9O0E&b=35999

American Legacy Foundation: www.becomeanex.org

American Indian/Alaska Native Women's Health: www.womenshealth.gov/minority/AmericanIndian/smoking.cfm

My Time To Quit: www.mytimetoquit.com

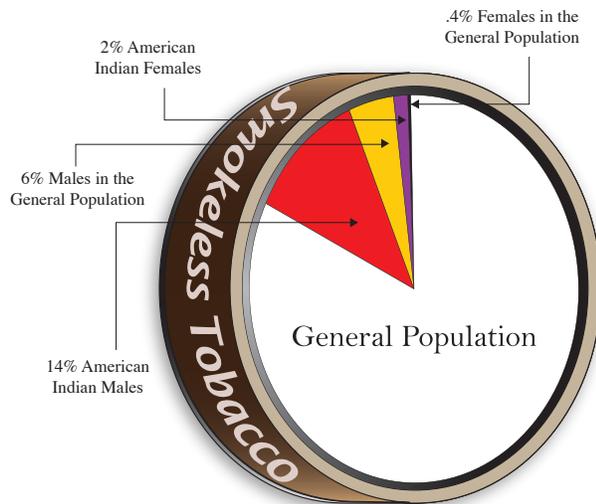
Stop Smoking Tips: www.stop-smoking-tips.com/reasons-quit-smoking-cigarettes.html

Chew Free: www.chewfree.com



Smokeless Tobacco

Fourteen percent (14%) of American Indian males and two percent (2%) of American Indian females use smokeless tobacco, as compared to just over six percent (6.0%) of males and four tenths of a percent (.4%) of females in the general population (CDC, 2007).



(CDC, 2007)

Nicotine in Smokeless Tobacco (Spit Tobacco)

Smokeless tobacco products generally have an average nicotine content of 7.11 mg/g to 11.04 mg/g (CDC, 1999). Smokeless tobacco users who dip or chew 8 to 10 times a day may be exposed to the same amount of nicotine as persons who smoke 30 to 40 cigarettes a day (CDC, 1999).

Peak nicotine levels with smokeless tobacco products usually occur within 30 minutes, with rapid absorption occurring within the first 10 minutes (Severson & Hatsukami, 1999). Holding a pinch of snuff in the mouth for 20–30 minutes yields nicotine levels 2 to 3 times the amount of nicotine delivered by a regular-size cigarette (United States Department of Health and Human Services [USDHHS], 1993).

REFLECTIONS

REFLECTIONS

Nicotine Absorption in Chewing

People who dip, chew, or smoke cigars and pipes absorb nicotine through the lining of the mouth (National Cancer Institute [NCI], 1998). An adult smokeless tobacco user averages 6 to 9 dips per day or approximately 2.6–3.8 tins per week (Hatsukami, Jensen, Boyle, Grillo, & Bliss, 1999). Iqmik chewed by some Alaska Natives is mixed with ash, enhancing the rate of absorption (Renner, Enoch, et al., 2005).

Tobacco used in these products is manipulated to enhance absorption (USDHHS, 2000; Douglas, 1997). To enhance absorption, sodium carbonate and ammonium carbonate are added to smokeless tobacco to increase the level of “free” nicotine in moist snuff by raising the pH level. Therefore, increasing the pH can increase the snuff user’s nicotine absorption rate (USDHHS, 2000).

Consequences of Smokeless Tobacco Use

- » Smokeless tobacco refers to snuff, chew, or spit tobacco that is used orally, but not smoked.
- » The Surgeon General’s Report, “Health Consequences of Using Smokeless Tobacco,” concluded, “Oral use of smokeless tobacco represents a significant health risk and is not a safe substitute for smoking cigarettes” (USDHHS, 1986).
- » Smokeless tobacco contains 28 cancer-causing agents (carcinogens). Smokeless tobacco use increases the risk of developing cancer of the oral cavity. Oral health problems strongly associated with smokeless tobacco use are leukoplakia and recession of the gums (CDC, 2005).
- » A National Cancer Survey conducted from 1969 to 1971 showed that moderate users of smokeless tobacco are 4 times more likely, and heavy users are 7 times more likely, to develop mouth cancer than nonusers (Hoffmann, Harley, Fisenne, Adams, & Brunnemann, 1986; Severson & Hatsukami, 1999).



REFLECTIONS

- » Regular users of smokeless tobacco are more likely to develop:
 - » Bad breath
 - » Tooth staining
 - » Tooth damage
 - » Gingivitis (inflammation of the gum)
 - » Gum recession (gum loss may lead to tooth and bone loss)
 - » Leukoplakia and erythroplasia (white patches or sores in the mouth that may lead to cancer)
 - » Various forms of mouth cancer
 - » Atherosclerosis and thrombosis
 - » Stomach and duodenal ulcer
 - » Prostate cancer
 - » Pancreatic cancer
 - » Cervical cancer
 - » Crohn's disease
 - » Ulcerative colitis(Winn, 1997; Severson & Hatsukami, 1999; Mitchell, Sobel, & Alexander, 1999)
- » Young smokeless tobacco users are 9 times more likely to develop gum recession than nonusers. They also have 6 times the risk of developing gingivitis and 2.4 times the risk of experiencing dental caries (Offenbacher & Weathers, 1985).
- » The prevalence of leukoplakia (white patches that may lead to mouth cancer) is as high as 78% in daily smokeless tobacco users, as compared to 6.3% in nonusers of smokeless tobacco (Little et al., 1992). The severity of these lesions is associated with frequency of use, amount used per week, and number of years of regular use (Offenbacher & Weathers, 1985).
- » Blood pressure and heart rate increase significantly when people use smokeless tobacco (Westman, 1995).
- » Smokeless tobacco use leads to nicotine addiction (Severson & Hatsukami, 1999; Mitchell et al., 1999).
- » Current use in the U.S. was estimated at 3.2% among adults in 2007 (SAMHSA, 2008).

REFLECTIONS

- » The 2005 National Survey on Drug Use and Health states, the prevalence of **previous or current smokeless tobacco use** is 18.4% in the total population, 32.3% of men and 5.3% of women having ever used smokeless tobacco (Substance Abuse and Mental Health Services Administration [SAMHSA], 2006).

Smoke-Free Laws and New Products on the Market

As States enact smoke-free laws and the harmful health problems caused by commercial tobacco use are emphasized to the general public, “novel” nicotine replacement products for tobacco users are marketed as a means to reduce health risks to the user and those around them. Products that are being marketed include, but are not limited to:

- » Electronic Cigarette or “E-Cigarette”
- » Nicabate PRE-QUIT
- » Nicotine Water
- » Snus

Although these products are promoted as a means to reduce the health hazards of smoking, preliminary research indicates that the products may offer few health benefits and could introduce new risks (Buchhalter & Eissenberg, 2000; Cobb, Weaver & Eissenberg, 2009). Additionally, the products are unregulated, untested in this country and not approved by the Food and Drug Administration, which has sanctioned other nicotine-supplying substitutes such as patches and gum.

In a joint statement, the American Cancer Society Cancer Action Network, the American Heart Association, the American Lung Association and the Campaign for Tobacco-Free Kids blasted e-cigarettes for being “marketed towards young people, who can purchase them in fruit flavors and online, without having to verify their ages” (Leiby, R. 2009).



Previous or Current Smokeless Tobacco Use

Past Year, and Past Month among Persons Aged 12 or Older, by Demographic Characteristics: Percentages, 2004 and 2005

Demographic Characteristics	Time Period					
	Lifetime		Past Year		Past Month	
	2004	2005	2004	2005	2004	2005
Total	18.6	18.4	4.1	4.3	3.0	3.2
Age						
12–17	7.1	7.1	4.3	4.4	2.3	2.1
18–25	21.4	20.8	8.0	8.5	4.9	5.1
26 or Older	19.6	19.5	3.3	3.5	2.7	3.0
Gender						
Male	32.3	32.3	7.7	8.1	5.8	6.1
Female	5.7	5.3	0.6	0.8	0.3	0.4
Ethnicity/Race						
White	22.5	22.3	5.1	5.3	3.8	3.9
Black or African American	10.2	10.4	1.6	2.1	1.3	1.6
American Indian/Alaska Native	34.9	35.1	6.7	10.4	4.2	8.5
Native Hawaiian/Other Pacific Islander	*	8.6	*	2.7	*	2.5
Asian	5.2	5.5	1.3	0.9	0.6	0.5
Two or More Races	23.4	16.9	3.8	3.9	3.0	2.7
Hispanic or Latino	8.1	8.8	1.4	1.8	0.8	1.0
*Low precision; no estimate reported.						

(Adapted from SAMHSA, 2006)

Environmental Tobacco Smoke (Second-hand Smoke)

Working or living in a smoke-filled environment can be almost as harmful as smoking. People who work in bars or casinos where smoking is allowed may be particularly at risk because of the daily exposure to environmental tobacco smoke.

REFLECTIONS

REFLECTIONS

Environmental Tobacco Smoke Is Toxic

Four thousand (4000) chemical compounds have been identified in environmental tobacco smoke; **200 are poisons** and more than **50 cause cancer** .

Cancer-Causing Chemicals *are extremely toxic*

- » Formaldehyde
- » Benzene
- » Polonium-210
- » Vinyl Chloride

Poison Gases *can cause death, affect heart and respiratory functions, burn throat, lungs, and eyes and cause unconsciousness*

- » Carbon Monoxide
- » Hydrogen Cyanide
- » Butane
- » Ammonia
- » Toluene

Toxic Metals *can cause cancer, death, and damage the brain and kidneys*

- » Chromium
 - » Arsenic
 - » Lead
 - » Cadmium
- (USDHHS, 2006)



*Known animal or human carcinogen.

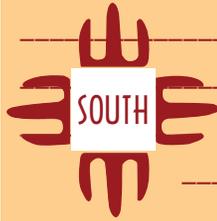
(UA Healthcare Partnership, 2007)

REFLECTION

Action



THINKING – What information will help me to understand the health risks of commercial tobacco in my community?



PLANNING – What can I do?



INITIATING A PLAN – How will I do it?



EVALUATING AND MAINTAINING – How will I know that the program is working?

TOBACCO DEPENDENCE TREATMENT

UNIT TWO



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Asking patients about their use of commercial tobacco products can be a sensitive and uncomfortable encounter for both patient and clinician. Therefore, it is recommended that clinicians first ask patients for permission before reviewing the role of traditional tobacco and the health risks of commercial tobacco use.

A conversation about commercial tobacco products may lead to questions about traditional tobacco use. Be prepared by learning about the traditional cultural uses of tobacco by the people in the area in which you work.

The people of Zuni Pueblo in New Mexico have developed an innovative approach to alcohol and substance abuse treatment that can be tailored to tobacco treatment interventions. Part of the treatment program includes a 30-, 60-, or 90-day mandatory physical workout at the Zuni Wellness Program's gym. Zuni has extensive physical fitness programs for older American Indians. For more information, contact:

Indian Health Service, Eldercare Initiative

Zuni PHS Hospital, P.O. Box 467, Zuni, NM 87327

Phone: (505) 782-7357 FAX: (505) 782-7405

www.ihs.gov/MedicalPrograms/ElderCare

It is important to remember that something pleasurable cannot be taken away successfully without the substitution of something else. Exercise, clinician assistance, behavioral assistance, overall wellness, and community support are essential in successfully treating tobacco dependence.

For example, a sweat lodge program, if offered in the community, can be a useful tool for clinicians when assisting their patients. A sweat lodge is used in many American Indian cultures for purification. Thus, it is a unique and culturally appropriate adjunct to the treatment of tobacco dependence, because the sweat lodge experi-

ence provides a visceral whole-body experience of cleansing the entire body. The experience of “doing a sweat” is a spiritual, shared experience, which helps overcome the sense of isolation often felt by patients attempting to abstain from tobacco use, among other challenges. Feelings of isolation are replaced by a sense of community, as evidenced by the tribal songs and prayers that are spoken during a sweat lodge experience.

Making a Plan to Quit

In many American Indian/Alaska Native cultures, such as the Diné (Navajo), a project is undertaken in a series of four steps. Each step is associated with a direction:

 represents thinking about the project,  represents active planning of how the goal will be accomplished,  represents initiating the project, and  represents carrying through and continuing the project.

Four is a sacred number to most tribal people, which is why it feels comfortable to have plans presented to American Indian/Alaska Native people in **four steps**, with a **circular diagram** of the **four directions** and a **step associated with each of the directions**.

Talking Circle

The Talking Circle is used by many tribes to discuss important issues and to promote equality among participants. Equality is achieved by arranging the group in a circle, thus eliminating the superior position at the head of the table. Respect is established by allowing only one participant to speak at a time. To identify the speaker, participants pass around an object, such as a stone, feather, or basket. When the person holds the object, he or she is empowered to speak his or her own truth: in other words, that person’s truest beliefs. The talking circle can be used as ongoing support through scheduled meetings or as an intervention to address the health risks of commercial tobacco use.

Screening and Assessment for Tobacco Use

The first step in treating tobacco use and dependence is to identify tobacco users. **This simple step increases the rates of clinician intervention, and can be an important motivator for smokers attempting to quit** (Fiore et al., 2008).

Hence, clinicians and healthcare systems are urged to use the health-care facility visit for universal screening, assessment, and intervention. Specifically, every patient/client is asked if s/he uses tobacco (**Ask**), all tobacco users are advised to quit (**Advise**), and all tobacco users are assessed for their willingness to make a quit attempt (**Assess**). These three steps, which are part of a five step model (called the **Five A Model** and fully described in this Unit), screens for current or past commercial tobacco use, and results in four possible responses:

- » Patient/client uses tobacco and is willing to make a quit attempt at this time
- » Patient/client uses tobacco and is unwilling to make a quit attempt at this time
- » Patient/client once used tobacco but has since quit
- » Patient/client never regularly used tobacco

Once a tobacco user is identified through these steps, the clinician determines the type and intensity of tobacco dependence treatment needed.

Levels of Intensity in Tobacco Dependence Treatment Interventions

The U.S. Public Health Service Clinical Practice Guideline: *Treating Tobacco Use and Dependence: 2008 Update* defines minimal, low-intensity (brief), and high-intensity (intensive) interventions in tobacco dependence treatment (Fiore et al., 2008).

REFLECTIONS

REFLECTIONS

Minimal Interventions

Minimal tobacco dependence interventions are defined as **being less than three minutes long with little to no significant personal interaction** (e.g., handing out informational brochures at a health fair). Minimal interventions may not have a significant effect upon clients/patients, but they are a low-cost method of reaching many people.

Low-Intensity Counseling (Brief Interventions)

The brief interventions practiced in low-intensity counseling are **3 to 10 minutes** in length and **require personal interaction** with the ultimate goal of assisting one or more individuals in quitting commercial tobacco products. There are two types of brief interventions, individual and group:

- » Individual Brief Interventions are based on the **“Five A Model”** (**Ask, Advise, Assess, Assist, and Arrange**), first developed by the National Cancer Institute and later expanded upon in the U.S. Public Health Service Clinical Practice Guideline: *Treating Tobacco Use and Dependence: 2008 Update*. Use of the Five A Model for brief interventions has been shown to be effective (Fiore et al., 2008).
- » **Group Brief Interventions** are short presentations used to raise awareness of the health consequences of tobacco dependence and present the core components of a simple Quit Plan.

High-Intensity Counseling (Intensive Interventions)

Intensive interventions are **multi-session** treatment programs that are aimed at helping people quit using tobacco. The U.S. Public Health Service Clinical Practice Guideline stresses that intensive treatments must last at least **two weeks**, consist of sessions **longer than 10 minutes**, contain **four or more sessions**, have total contact time of **more than 30 minutes**, and be **scientifically proven effective** (Fiore et al., 2008).



REFLECTIONS

Intensive interventions are facilitated by certified providers who assess an individual's willingness to quit; teach about health risks, benefits, and pharmacotherapy; and emphasize the importance of practical counseling and social support.

- » There is a strong dose-response relationship between treatment effectiveness and the number of sessions, the session length, and the total amount of contact time.
- » Higher-intensity counseling produces significantly higher quit outcomes than minimal or low-intensity counseling.
- » Any contact time produces significantly higher quit outcomes over no contact, with 31–90 minutes producing the highest abstinence rates.

(Fiore et al., 2008)

The Five A Model (Brief Interventions)

The research evidence and current best practice is clear—**upon every contact, all clinicians should ask their patients if they use tobacco, and advise every person who uses tobacco to quit** (Fiore et al., 2008). Brief interventions can be implemented effectively by a wide range of health professionals (e.g. physicians, nurses, respiratory therapists, dentists, health educators, community health lay workers, pharmacists, etc.). The universal application of brief interventions in healthcare systems ensures that all tobacco users are identified and provided effective treatment.

Evidence-based tobacco dependence treatment interventions put this recommendation into action. **Evidence-based interventions include the following:**

- » Applying the Five A Model, developed by the National Cancer Institute and recommended by the U.S. Public Health Service (Fiore et al., 2008)

REFLECTIONS

- » Integrating evidence-based theoretical models, including the Stages of Change Model (Prochaska & DiClemente, 1983) and Motivational Interviewing (Miller & Rollnick, 2002)

“ The Counseling and Behavioral Interventions Work Group of the United States Preventive Services Task Force (USPSTF) was convened to address adapting existing USPSTF methods to issues and challenges raised by behavioral counseling intervention topical reviews.... The task force concluded that no simple empirically validated model captures the broad range of intervention components across risk behaviors, but the Five A construct—assess, advise, agree, assist, and arrange—adapted from tobacco cessation intervention [ask, advise, assess, assist, and arrange] in clinical care provides a workable framework to report behavioral counseling intervention review findings (Whitlock, Orleans, Pender, & Allan, 2002).

Not Stage Dependent (refers only to Ask and Advise)	
ASK	Ask about present & past use of tobacco and exposure to environmental tobacco smoke (vital signs)
ADVISE	Offer clear, strong, personalized advice to quit
ASSESS	Assess willingness to quit, using the Stages of Change Model
ASSIST	Provide assistance in quitting through stage-based interventions and motivational interviewing
ARRANGE	Arrange for follow-up and offer local and national resources

(Adapted from Heim, Strayer, Nadkarni, & Turner, 2004)

REFLECTIONS

Ask

Ask about tobacco use at every encounter. Make identifying tobacco use a part of standard clinical practice. The U. S. Public Health Service Clinical Practice Guideline: *Treating Tobacco Use and Dependence: 2008 Update* recommends institutionalizing a system to identify all tobacco users at every visit. For example, healthcare facilities can expand the collection of vital signs to include asking about tobacco use and exposure to environmental tobacco smoke. This procedure provides a useful way to ensure proper documentation of tobacco use and tobacco dependence treatment in the patient's medical record (Fiore et al, 2008).

Keep it simple. As a time-efficient and routine part of intake, use one or a combination of these questions:

- » Do you smoke cigarettes?
- » Do you chew tobacco?
- » Have you ever smoked or used commercial tobacco products?
- » What type of tobacco do you use?
- » Does anybody in your house smoke or chew commercial tobacco?
- » Are you exposed to environmental tobacco smoke elsewhere during your day?

Advise

In a clear, strong, and personalized manner, urge every patient who uses commercial tobacco to quit.

- » Congratulate those who have quit.
- » Congratulate those on a tobacco-free lifestyle, home, and environment.
- » Give clear, strong, and personalized advice to quit:
 - » **Clear:** Advise the individual to quit smoking or chewing completely.
 - » **Strong:** Explain that quitting commercial tobacco use is the single most important way to protect themselves and their family.



REFLECTIONS

- » **Personalized:** Make advice relevant to the individual when explaining the benefits of quitting and the consequences of continued tobacco use.
- » Motivate quit attempts by discussing the following:
 - » Health effects and benefits of quitting for pregnant women, children, and families
 - » Risks of smoking to fetal health
 - » Risks of environmental tobacco smoke exposure to other children or family members
 - » Current health issues
 - » Cost of tobacco products
 - » Personal appearance: effects of tobacco on hair, skin, clothes, and breath

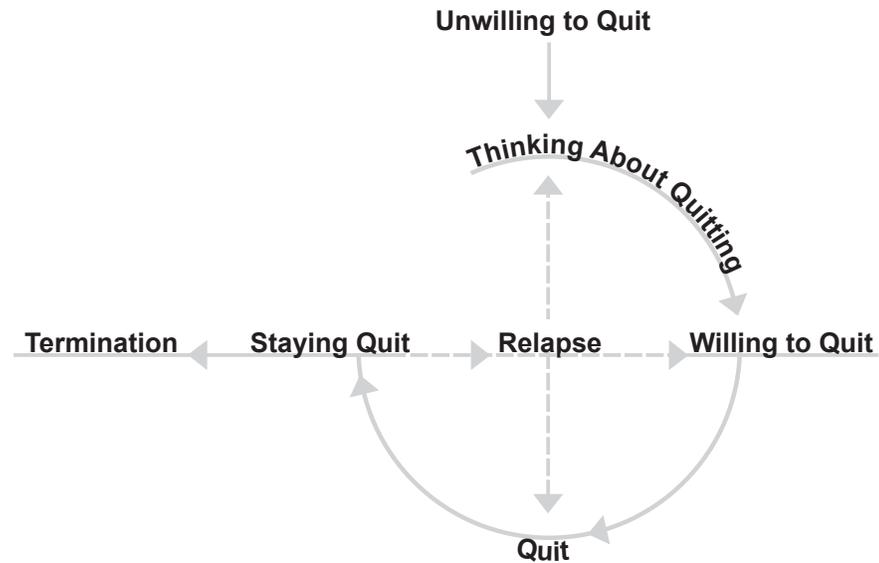
Assess

Determine the patient’s willingness to make a quit attempt within the next 30 days using the “Stages of Change Model.” (Prochaska, Redding, & Evers, 2002; DiClemente et al., 1991; Prochaska & DiClemente, 1983).

- » **Ask, “Are you willing to set a quit date within the next 30 days?”**
 - » If the patient clearly states that they are **unwilling to make a quit attempt within the next 30 days**, offer information to move the person toward thinking about quitting.
 - » Move to the strategies outlined in the next section on **ASSIST/Unwilling to Quit.**
 - » If the patient is **willing to make a quit attempt**, move to the strategies in the next section on **ASSIST/Willing to Quit.**
- » Approximately 40% of people who use tobacco are unwilling to quit and 40% are thinking about quitting (Prochaska et al., 2002)

REFLECTIONS

Approximately 20% of people who use tobacco are willing to quit (Prochaska et al., 2002).



(Adapted from Prochaska et al., 2002)

Detail of The Stages of Change Model		
Precontemplation	(Unwilling to Quit)	Has no intention to quit within the next 6 months
Contemplation	(Thinking About Quitting)	Intends to quit within the next 6 months
Preparation	(Willing to Quit)	Willing to set a quit date within the next 30 days
Action	(Quit)	Has quit using tobacco for less than 6 months
Maintenance	(Staying Quit)	Has remained tobacco free for more than 6 months
Termination	(Living Quit)	No longer giving in to urges and has total self-efficacy
Relapse*	(Relapse)	A return to regular tobacco use; may be less than, equal to, or greater than the beginning level of tobacco use

*Not part of the core construct Stages of Change
(Adapted from Prochaska et al., 2002)



Assist

Assist the individual to quit commercial tobacco. How you assist each individual depends on their willingness to set a quit date. If he or she is **unwilling to quit**, follow the Unwilling to Quit steps below, including **motivational interviewing** strategies using the **Five R's**. If he or she is **willing to quit**, follow the Willing to Quit steps that follow to develop a quit plan.

REFLECTIONS

Unwilling to Quit

People who are unwilling to make a quit attempt at the time of the intervention may be ready the next time. With these individuals, communicate in a motivational manner.

Practice Motivational Interviewing Strategies

Motivational interviewing requires the clinician/non-clinician to develop five primary skills:

- **Express empathy.** Be non-judgmental; listen reflectively; accept ambivalence; see the world through the patient's eyes. Accurately understanding the patient's experience can facilitate change.
- **Develop discrepancy.** Help the patient perceive the difference between present behavior and desired lifestyle change. Patients are more motivated to change when they recognize that their current path will not lead them to their future goal.
- **Avoid argumentation.** Gently diffuse patient defensiveness. Confronting a patient's denial can lead to drop-out and relapse. When a patient demonstrates resistance to change, switch your strategies.

REFLECTIONS

- **Roll with resistance.** Reframe patient's thinking/statements; invite patient to examine new perspectives; value patient as being his or her own agent of change.
- **Support self-efficacy.** Provide hope; increase patient's self-confidence in ability to change behavior; highlight other areas where patient has been successful.
(Miller & Rollnick, 2002)

For more evidence-based practice and theory on Motivational Interviewing, visit www.motivationalinterview.org/sitemap.html and/or www.aztreattobacco.org.

Use the Five R's

The U.S. Public Health Service Clinical Practice Guideline: *Treating Tobacco Use and Dependence: 2008 Update* (Fiore et al., 2008) explains that effective advice in a tobacco dependence treatment intervention should include the **Five R's**:

- » **Relevant** information
- » Emphasis on **Rewards** and **Risks**
- » Identification of **Roadblocks**
- » **Repetition** at each encounter

Relevance

- » Make the information **relevant** to the patient's situation.
- » The greatest impact is achieved if it is **relevant** to a patient's disease status or risk, family or social situation (e.g., having children in the home), health concerns, age, gender, and other important patient characteristics (e.g., prior quitting experience, personal barriers to abstinence).

Rewards

- » Ask the patient to reflect on the potential **benefits** of quitting tobacco.
- » Suggest and highlight those **benefits** that seem most relevant to the patient.



REFLECTIONS

Risks

- » Ask the patient to reflect on potential **negative consequences** of using tobacco.
- » Address those **risks** that seem most relevant to the patient.

Roadblocks

- » Identify and address **barriers** to quitting tobacco use.
- » Barriers may include withdrawal symptoms, fear of failure, weight gain, lack of support, and depression.

Repetition

- » **Repeat** the motivational interview every time the patient visits the healthcare setting.
- » Inform the patient that you will **continue to ask** about his or her tobacco use and advise him or her to quit at each visit.

Examples:

- » **Clear** – “The most important health decision you can make for you and your family is to quit smoking. I will help you! Cutting down while you are ill is not enough.”
- » **Strong** – “As your clinician, I need you to know that quitting smoking is the most important thing you can do to protect your current and future health.”
- » **Personalized** – Tie smoking to current health or illness, the social and economic costs of tobacco use, motivation level or willingness to quit, and the impact of smoking on children and others in the household: “Would you be willing to take a look at this brochure? It talks about smoking and respiratory illness. Smoking may trigger your daughter’s asthma. Quitting will help.”

REFLECTIONS

Willing to Quit

People willing to make a quit attempt at the time of the encounter need assistance in developing a quit plan. Assist them by starting a Quit Plan, including the following steps:

STAR

- » Set a quit date (ideally within 2 weeks)
- » Tell family, friends, and coworkers and ask for support
- » Anticipate challenges, including nicotine withdrawal symptoms
- » Remove tobacco products from home and work environments
- » Discuss **problem-solving methods** and skills for quitting, including the following:
 - » Quitting through total abstinence
 - » Learning from past quit experiences
 - » Anticipating triggers or challenges
 - » Avoiding alcohol use
 - » Encouraging other tobacco users in the home to quit
 - » Developing coping skills through the **Four D's** (**Drink water**, **Deep breathe**, **Delay**, and **Do something else**)
- » Offer **social support**:
 - » Provide encouragement
 - » Communicate caring and concern
 - » Encourage dialogue about the quitting process
 - » Provide support through phone calls or letters
- » Facilitate **support from friends, family, and coworkers**:
 - » Help identify sources of support and practice requesting support from others
 - » Discuss community resources and quitlines (1-800-QUIT-NOW)
 - » Invite spouses, partners, and support people to quit-smoking sessions
 - » Assign a “buddy system”
- » Provide self-help materials

Brief Intervention Flow Chart

The Brief Intervention Flow Chart is a tool that outlines the recommended steps to take when providing brief interventions. The Flow Chart is divided into three panels to help you deliver brief interventions that match a person's willingness to quit tobacco.

Start Here

Step 1
Ask the individual about their commercial tobacco use at every encounter:

- Do you smoke commercial tobacco?
- Do you chew commercial tobacco?
- Do people smoke commercial tobacco in your home or work?

Tips:

- Have a system. Make asking routine and simple.
- Let the person know that you ask about their current tobacco use.
- Be prepared to answer questions about traditional tobacco.

Step 2
Advise all individuals tobacco to quit.

- Clear.** Advise the individual to quit completely.
- Strong.** Explain that tobacco use is the single largest preventable cause of death and disability.
- Personalized.** Make the individual aware of their own risk of quitting and the consequences of continuing to use commercial tobacco.

Unwilling to Quit ...

Step 3
Assess willingness to make a quit attempt, by asking "Are you willing to set a quit date within 30 days?"

if their answer is... No

Step 4
Assist the individual to think about quitting commercial tobacco in the future.

- Individuals who are unwilling to quit today may be willing the next time you see them.
- Do not pressure the individual into quitting.

Willing to Quit ...

if their answer is... Yes

Step 4
Assist the individual by starting a Quit Plan.

- Use the "Stay Healthy–Life Matters" Self-Help Quit Plan to guide the intervention.
- Offer the individual the "The Strength to Quit" Mini Pocket Guide to keep as a reminder of their reasons to quit.

Quit Plan
Assist people dependent on tobacco by:

- Helping Them Set A Quit Date:** _____/_____/_____
- Identifying Support Persons Within Health System:** _____
- Developing Problem-Solving Skills**
 - Practice some suggestions from "Before Quitting."
 - Keep "After Quitting" handy after your quit date.
- Providing Medication Cards:**
 - Talk about medication options as appropriate.
 - Except for people who smoke fewer than 10 cigarettes per day, pregnant/breastfeeding women, and adolescents.
- Offering Self-Help Materials:**
 - "The Strength to Quit" Mini Pocket Guide
 - "Stay Healthy, Life Matters" Self-Help Quit Plan
- Referring to Intensive Services through:**
National Quitline: 1-800-QUIT-NOW
or Other Cessation Services: _____

Intervention Flow Chart

Ask

- Ask if they use commercial tobacco using the multiple choice questions in Step 1 (over)

Current → No → Congratulate!
Yes → Advise

Advise

- Encourage every person using commercial tobacco to quit in a clear, strong, personalized manner.

Assess

- Willing to set Quit Date within 30 days?

No → Assist Unwilling to Quit
Yes → Assist Willing to Quit

Assist Unwilling to Quit

- Provide a motivational intervention using the 5 R's: Relevance, Rewards, Risk, Roadblocks and Repetition.

Assist Willing to Quit

Assist with Quit Plan:

- Quit Date
- Support Persons
- Problem-Solving Resources
- Self-Help Materials
- Referrals to Intensive Services

Arrange

- Remind person that you will ask in the future.
- Arrange for follow-up after Quit Date.

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Basic Tobacco Intervention Skills for Native American Health

Provider Tool Clinician Flow Chart

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(UA HealthCare Partnership, 2009)

Abbreviated Fagerström Test for Nicotine Dependence

1. How soon after you wake up do you smoke your first cigarette?

Answer	Score
Within 5 minutes	3
6-30 minutes	2
31-60 minutes	1
After 60 minutes	0

2. On average, how many cigarettes do you smoke per day?

Answer	Score
10 or less	0
11-20	1
21-30	2
31 or more	3

Scoring

Nicotine	Total
Dependence Level	Score
Very Low	0-2
Low	3
Medium	4
High	5
Very High	6

Assessing Nicotine Dependence

An individual who is more dependent on nicotine may have greater difficulty in quitting tobacco products (Fagerström & Schneider, 1989), and may benefit more from intensive tobacco dependence treatment (Niaura & Abrams, 2002).

Simply asking a person if s/he uses tobacco may not be enough information to determine their treatment needs. A brief and effective assessment of a person's current tobacco dependence level can be performed using the **Fagerström Test for Nicotine Dependence** (Heatherton, Kozlowski, Frecker, & Fagerström, 1991).

- » Time To First Cigarette
- » Cigarettes Per Day

* To see **full Fagerström Test for Nicotine Dependence**, go to page 53 in Unit 3, *Intensive Interventions*.

REFLECTIONS

CO Monitors

CO Levels	
Nonsmoker	≤6 ppm
Danger Zone	7-10ppm
Smoker	11-15ppm
Frequent Smoker	16-25ppm
Heavy Smoker	26-35ppm
Heavily Addicted Smoker	36-50ppm
Dangerously Addicted Smoker	52ppm

(adapted from Farren et. al., 2004)

Carbon monoxide monitors are a simple way to measure a tobacco user's CO level. The test involves blowing into a small device through a disposable cardboard tube. The level of CO may vary according to

how many cigarettes have been smoked, as well as how recently the person has smoked before the test is administered. The benefits of stopping smoking may be demonstrated by encouraging the tobacco user to blow into the monitor **24 hours** after quitting cigarettes. Within 24 hours of quitting, his or her level of CO will have dropped to the level of a nonsmoker. This is the **one tangible benefit** of stopping smoking that can be demonstrated objectively to the new former smoker—especially at such an early stage in the quitting process!

A CO monitor is also an effective educational tool for use in schools, prenatal healthcare facility, and presentations to the public on the dangers of smoking.

REFLECTIONS

Knowledge Is Not Enough

According to the Centers for Disease Control and Prevention (1993), more than 70% of smokers wish to quit (Fiore et al., 2008). Of those, the majority report quitting on their own. However, only about 7% achieve long-term success (Fiore et al., 2008).

The National Cancer Institute projects that if physicians assisted even 10% of their patients who use tobacco in quitting, the number of people who use tobacco in the United States would drop by an additional 2 million people annually (Fiore, Pierce, Remington, & Fiore, 1990).

Tobacco Free Nurses (2005) state: "If the 2.2 million working nurses in the U.S. each helped **one** person per year quit smoking, nurses would **triple** the U.S. quit rate".

Brief tobacco interventions delivered by multiple persons (including both medical and non-medical persons) are more effective in helping people quit using tobacco than minimal interventions alone: 23.6% versus 10.8%, respectively (Fiore et al., 2008).

By delivering a stage-appropriate Five A Model brief intervention of approximately three minutes (*Ask, Advise, Assess, Assist and Arrange*), you have the potential of increasing a patient's likelihood of quitting tobacco by at least 60% (Fiore et al., 2008)!

You DO have a positive effect when you provide brief tobacco dependence treatment interventions, as described by the U.S. Public Health Service Clinical Practice Guideline: *Treating Tobacco Use and Dependence: 2008 Update*.

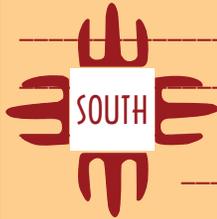


REFLECTION

Action



THINKING – What information will help me to understand the health risks of commercial tobacco in my community?



PLANNING – What can I do?



INITIATING A PLAN – How will I do it?



EVALUATING AND MAINTAINING – How will I know that the program is working?

INTENSIVE TOBACCO DEPENDENCE INTERVENTIONS



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UNIT THREE





Peacemaker

“When the soul collects all its interior powers within, and when the body collects all its external senses and unites them to the soul, the Creator approaches and breathes into this union quietude and peace.”

—Father Andrew Leonard Winczewski, O.S.B.

The Diné (Navajo) Nation has successfully used a traditional institution known as the Peacemaker Court to deal with disputes. This model can be adapted as an intervention model for tobacco dependence. The process begins by inviting all members of the patient’s family, as well as anyone who has been affected by the patient’s tobacco use, to join together with the person whose dependence has caused pain to others. The group then forms into a talking circle, establishing the equality of each member, including the person who uses tobacco.

The tobacco user’s son or daughter may speak up and say, “I hate the way you smell when you smoke. I don’t want to hug you or kiss you goodnight.” The tobacco user’s mother or father might say, “I worry about what will happen to you. I want you to live to be old and to carry on the stories. But when I hear that cough you always cough, I know that you will not be around to hear the wisdom I want to share with you. How will our traditions get carried on?”

A close friend might say, “What about the future generations of our people? Cancer that comes from smoking is killing more of us than white people ever did. And we are doing it to ourselves. This isn’t the way the Creator meant for us to live. This is something under our control, something we can do to feel better and live healthier lives.”

Intensive Tobacco Dependence Treatment Interventions

As currently defined by the U.S. Public Health Service Clinical Practice Guideline: *Treating Tobacco Use and Dependence: 2008 Update* (Fiore et al., 2008), an intensive tobacco dependence treatment intervention includes the following components:

Assessment	Assessments ensure willingness to make a quit attempt and can provide information useful in counseling (e.g., stress level, presence of comorbidity, nicotine dependence level).
Program Clinicians	Multiple types of clinicians are effective and should be used.
Program Intensity	Because of evidence of a strong dose-response relation, the intensity of the program should be: <ul style="list-style-type: none"> » Session length – longer than 10 minutes. » Number of sessions – 4 or more sessions. » Total contact time – longer than 30 minutes.
Program Format	Either individual or group counseling may be used. Proactive telephone counseling is also effective. Use of adjuvant self-help material is optional. Follow-up assessment intervention procedures should be used.
Type of Counseling and Behavioral Therapies	Counseling and behavioral therapies should involve practical counseling (problem solving/skills training) and intra-treatment and extra-treatment social support (see pages 56–57 of this fieldbook).
Pharmacotherapy	Every tobacco user should be encouraged to use pharmacotherapies as presented in this fieldbook, except in the presence of special circumstances. Special consideration should be given before using pharmacotherapy with selected populations (e.g., pregnancy, adolescents). The clinician should explain how these medications increase smoking abstinence success and reduce withdrawal symptoms. Pharmacotherapy agents include nicotine gum, nicotine inhaler, nicotine lozenge, nicotine nasal spray, nicotine patch, bupropion SR, and varenicline.
Population	Intensive intervention programs may be used with all tobacco users willing to participate in such venues.

(Adapted from Fiore et al., 2008)

Common Elements of Practical Counseling (Problem Solving/Skills Training)

Practical Counseling Treatment Component (Problem Solving/Skills Training)	Examples
Recognize Danger Situations – Identify events, internal states, or activities that increase the risk of slip or relapse.	<ul style="list-style-type: none"> » Negative affect » Being around other tobacco users » Drinking alcohol » Experiencing urges » Being under time pressure
Develop Coping Skills – Identify and practice coping or problem-solving skills. Typically, these skills are intended to help cope with “danger” situations.	<ul style="list-style-type: none"> » Learning to anticipate and avoid temptation » Learning cognitive strategies that will reduce negative moods » Accomplishing lifestyle changes that reduce stress, improve quality of life, or produce pleasure » Learning cognitive and behavioral activities to cope with smoking urges (e.g, distracting attention)
Provide Basic Information – Provide basic information about tobacco use and successful quitting.	<ul style="list-style-type: none"> » Any tobacco use (even a single puff) increases the likelihood of a full relapse » Withdrawal symptoms typically peak within 1–3 weeks after quitting » Withdrawal symptoms include negative mood, urges to smoke, and difficulty concentrating » Tobacco use is addictive in nature

(Adapted from Fiore et al, 2008)



Common Elements of Intra-Treatment Supportive Interventions

Supportive Treatment Component	Examples
Encourage the patient in the quit attempt.	<ul style="list-style-type: none"> » Note that effective tobacco dependence treatments are now available » Note that one-half of all people who have ever smoked have now quit » Communicate belief in patient's ability to quit
Communicate caring and concern.	<p>Ask how patient feels about quitting:</p> <ul style="list-style-type: none"> » Directly express concern and willingness to help » Be open to the patient's expression of fears of quitting, difficulties experienced, and ambivalent feelings
Encourage the patient to talk about the quitting process.	<p>Ask about:</p> <ul style="list-style-type: none"> » Reasons the patient wants to quit » Concerns or worries about quitting » Success the patient has achieved » Difficulties encountered while quitting

(Adapted from Fiore et al, 2008)

Common Elements of Extra-Treatment Supportive Interventions

Supportive Treatment Component	Examples
Teach patient in support solicitation skills.	<ul style="list-style-type: none"> » Show videotapes that model support skills » Practice requesting social support from family, friends, and coworkers » Aid patient in establishing a smoke-free home
Prompt support seeking.	<ul style="list-style-type: none"> » Help patient to identify supportive others » Call patient to remind him or her to seek support » Inform patient of community resources such as hotlines and helplines
Arrange outside support.	<ul style="list-style-type: none"> » Mail letters to supportive others » Call supportive others » Invite others to treatment sessions » Assign patients to be "buddies" for one another

(Adapted from Fiore et al, 2008)

REFLECTIONS

Fagerström Test for Nicotine Dependence

The Fagerström Test for Nicotine Dependence can be used in a clinical and/or community tobacco dependence treatment setting as part of a the intervention.

Facilitators can use The Fagerström Test for Nicotine Dependence to assess clients' level of nicotine dependence as part of a single or multi-session tobacco dependence treatment program.

The Fagerström Test for Nicotine Dependence is also used on an individual basis with the tobacco user to:

- » Establish a relationship between nicotine dependence and the severity of resultant withdrawal symptoms.
- » Modify the intervention provided to increase the likelihood of successful long-term abstinence from cigarette smoking.
- » Increase the tobacco user's awareness of certain negative aspects of being nicotine dependent.
- » Determine evidence-based tobacco dependence treatment, including pharmacotherapy.

Fagerström Test for Nicotine Dependence		
Questions	Answers	Points
How soon after you wake up do you smoke your first cigarette?	<ul style="list-style-type: none"> » Within 5 minutes » 6-30 minutes » 31-60 minutes » After 60 minutes 	<ul style="list-style-type: none"> 3 2 1 0
Do you find it difficult to refrain from smoking in places where it is forbidden, e.g., in church, at the library, at the movies?	<ul style="list-style-type: none"> » Yes » No 	<ul style="list-style-type: none"> 1 0
Which cigarette would you hate most to give up?	<ul style="list-style-type: none"> » The first one in the morning » All others 	<ul style="list-style-type: none"> 1 0
How many cigarettes a day do you smoke?	<ul style="list-style-type: none"> » 10 or less » 11-20 » 21-30 » 31 or more 	<ul style="list-style-type: none"> 0 1 2 3
Do you smoke more frequently during the first hours after waking than during the rest of the day?	<ul style="list-style-type: none"> » Yes » No 	<ul style="list-style-type: none"> 1 0
Do you smoke if you are so ill that you are in bed most of the day?	<ul style="list-style-type: none"> » Yes » No 	<ul style="list-style-type: none"> 1 0

(Heatherton et al., 1991)

Scoring

The Fagerström Test for Nicotine Dependence (FTND) has five categories. The greater the score, the greater the likelihood of nicotine dependence. The point system is as follows:

Category	Score
Very Low	0 – 2
Low	3 – 4
Medium	5
High	6 – 7
Very High	8 – 10

REFLECTIONS

Who Uses the Instrument?

May be used by:

- » Cessation facilitators
- » Counselors
- » Community health workers
- » Dentists
- » Nurses
- » Pharmacists
- » Physicians
- » Psychologists
- » Social workers
- » Other allied health and human service professionals

Brief Test For Provider's Use

If time is an issue: (see **Abbreviated Test** on page 38)

- » Question 1, Time to First Cigarette (TTFC)
- » Question 4, Cigarettes per Day (CPD)
(Heatherton, Kozlowski, Frecker, Rickert, & Robinson, 1989;
Fagerström, Heatherton, & Kozlowski, 1990)

Quitting!

- » Is not an act—it is a process
- » Does not take an enormous effort of will—it takes organized planning and action
- » Is facilitated by using a variety of strategies

Why Can't I Just Quit?

The Three-Link Chain of Tobacco Dependence

The pathophysiology of tobacco dependence is complex and differs from person to person. A simple model, the “Three-Link Chain of Tobacco Dependence,” can help providers remember that *biological*, *psychological*, and *sociocultural* factors contribute to tobacco dependence (Christen & Christen, 1994).

Biological Factors

- » Tobacco use results in true drug dependence (on nicotine), which is comparable to the dependence caused by heroin, speed, and cocaine (Fiore et al., 2008).
- » Specific gene variations may contribute to nicotine addiction (Volkow, 2006).
- » Researchers have found that certain genes can predispose adolescents to tobacco addiction. For example, a gene called DRD2 partly determines whether an adolescent who takes a first puff on a cigarette will progress to regular smoking. Adolescents who carry one of the two known forms of the gene (A1) are more likely than those with the other variant (A2) to become daily smokers (Audrain-McGovern, Lerman, Wileyto, Rodriguez, & Shields, 2004).
- » Nicotine affects essential brain structures associated with feelings of reward and arousal.
- » Changes in brain structure and function persist long after the person stops using tobacco.



REFLECTIONS

A vertical column of 20 horizontal lines for reflections, set against a light blue background.

REFLECTIONS

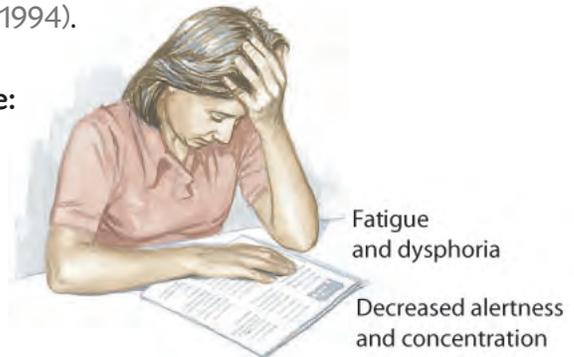
- » The addictive power of nicotine may reinforce the learned behaviors that form tobacco-use patterns and make users more resistant to change.
- » Craving for nicotine may be so intense that patients continue to smoke despite serious health consequences.

Withdrawal Symptoms

Withdrawal symptoms from tobacco are associated with both abrupt cessation and reduction in tobacco use. Withdrawal symptoms appear within hours of the last use of tobacco, are generally most severe within the first 2 weeks, and may reoccur in the form of cravings for months or even years (Hughes, 1994).

Withdrawal symptoms include:

- » Depressed mood
 - » Insomnia
 - » Irritability, frustration, or anger
 - » Anxiety
 - » Difficulty concentrating
 - » Restlessness
 - » Decreased heart rate
 - » Increased appetite or weight gain
- (APA, DSM-IV, 1994)



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HEALTH

Psychological Factors

- » Conditioned drug-taking behaviors, reinforced by physical dependence, are thought to be central to the concept of addiction (USDHHS, 1988).
- » Tobacco products are often used as a result of environmental cues. Lighting up or dipping becomes an automatic behavior. People may not even realize that they are using the product.

REFLECTIONS

- » Tobacco is used as a coping mechanism. People use tobacco to handle stress, or when they feel lonely, bored, happy, or angry.
- » Nicotine may also be used to “self-medicate” underlying problems such as schizophrenia, depression, anxiety, attention deficit disorder, or stress (Saccho et al., 2005; Anda et al., 1999; Pomerleau & Pomerleau, 1984).

Coping Mechanism Cues

Tobacco is used as a coping mechanism to handle emotions, such as:

- » Stress
 - » Loneliness
 - » Boredom
 - » Anger
 - » Depression
 - » Pain
 - » Anxiety
- (Ferguson, 1987)



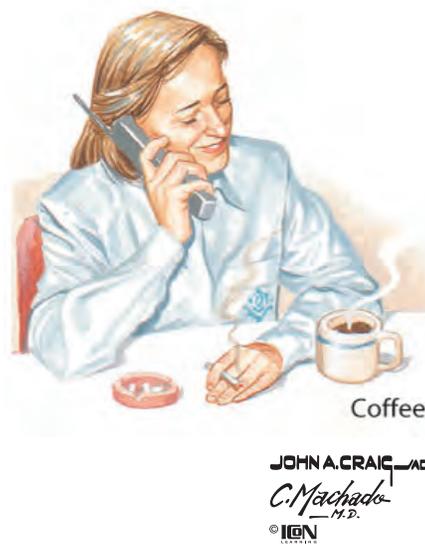
Environmental Cues

Tobacco use is triggered, or cued, by activities of daily living:

- » Using the telephone
- » Putting on makeup
- » Driving a car
- » Watching television
- » Waiting for the school bus
- » After a movie
- » Having a cup of coffee
- » After each meal
- » Reading the mail
- » Socializing at bars
- » Taking a break at work

(Ferguson, 1987)

Social
interaction



REFLECTIONS

Sociocultural Factors

Tobacco plays an important role in our society. It may be part of identifying with a group, or a regular part of social activities or cultural practices (Christen & Christen, 1990).

Tobacco use becomes a part of many activities of daily living and rituals. This contributes to the difficulty of breaking the addiction (Fisher, Lichtenstein, & Haire-Joshu, 1993).

Smoking may be associated with pleasurable activities and feelings, or relief of unpleasant feelings.

Conditioning (Association)

- » Social interaction
- » Alcohol consumption
- » Cultural practices

Cultural Backgrounds

When working with people from diverse cultural backgrounds, keep in mind:

- » Age
- » Behaviors
- » Cultural norms
- » Educational differences
- » Family relations
- » Language
- » Learning styles
- » Practices
- » Religious beliefs

Treat tobacco addiction as a chronic disease.

Why Do You Smoke Scale					
Statement	Never 1	Seldom 2	Occasionally 3	Frequently 4	Always 5
A. I smoke to keep from slowing down.					
B. I feel more comfortable with a cigarette in my hand.					
C. Smoking cigarettes is pleasant and enjoyable.					
D. I light up a cigarette when something makes me angry.					
E. When I run out of cigarettes, it's almost unbearable until I get more.					
F. I smoke cigarettes automatically without being aware of it.					
G. When I'm tired, smoking perks me up.					
H. I enjoy getting a cigarette out of the pack and lighting up.					
I. Smoking makes good times better.					
J. Smoking relaxes me in a stressful situation.					
K. I am very aware of not smoking when I don't have a cigarette in my hand.					
L. I light up a cigarette without realizing I have one burning in an ashtray.					
M. I reach for a cigarette when I need a lift.					
N. I like to watch the smoke when I exhale.					
O. I want a cigarette most when I am comfortable and relaxed.					
P. When I'm depressed I reach for a cigarette to feel better.					
Q. When I haven't smoked for a while I get a gnawing hunger for a cigarette.					
R. I find a cigarette in my mouth and don't remember putting it there.					

(NCI, 1993)



Scoring

Add the scores for each category (reason for smoking). A score of 11 or more indicates an important reason for smoking, prompting the patient to identify alternatives to tobacco use and lifestyle changes. The higher the score (15 is the highest), the more important the reason. If a high score is obtained in more than one area, the quitting process may be more difficult.

Category	Score
Stimulation	A + G + M
Handling	B + H + N
Pleasure	C + I + O
Relaxation/Tension Reduction	D + J + P
Craving	E + K + Q
Habit	F + L + R

Never = 1 Seldom = 2 Occasionally = 3 Frequently = 4 Always = 5

Stimulation

“Smoking gives me more energy”

Many people use tobacco like they use coffee: to help them wake up, get moving, and keep going when they feel worn out. The nicotine in tobacco, like the caffeine in coffee, is a stimulant. However, there are other ways to get more energy. When someone quits, he or she needs to find substitutes that stimulate.

Handling

“I like to touch and handle cigarettes”

This type of person gets physical pleasure from handling cigarettes and the rituals of smoking. It just “feels right” to have a cigarette in his or her hand or mouth. In fact, many people who smoke say they’ve gone back to smoking because “I had nothing to do with my hands.” They may like to handle a cigarette, lighter, or matches, or watch the smoke. There may be other parts of the ritual of smoking that also foster dependence. Overcoming this obstacle can make it easier to quit smoking and remain smoke-free.

REFLECTIONS

REFLECTIONS

Pleasure

“Smoking is a pleasure”

Almost 2 out of 3 people who smoke say that they just plain enjoy smoking. When you associate smoking with “the good times,” it can strengthen the addiction to nicotine. But it can be easier to quit when focusing on enjoying life without tobacco.

Relaxation/Tension Reduction

“Smoking helps me relax when I’m tense or upset”

Many people who use tobacco do so to help them through bad times. Some find it easy to stop when things are good, but tough when things go wrong. If someone has used cigarettes as a coping mechanism, finding another way to cope with stress can help that person stay quit.

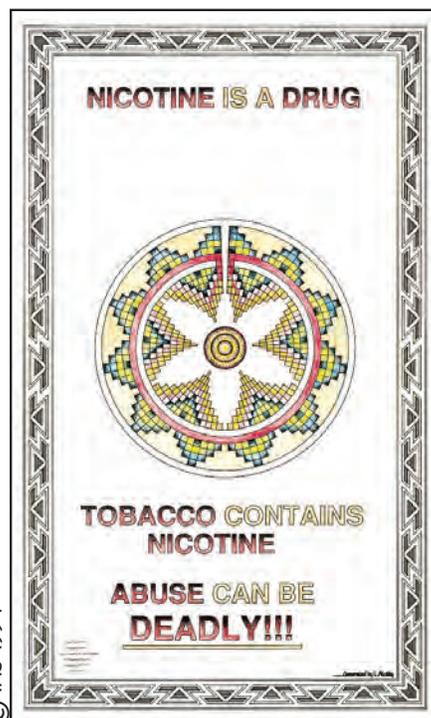
Craving

“I crave cigarettes; smoking is an addiction”

Many people who use tobacco are addicted or “hooked” on the nicotine in tobacco. When they quit, many go through a withdrawal

period. They may have both physical symptoms (feeling tired and irritable, headaches, and nervousness) and a psychological or emotional need for a cigarette. One ex-smoker compared his continued craving for cigarettes to the longing that one feels for a lost love.

Overcoming an addiction to tobacco is a tumultuous process. Nicotine addiction changes the brain, which makes addiction so difficult to overcome. When a



© IHS 1994

REFLECTIONS

person is biochemically addicted, the body craves nicotine and becomes uncomfortable when the level of nicotine in the blood drops. The craving for another cigarette begins to build the moment that the last one is extinguished. However, with evidence-based treatments, people succeed in remaining free from tobacco. Often, individuals will have numerous quit attempts before a lifetime quit.

Habit

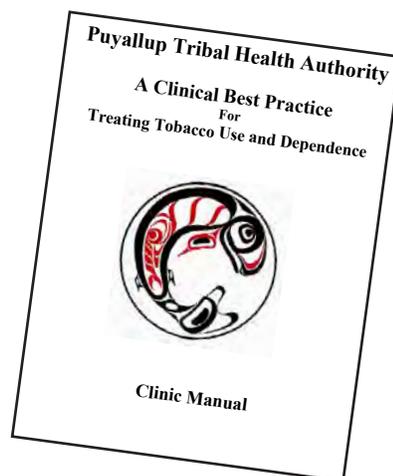
“Smoking is a habit”

This type of person who uses tobacco no longer gets much satisfaction from cigarettes. He or she smokes automatically. Chances are that only a fraction of the cigarettes smoked are enjoyed. Unlike people who smoke for pleasure, this person might not miss it very much if he or she stopped. The key is thinking through the smoking patterns and substituting alternative activities.

Validated Intensive Tobacco Dependence Treatment Programs Specific to American Indian/Alaska Native Community Members

Puyallup Tribal Health Clinic (PTHA) Intensive Intervention System

The Puyallup Tribal Health Clinic (PTHA) in Tacoma, Washington decreased smoking rates by 26% in a 6-year period after integrating the U.S. Public Health Service Clinical Practice Guideline: *Treating Tobacco Use and Dependence* into standard clinical practice. Healthcare facility visits for upper respiratory infections, coughs, and asthma decreased 60% over the same time period. Information on the healthcare facility system and accompanying programmatic materials is available by emailing nancy@eptha.com or by calling Nancy Meyer at 253-593-0232, ext 513.



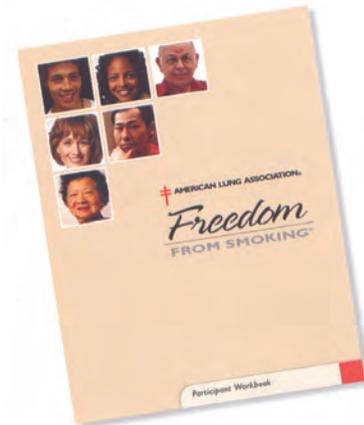
REFLECTIONS

Second Wind: An Intensive Stop-Smoking Curriculum for American Indians/Alaska Natives

Second Wind is an intensive stop-smoking curriculum specifically designed for American Indian/Alaska Native people. Developed by the Muscogee Creek Nation Tobacco Prevention & Control Program, Second Wind provides basic information about smoking, practical counseling, problem-solving skills, and social support. For more information, contact the Muscogee Creek Nation Tobacco Prevention & Control Program at 1801 East 4th St., Okmulgee, OK 74447 or **1-800-782-8292, ext. 285**. You can visit their website at www.theburningissue.org.



The American Lung Association offers a validated face-to-face Tobacco Dependence Treatment intensive program, *Freedom from Smoking*. For more information about the American Lung Association or to support the work it does, call **1-800-LUNG-USA** (1-800-586-4872) or log on to www.lungusa.org.



(Reprinted with permission ©2007 American Lung Association)

The American Cancer Society also offers a face-to-face validated intensive program, *Freshstart*. You can contact the American Cancer Society at **1-800-ACS-2345** or visit www.cancer.org.



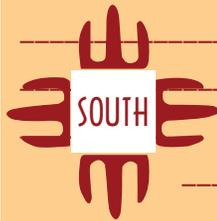
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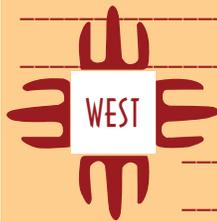
Action



THINKING – What information will help me to understand the health risks of commercial tobacco in my community?



PLANNING – What can I do?



INITIATING A PLAN – How will I do it?



EVALUATING AND MAINTAINING – How will I know that the program is working?

SETTING UP A TOBACCO DEPENDENCE TREATMENT PROCESS IN YOUR FACILITY

UNIT FOUR



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REFLECTIONS

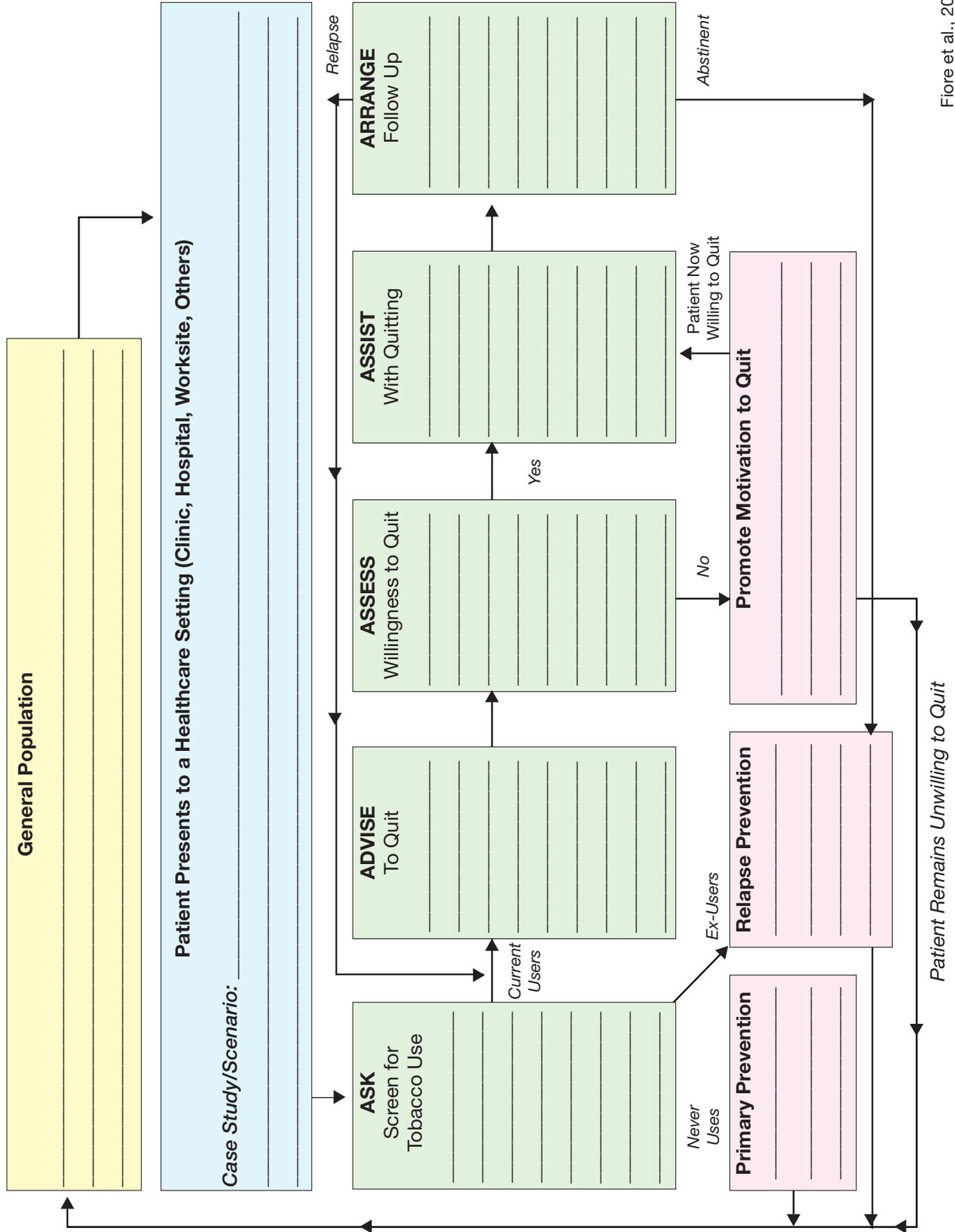
Evidence-Based Standards of Care

A tobacco dependence treatment system of care may vary based on the needs and context of your healthcare facility, but all systems of care should incorporate the following standards in order to be effective:

- » All patients have tobacco-use status reviewed and documented in the medical record as part of routine visits. A system reminds healthcare facility staff to complete the assessment.
- » All tobacco users receive a brief motivational message from health and human service providers about the importance of tobacco independence.
- » Patients ready to quit using tobacco receive practical counseling through the healthcare facility, along with appropriate pharmacologic adjuncts and follow-up.
- » Referrals to more intensive programs are made when indicated.
- » A quality assurance system sets goals, monitors tobacco treatment programs relative to those goals, and provides feedback to clinicians and to the health and human service system.
- » Policies, education/training, and financial incentives support delivery of comprehensive quality cessation efforts (University of Michigan Health System, 1998).



Model for Treatment of Tobacco Use and Dependence: On the lines provided, you can begin planning your program.



REFLECTIONS

Eight Steps to Develop a Tobacco Dependence Treatment Program

The **eight key** steps summarized below are described to assist you in designing a program specific to your healthcare center's needs and context.

1. Assess Knowledge and Attitudes of Your Staff

Develop a method to assess current knowledge, practices, and attitudes of healthcare center staff toward addressing tobacco use with their patients.

2. Design Treatment Program

Determine if your center will offer direct intensive treatment services or refer to local/state intensive evidence-based treatment programs and/or the National Quitline.

Focus on the treatment needs of your personnel first. Ensure that treatment includes both behavioral and pharmacotherapy options.

3. Staffing a Treatment Program

If on site intensive treatment will be provided at your center, decide how you are going to staff the program.

4. Screening for Tobacco Use

Work with healthcare providers (nurses, physicians, dentists, hygienists, community health aids, etc.) and healthcare center administrators to develop a system to screen and document tobacco use and exposure for all patients seen at every visit.

5. Healthcare Provider Education

Develop opportunities for healthcare providers to be introduced to the evidence-based **FIVE A Model** construct (*Ask, Advise, Assess, Assist, Arrange*) in order to screen and refer their patients to a tobacco dependence treatment program of their choice.



REFLECTIONS

6. Treatment Program (Clinical) Protocol

Develop a protocol for treatment that details when, where, and how patients will receive treatment for tobacco dependence whether using a State or National Quitline or on site intensive tobacco dependence treatment. Implement a process system that has the full array of intake, counseling, referral, and follow-up components.

7. Program Evaluation

Develop a plan for program evaluation to track program progress (e.g. quit rates), successes, and to identify areas for improvement.

8. Sustainability

Develop a strategy to ensure that your systems change and protocols are institutionalized and self-perpetuating within the organization. Reimbursement for services is a key strategy.

Assess Knowledge and Attitudes of Your Clinical Staff

Purpose of Staff Assessment

An important first step to implementing a Tobacco Dependence Treatment Program is to conduct an assessment of healthcare center staff knowledge about tobacco addiction, abstinence from tobacco, treatment of tobacco dependence, and perceptions/attitudes toward the patient who is dependent on tobacco. This information is important for two reasons:

- » It can help direct efforts in education and training for the clinical staff and administration. This includes identifying potential obstacles to implementing a program.
- » A repeat assessment will be administered at some point in the future after the program is established. It will serve as a valuable means to assess changes in knowledge, attitudes, and system development since program initiation.

REFLECTIONS

Format/Content

It is up to your program to choose the assessment format and content. An example of an assessment survey tool can be found at www.healthcarepartnership.org/IHS/facility_forms.html. This tool was developed specifically for this purpose by a team of experts in survey design. Feel free to modify it to fit the needs of your facility.

Target Population

However you choose to conduct the assessment, it is recommended that you sample a wide range of staff and healthcare providers **that interact with clients**. This includes persons such as nurses, physicians, dentists, hygienists, behavioral health specialists, and community health aides. Ideally, a re-assessment should be conducted in a year or at designated time intervals after program start-up to measure changes in staff practices, knowledge and systems change.

Designing a Treatment Program

Type of Treatment Services Offered

Base your tobacco dependence treatment program on clinically proven tobacco dependence treatment program guidelines, such as the U.S. Public Health Service Clinical Practice Guideline: *Treating Tobacco Use and Dependence: 2008 Update*. Also, determine whether you will provide on site intensive tobacco dependence treatment or refer to local/state Quitline or community based services.

Examples of services that may be included in a tobacco treatment program are:

- » Individual and/or group tobacco cessation counseling
- » In-person or phone tobacco cessation counseling
- » In-person or phone follow-up counseling
- » Tobacco education
- » Quitline access



REFLECTIONS

Examples of persons that may be eligible for tobacco dependence treatment services in your healthcare facility are:

- » Employees
- » Inpatients
- » Outpatients
- » Visitors

An integral part of a successful tobacco dependence treatment program is the availability of free or low cost pharmacotherapy. Examples of first line recommended nicotine replacement therapies and non nicotine pharmacotherapy are:

- » Bupropion SR (non nicotine replacement therapy also known as Zyban and Wellbutrin)
- » Nicotine gum
- » Nicotine inhaler
- » Nicotine lozenge
- » Nicotine nasal spray
- » Nicotine patch
- » Varenicline (non nicotine replacement therapy also known as Chantix)

Tobacco Control Networking

There may be tobacco prevention and treatment resources throughout your state. Research other tobacco dependence treatment and prevention resources in your community and service area, such as www.lungusa.org and www.cancer.org. Develop formal and informal relationships as appropriate to enhance services, build a coordinated system of care for patients, and advertise and promote available treatment services.

REFLECTIONS

Staffing a Tobacco Dependence Treatment Program

If you are providing intensive intervention services at your facility, you should determine what type of individual will provide tobacco dependence counseling and education. This individual may be a current member of your staff that will need to be trained in tobacco dependence treatment techniques, or an external individual that will need to be either contracted or hired. Allow enough time for a tobacco treatment specialist to be sufficiently oriented and educated in the theory and practice of tobacco dependence and evidence-based interventions before the initiation of your program. All individuals providing tobacco dependence treatment counseling to patients should have successfully completed or be willing to complete a Tobacco Treatment Specialist Program.

The University of Arizona HealthCare Partnership

The University of Arizona HealthCare Partnership is responsible for the instructional design, content, adaptation, graphic design, printing, materials distribution, program logistics, and evaluation of the Arizona Tobacco Dependence Treatment Continuing Education Programs. The Partnership was initially awarded a contract in 1998 to develop and test continuing education and certification models to meet the goals of the tobacco education and prevention arm of the Arizona Department of Health Services.

Continuing education and certification programs follow a stepped-care model and are organized accordingly:



Program	Instructor Program	Adaptations
Basic Tobacco Intervention Skills Certification	Basic Tobacco Intervention Skills Instructor Certification	<ul style="list-style-type: none"> » Maternal & Child Health » Medical & Allied Health » Native American Health » Hispanic/Spanish-Language
Tobacco Treatment Specialist Certification	Tobacco Treatment Specialist Instructor Certification	<p>Online Learning Center & Library (English/Spanish): www.aztreattobacco.org</p>
Tobacco Dependence Treatment Program for Health & Human Service Professionals	Speaker Orientation	Customized Presentations for Specialty Clinical Areas

REFLECTIONS

Tobacco dependence treatment specialists are not defined by their professional affiliation or by the field in which they are trained. Rather, the specialist views tobacco dependence treatment as a critical professional role, has the requisite tobacco dependence intervention skills, and is often affiliated with programs offering intensive cessation intervention programs or services. Involving multiple types of providers in delivering evidence-based brief and intensive smoking cessation messages appears to enhance abstinence rates (Fiore et al., 2008).

The Indian Health Service Tobacco Control Task Force works closely with The University of Arizona HealthCare Partnership’s Tobacco Dependence Treatment Continuing Education, Certification and Training programming. The University of Arizona program is a stepped-care certification model that recognizes differing contexts, intensities, and professional roles in the delivery of tobacco dependence treatment services and implements a “training-of-trainers” model to build sustainable capacity at the local level (adapted from Abrams et al., 1996). The model is based on the American Red Cross and the American Heart Association Cardiopulmonary Resuscitation (CPR) and Advanced Cardiac Life Support (ACLS) certification models.

REFLECTIONS

You can learn more about The University of Arizona programs at www.healthcarepartnership.org.

Included in The University of Arizona Tobacco Dependence Treatment stepped-care model is the **Treatment Specialist Certification**, specific to those individuals who deliver intensive interventions within the context of a nationally validated intensive treatment program. The Treatment Specialist program offers an Online Learning Center and Library as well as knowledge and skills-based practicum. More about this program can be obtained at www.aztreanttobacco.org.

There are a variety of educational programs nationwide that provide educational opportunities to assure that those seeking assistance to abstain from tobacco receive evidence-based quality of care for tobacco dependence. The Association for the Treatment of Tobacco Use and Dependence (ATTUD) lists a sampling of programs at www.attud.org.

Patient Screening



...The single most important step in addressing tobacco use and dependence is screening for tobacco use

- U.S. DHHS Treating Tobacco Use and Dependence: 2008 Update

Screen All Patients

Considering the extraordinarily high rates of morbidity and mortality due to tobacco use, it is imperative that we strive to ensure that ALL individuals who are dependent on tobacco are identified and offered assistance to quit. There are many ways to implement a commercial tobacco-user identification system.



REFLECTIONS

Collaborate

The collaboration and support of your healthcare providers and facility administrators is essential for achieving the changes in your system that are required for tobacco dependence screening and treatment.

Strategies to encourage collaboration are:

- » Provide information and education to providers and administrators so that they understand the devastating health effects of long term tobacco use and the importance of screening.
 - » This can be accomplished in a variety of formats including presentations, meetings, or email blasts. Presentations on topics such as cost-effectiveness of treatment, rates of tobacco-user identification in the healthcare setting, health benefits of quitting, etc. can be established as a part of already established meetings.
- » Identify key personnel, or a “champion provider” who is a natural advocate for tobacco use screening and intervention.
 - » This is a provider who sees the everyday outcomes of chronic tobacco use or someone who has experienced tobacco related illness or death in their personal life.
 - » This person can help facilitate communication between your program and healthcare staff.

Components of a Screening Tool

Screening for tobacco is very simple. It consists of the following:

- » Ask if a patient uses commercial tobacco, or is frequently exposed to environmental tobacco smoke.
- » If he or she uses commercial tobacco or is exposed, then determine if they smoke, chew, use both or are consistently exposed to environmental tobacco smoke.
- » Sometimes a patient will be in the process of quitting (0-6 months quit), or have already quit (6 months or more).

REFLECTIONS

Below is a sample paper-based screening tool used at the Alaska Native Medical Center (ANMC) in Anchorage Alaska and in several other tribal health organizations. All of the items in the screening tool equate to a health factor in the Resource and Patient Management System (RPMS) that can be easily coded.

Tobacco:

None Smoke Chew 2ndhand Quitting Now Already Quit

Education:

Delivered Y N **Referred** Y N

Systems Change

The goal is to institutionalize this screening tool, or something similar, as part of an assessment procedure that will ensure or require that every individual is asked these questions. Examples include making the screening questions a part of an expanded “Vital Signs” box, including tobacco use status stickers on all charts, adding tobacco questions into computer chart-reminder systems, and/or on patient intake forms.

Another important step is to write the procedure and formalize the screening procedure within your organization. Some programs have done this via standing orders (see **Sample Physicians Form** section on page 120) or as a part of their policy and procedures manual.

Provider Education

Your treatment program will be largely dependent on healthcare provider referral of patients. To be successful, it is critical to provide educational opportunities for staff. These opportunities could be in the form of in-services, resource kits and/or presentations in the following areas:

- » The burden of tobacco use in terms of morbidity and mortality for their communities

REFLECTIONS

- » Availability of effective treatment, behavioral and pharmaceutical
- » Importance of screening
- » Evidence of the Five A Model construct (a process to guide your intervention with persons who use tobacco, (see **Five A Model** on page 27)
- » How to document interventions addressing tobacco use
- » How and where to refer a patient for treatment
- » How to code and bill for reimbursement of treatment for tobacco dependence

The Five A Model includes the major steps of a brief intervention for tobacco use in the healthcare setting. In three minutes or less you should be able to assess the patient’s willingness to change, help them along to the next step in the process, and to provide a motivational message regardless of what stage of quitting tobacco they are currently at. These are the preliminary steps to assess whether a person is ready to be referred for treatment.

The Five A’s Framework for Tobacco Use Intervention		
ASK	about tobacco use	Health Support Staff
ADVISE	all users to quit	Health Support Staff
ASSESS	willingness to quit	Health Support Staff
ASSIST	to increase willingness to quit or to access treatment	RN or Provider
ARRANGE	treatment and follow-up	Treatment Specialist/ Adjunct Health Support Staff

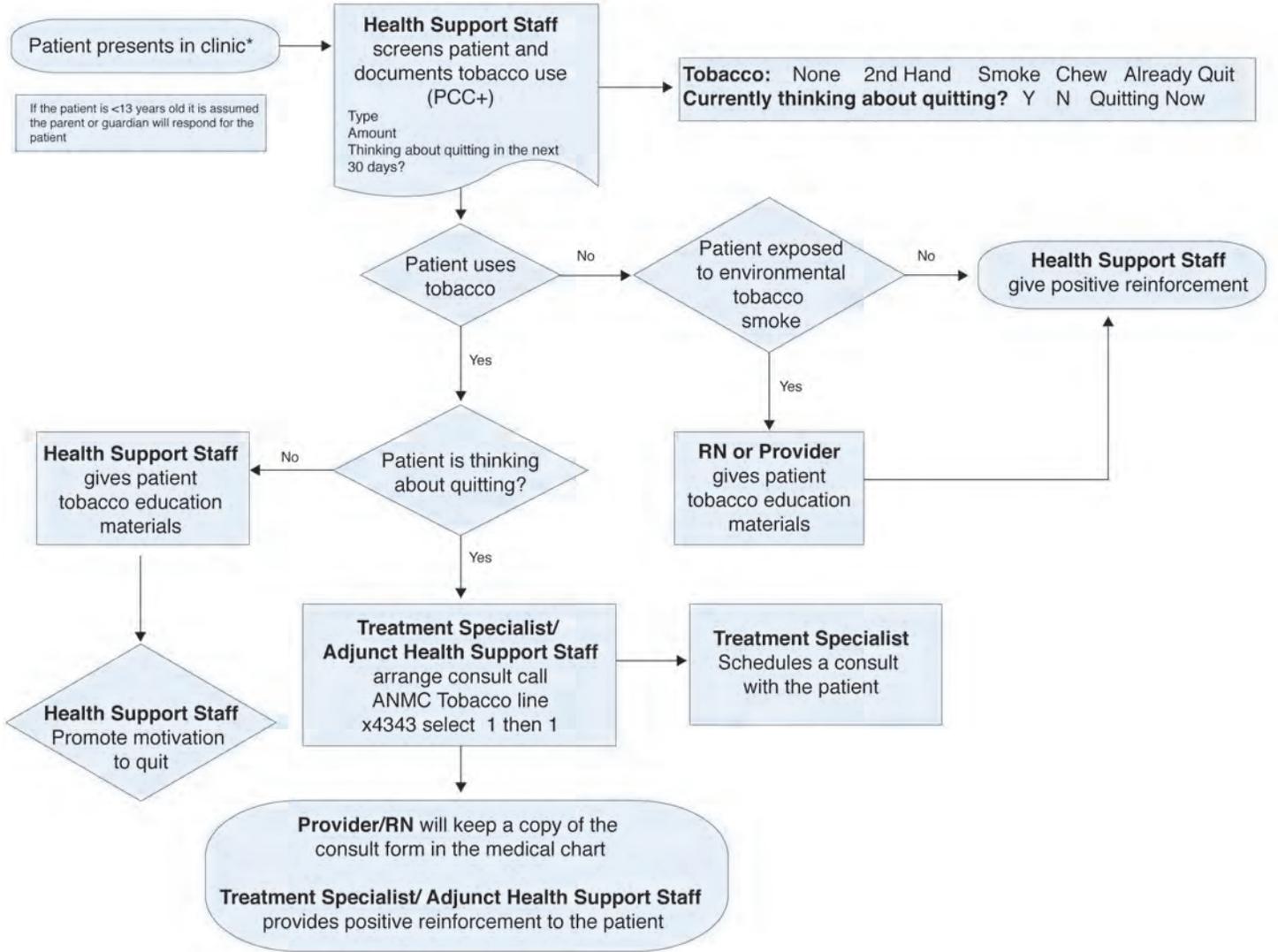
REFLECTIONS

Treatment Protocol

A treatment protocol provides the general methods, structure, and systems by which your treatment activities will function. Patients should flow seamlessly into the “Treatment Protocol” from the “Screening Protocol.” As you develop your program, it is suggested that you construct a formal document outlining this protocol. This document can assume any number of formats. Some tribal healthcare centers use this document to formally implement a tobacco dependence treatment program into healthcare facility policy, or for approval/acceptance by tribal councils, healthcare facility administration, and governing bodies. It is also a useful tool for orienting and teaching new staff. An example of a treatment protocol written for the Alaska Native Medical Center (ANMC) treatment program is shown on the following page:



Tobacco Screening and Referral Guideline for Alaska Native Medical Center (ANMC) Hospital Outpatients



This guideline is designed for general use for most patients but may need to be adapted to meet the special needs of a specific patient as determined by the patient's provider.

REFLECTIONS

Referral

The treatment protocol should include a process for referral. The process should provide easy access to a tobacco dependence treatment program. If you are a healthcare facility, consider separate referral processes for patients (provider referral), visitors (potentially either provider or self-referral), and employees (self-referral). Establish a clinical protocol outlining the referral processes for these different components of the healthcare system, and make it accessible for providers within your facility.

Modes of Referral

The referral process to a tobacco dependence treatment program may be initiated through contact with a tobacco counselor via telephone, fax, EHR Consult or face-to-face encounters.

Referral Response

Once contacted for a referral, the tobacco treatment counselor will respond according to referral-specific protocol, which may vary for inpatients, outpatients, visitors, and employees. Examples of means of response for tobacco dependence treatment may include immediate point-of-care bedside counseling, immediate counseling in a private office or specialty healthcare facility exam room, counseling via means of a scheduled appointment, walk-in healthcare facilities and/or outreach health programs.

According to the U.S. Public Health Service, it is ideal for tobacco treatment counselors to deliver counseling to patients immediately upon receipt of a referral, as this allows counselors to capture “teachable moments,” or “windows of willingness” to quit.

Location

Determine where in your healthcare facility tobacco dependence treatment services will be held. Examples may include: outpatient specialty healthcare facilities, inpatient hospital rooms, meeting rooms



REFLECTIONS

Then proceed with brief motivational interviewing (see **Motivational Techniques** on page 31) to encourage patient to quit. Also, provide tailored education materials and encourage the patient to contact the program or facility when they are interested in quitting. Be sure to give them contact information for the program. This will be considered an educational encounter and should be documented in the Resource and Patient Management System (RPMS).

Follow-up

Patient follow-up takes 5-15 minutes and can be conducted by phone or in-person. Your call for follow-up schedule (call log) can be created from an iCARE or external database. For the best quit rates, it is recommended to perform follow-up on the quit date and during weeks 1, 2, 3, 6, 12, 26, & 52 of the quit period for each patient. The minimum requirements for program evaluation suggest follow-up sessions at week 6, 12, 26, and 52. Research shows that weeks 1, 2, 3, 6 and 12 are especially crucial times when patients need support to remain abstinent. Follow-up, both attempted and completed, must also be documented in the *Resource and Patient Management System* (RPMS). An example follow-up form can be found at www.healthcarepartnership.org/IHS/facility_forms.html.

Relapse/Re-start

If a patient reports a slip or relapse either during a follow-up call or as a result of a call-in, employ motivational interviewing techniques to encourage remaining abstinent or considering a revised quit date within 7 days. Let the patient know that slips and relapses are to be expected during the quitting process. Most people **quit 9 - 11 times** before they are able to maintain long-term abstinence. If the patient is unwilling to set a new quit date they can be inactivated and invited to contact program at any time to re-enroll when they are willing to set a new quit date. If a year or more has passed since a patient was enrolled, and the patient wishes to make another quit attempt, re-enroll the patient and set a new quit date.



REFLECTIONS

For Precontemplators & Contemplators (Unwilling, Thinking)

- ➔ Do not enter them into the full intervention program
 - » Implement Five A Model (see **Five A Model** on page 27)
 - » Deliver Brief Motivational Intervention: Attempt to move client closer to willingness
 - » Provide Health Teaching
 - » Verbal information
 - » Written Material
- ➔ Follow Up
 - » Patient is asked to contact program when he/she is ready to set a quit date to abstain from tobacco use. Continue to **assess** and **advise** at each encounter.

For Preparation or Ready for Action

- ➔ Enter client into Program
- ➔ Full Assessment & Counseling:
 - » Complete Tobacco Use Questionnaire– Quit date is Mandatory
 - » Provide Health Teaching in the context of a validated intensive tobacco dependence treatment program as provided by the American Lung Association, American Cancer Society and/or American Legacy Foundation:
 - » Nicotine addiction education
 - » Effects of tobacco use – smoking/chewing
 - » Talk to patient about specific health problem(s) as it relates to his/her tobacco use
 - » Patient and counselor discuss medication
 - » Quitting techniques, i.e. making a plan, coping skills
 - » Quit book/ quit guide delivered
 - » Follow-up calls/ appointments scheduled with patient
 - » Written education materials reviewed and discussed
 - » New patient file created for clinic 94 (*Tobacco Dependence*) visit
 - » Data is entered into Resource and Patient Management System (RPMS) for healthcare facility visit
 - » Counselor documents progress note on the session

REFLECTIONS

Billing:

- Complete the Charge Master form;
 - » All the clients seen, including no charges
 - » Tell patients that Beneficiaries will not be responsible for any fees. Non-beneficiaries will be responsible if their insurance will not cover services
- The following documents should be completed:
 - » Tobacco Use Questionnaire or similar of intake instrument
 - » Billing form
 - » Progress notes
- Follow up:
 - » Counselor calls client on quit date
 - » Counselor calls client for brief supportive counseling sessions as needed, reassessing willingness and documenting progress note on every encounter.
 - » Follow-up calls at 1, 2, 3, 6, 12, 26 and 52 weeks

Close File:

- » When the 52 week follow up has been completed
- » If patient is lost to follow-up, meaning cannot be reached via telephone, email, surface mail and does not present for health-care facility services (three consecutive intervals is the general rule)
- » File status is changed to inactive, and moved to inactive file

Program Evaluation

A comprehensive plan for program evaluation is essential in order to track the progress and success of your program. Evaluation can help you to maintain a functional and effective tobacco treatment program by encouraging program staff to identify areas that need improvement, prioritize staff time and energy, and direct program resources. Specific personnel should be identified who are responsible



REFLECTIONS

for the evaluation to help ensure that evaluation tasks are accomplished. If you have the resources, it may be helpful to obtain outside assistance to help you establish a plan and systems for evaluation measurement.

Evaluation is also important to attaining program fiscal sustainability. Evaluation data can be used to obtain and maintain grants as well as present “hard data” on enrollment and quit rates to justify your program to your administration and decision-makers.

Key evaluation measures used in tobacco dependence treatment can be obtained through the following sources: the **Government Performance and Results Act (GPRA) reports**, **iCARE**, and **patient medical records reviews**.

Evaluation Measures

➤ Quit Rates

Participants in a tobacco dependence treatment program are considered quit if they have not used any form of tobacco in the last 7 days and/or the last 30 days.

The “Point Prevalence” Quit Rate is the most typical standard measure used in evaluations of tobacco dependence treatment programs. This is usually calculated at 6, 12, 26 and 52 weeks after the patient’s original quit date.

» Point Prevalence Quit

- » 7 day Point Prevalence = No tobacco use in the last 7 days
- » 30 day Point Prevalence = No tobacco use in the last 30 days

» Continuous Quit

- » No tobacco use since the initial quit date
- » Programs do not report this quit rate, as relapse is considered part of the quitting process and this rate is typically very low

REFLECTIONS

Tobacco dependence, as chronic disease, has trends of relapses and remissions; therefore causing continuous Quit Rates to report low. Still, in order to achieve lifetime abstinence, these rates are important when assessing what interventions and treatments were used.

➤ Patient demographics

This is information such as patient age, ethnicity, gender, etc. It can be obtained from RPMS reports.

➤ Systems Change

Systems change evaluation measures determine how effective your program has been at implementing tobacco use screening, referral, and intervention for all of your patients. It also documents your centers efforts to sustain the program. This information can be obtained from:

- » The Government Performance and Results Act (GPRA) or RPMS Reports
- » Patient Medical Records (via a random chart review)

Sustainability

Reimbursement for services is an important part of program development and program sustainability. Work closely with your facility's billing department to determine the correct way to submit for reimbursement through third party payers including private insurers, Medicare and Medicaid.

Private Insurers

Some of your beneficiaries, as well as employees of your healthcare facility, may have coverage for tobacco dependence treatment services under their private insurance plans. Coverage will differ by insurance company, so you will need to research in order to identify the



REFLECTIONS

main insurers for your patient population, then contact the individual companies to determine exactly what services are covered (counseling, medication, etc.). Places to look for this information include your benefits administration department, private insurance company websites and policy handbooks, and your healthcare center billing department.

If coverage is available, coordinate with your billing department to establish a system for documentation and reimbursement. If little or no coverage is available you can explore options to advocate for coverage of tobacco dependence treatment services and medications.

Medicare/ Medicaid Billing

Medicare billing for tobacco dependence treatment and some medications is available under defined circumstances. General codes are found in Unit 9 of this fieldbook. For more information refer to the Medicare website, www.medicare.gov/Basics/ClaimsOverview.asp or your facility billing department.

Medicaid also offers limited reimbursement for pharmacotherapy. Each state offers different coverage. Refer to your state resources to learn about coverage in your state.

HealthCare Settings Can Support Change

Primary healthcare takes place in many settings and involves the activity of a wide range of clinicians/non-clinicians. Evidence supports multiple interventions from multiple types of providers as an effective treatment for tobacco dependence (Fiore et al., 1996). Basic tobacco treatment intervention measures such as routine identification of tobacco users and advice from clinical staff to quit have not been widely implemented in health and human service systems (McAfee et al., 1995). Understanding the dynamics of clinical practice is important in identifying opportunities for change. **Evidence clearly demonstrates that health and human service providers DO make a difference!**

REFLECTIONS

Seventy percent (70%) of tobacco users see a healthcare provider each year; more than 50% see a dentist (Fiore et al, 2008).

- » Providers are likely to see patients at “teachable moments” when health issues are present
- » Minimal interventions at the time of hospitalization result in favorable abstinence rates (8–54%) when compared with unassisted cessation rates of approximately 3% (Cohen et al, 1989)
- » France, Glasgow, and Marcus (2001) suggest that cessation is enhanced when offered by a designated smoking cessation counselor as opposed to a general practitioner

Physicians as Health Influencers

- » Patients report that physician advice is the single most important motivating factor
- » As compared to no provider intervention, physician interventions increase quit rates by 120%
- » Other health and human service provider (e.g., nurse, dentist, counselor, psychologist, pharmacist, health educator) interventions increase quit rates by 70% (Fiore et al, 2008)

Health and Human Service Providers Increase Quit Rates

- » Advice to quit tobacco use by one healthcare provider increases quit rates by 80%
- » Advice to quit tobacco use by two healthcare providers increases quit rates by 150%
- » Advice to quit tobacco use by three or more healthcare providers increases quit rates by 140% (Fiore et al, 2008)

REFLECTIONS

Establish mechanisms to refer patients to intensive tobacco dependence treatment services:

- » Develop a simple method for clinicians to refer patients to treatment services through the healthcare facility or through community-based programs.
- » Enhance internal systems to allow clinicians to refer patients to intensive services in a timely manner, with sensitivity to the patient's willingness to quit.
- » Identify and bring attention to local resources and quitlines to increase accessibility to patients.

Have a mechanism to develop and maintain the skill level of staff:

- » Use Certified Tobacco Treatment Instructors to certify designated point-of-contact staff and other interested staff in Basic Tobacco Cessation Skills.
- » Provide in-services for clinical staff on policies and procedures.
- » Develop a Resource Kit and use presentation materials from the kit to teach clinicians evidence-based brief tobacco dependence intervention methods and techniques.

Support clinical staff during the change process:

- » Provide self-help materials for exam rooms and monitor distribution.
- » Update staff on progress and treatment service outcomes.
- » Provide reminders and motivators to office staff.
- » Assist in monitoring progress during implementation via simple chart audits and patient surveys.

Keep At It—it takes years to change systems:

- » Limit the number of initial healthcare sites to make technical assistance manageable.
- » Recruit internal champions who will assume part of the workload.
- » Keep expectations for change reasonable.
- » Teach and report back.



Real-Life Examples of Systems Change

Warm Springs Reservation IHS Clinic, Oregon

Each patient is screened for tobacco use and exposure by the triage staff using the Five A Model. Staff are also certified in Basic Tobacco Intervention Skills. Tobacco screening is documented in the Electronic Health Record (EHR). If a tobacco user is interested in quitting, an electronic referral is made to the Pharmacy Tobacco Cessation Program. Patients are contacted and scheduled into the healthcare facility and followed according to protocol. Patients are offered intensive intervention, nicotine replacement therapy and/or mitigation therapy. Additionally, patients are offered group counseling from the local Tribal Programs and referrals to the Oregon Quitline.

Phoenix Indian Medical Center Pharmacy

Nicotine Cessation Clinic, Arizona

Patients enrolled in the pharmacy healthcare facility must be enrolled in an intensive cessation counseling program. Patients may self-refer or be referred to the Nicotine Cessation Healthcare facility by their medical provider.

The first pharmacy appointment is scheduled 7-10 days prior to the patient's decided quit date. The pharmacist conducts a physical assessment and interviews and assesses the patient in order to:

- » Determine any contraindications for pharmacotherapy
- » Provide patient specific education on medication therapy
- » Provide first fill of medication

Patient follow up is based on the standard for "Best Practice" for Intensive Interventions as outlined in the U.S. Public Health Service Clinical Practice Guideline: *Treating Tobacco Use and Dependence: 2008 Update*.

- » At every encounter, patients will be interviewed for progress, medication side effects and therapy goals.

REFLECTIONS

REFLECTIONS

- » The first refill visit should be within 2-4 weeks of initial pharmacy appointment to document blood pressure and assess the patient's tolerance to the medication.
- » Subsequent refills are patient specific and may be processed in person or via telephone.

Documentation is done at each visit. The pharmacist notes side effects, behavior modification and the patient's progress and goals. Further education is provided.

"GEGO ZAGSWAAKEN" (Don't smoke) PROGRAM, Minnesota

Individuals can be self-referred or referred by their medical provider who will complete a referral form. The referral form includes name, address, telephone number and name of referring medical provider.

The smoking cessation program assists individuals interested in stopping the use of commercial tobacco by providing educational materials through the use of flyers, articles, and DVD's on the topics of nicotine, handling nicotine withdrawal symptoms, developing an individualized "quit plan", selecting appropriate pharmacotherapy such as the patch, gum, inhaler or Chantix and identifying individual smoking triggers while limiting the use of traditional tobacco to ceremonial and cultural use only.

Individuals are requested to complete the Fagerström Test for Nicotine Dependence as part of the intake process.

"Gego Zagswaaken" promotes smoke-free homes and cars by providing education on the harmful effects of environmental tobacco smoke and amount of carcinogens in cigarettes.

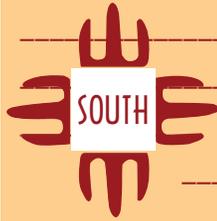


REFLECTION

Action



THINKING – What information will help me to understand the health risks of commercial tobacco in my community?



PLANNING – What can I do?



INITIATING A PLAN – How will I do it?



EVALUATING AND MAINTAINING – How will I know that the program is working?

PHARMACOTHERAPY

UNIT FIVE

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It is a misconception that American Indians/Alaska Natives will not use medications. Opinions vary, just as they do in the Anglo-American population. Generational differences also exist, as do urban versus rural differences. The important thing is not to generalize, but rather to present the American Indian/Alaska Native patient with varied treatment options to see which he or she is comfortable with using. Be sure to stress that if the patient uses the patch, gum, lozenge, inhaler, spray, or non-nicotine replacement medication, he or she will not feel the same immediate feeling of reward as when smoking cigarettes or chewing tobacco. It is important to tell the patient what to expect so that he or she realizes that the medication is working. Otherwise, the patient may stop using the therapy and say, "It just didn't work for me." **Knowing what to expect is a key to success.**

REFLECTIONS

Pharmacotherapy for Treating Tobacco Dependence

General Considerations

The U.S. Public Health Service Clinical Practice Guideline: *Treating Tobacco Use and Dependence: 2008 Update* recommends that all people trying to quit tobacco be encouraged to use effective medication for tobacco dependence treatment, unless they present with special circumstances. Those who fall into the categories below should consult with a physician to determine the appropriateness of pharmacotherapy to treat their tobacco dependence (Fiore et al, 2008):

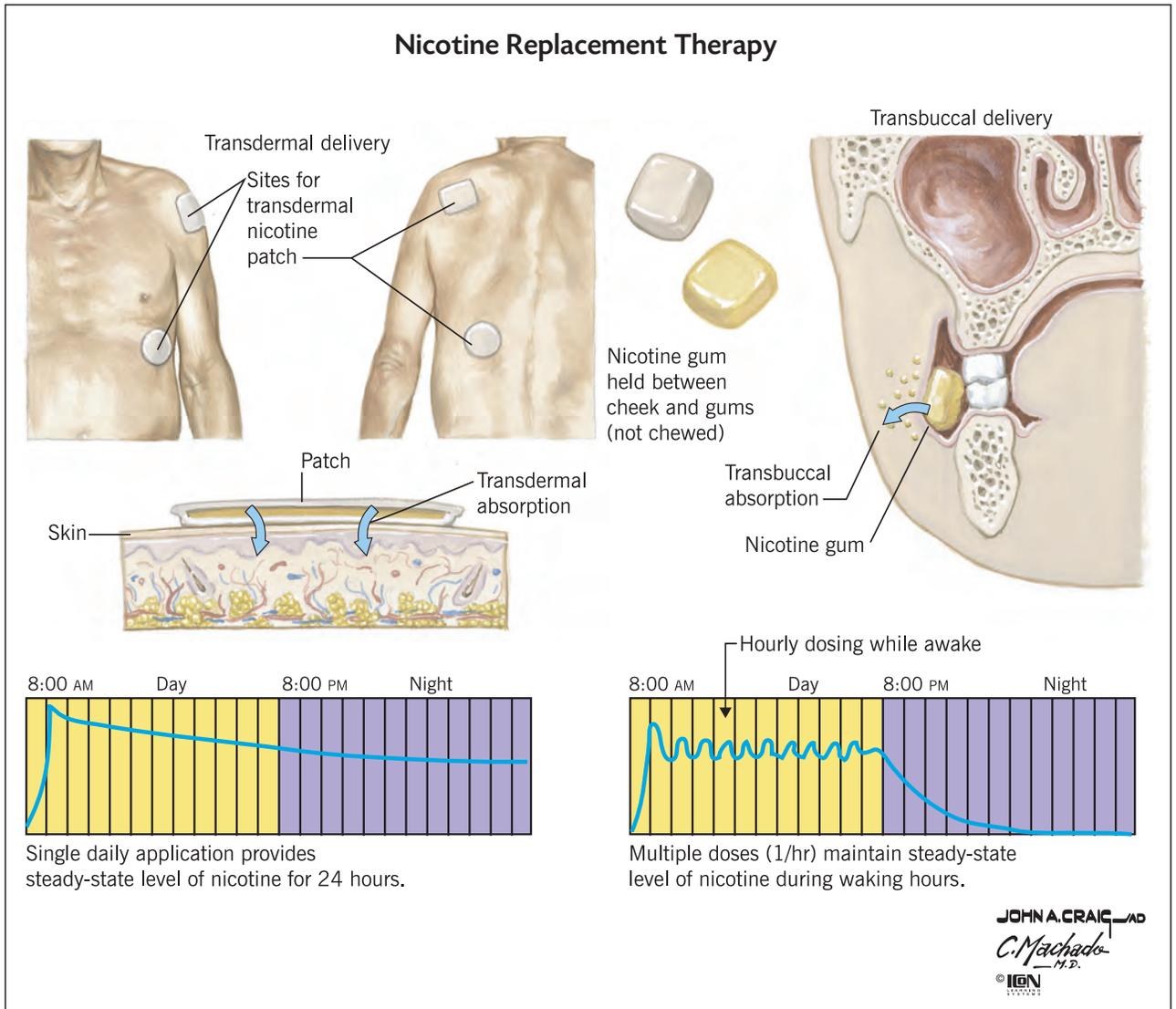
- » People who smoke less than 10 cigarettes per day
- » Adolescents (under 18 years of age)
- » Pregnant and breastfeeding women
- » People with serious medical conditions (for example, recent heart attack, unstable angina, arrhythmia, or uncontrolled hypertension)
- » **NOTE:** Tobacco users in any of these groups should consult with a physician before using pharmacological treatment (see **Special Circumstances** section on page 121 of this unit).

Why Encourage Pharmacotherapy?

- » People who use tobacco treatment medications may double or possibly triple their quit rate (Fiore et al, 2008; Leischow & Cook, 1998).
- » Pharmacotherapies are effective for a broad range of smokers, not just “heavy” smokers (Fiore et al, 2008).
- » Pharmacotherapies are effective with low as well as high levels of psychosocial treatment intensity. Therefore, clinicians should recommend effective psychosocial treatments (such as practical counseling) in addition to pharmacotherapy to all patients for whom they are appropriate (Fiore et al, 2008).



The U.S. Public Health Service Clinical Practice Guideline: *Treating Tobacco Use and Dependence: 2008 Update* recommends **seven first-line medications** (nicotine gum, nicotine lozenge, nicotine patch, nicotine nasal spray, nicotine inhaler, bupropion SR and varenicline) and **two second-line medications** (clonidine and nortriptyline) to assist people attempting to stop smoking (Fiore et al, 2008).



REFLECTIONS

Nicotine Replacement Modes of Delivery

Nicotine replacement therapies (NRTs) deliver nicotine to the body, but the delivery method is different from that of cigarettes. This can result in a slower release of nicotine and in lower nicotine levels in the blood stream.

Nicotine replacement is absorbed either through the skin (nicotine patch) or through membranes in the nose or mouth (nicotine gum, inhaler, spray, and lozenge).

With these routes of administration, nicotine is absorbed slowly and transported to the brain in low, steady doses. This means that patients who use a nicotine replacement product will not get the same immediate feeling of reward that was part of their experience of smoking or chewing tobacco.

Patients who smoke absorb up to 90% of the nicotine in mainstream cigarette smoke. Mainstream cigarette smoke is the air drawn through a lit cigarette (Henningfield, Cohen, & Pickworth, 1993).

Each cigarette yields about 1 mg of nicotine; a pack contains 20 cigarettes. The normal adult daily dosage of nicotine is about 20 mg, or one pack per day (Henningfield et al., 1993; Benowitz, 1988).

Nicotine Replacement Dosing*

- » 1 cigarette equals 1 mg of nicotine
- » 1 dip chewing tobacco equals 3-4 mg of nicotine
- » 1 cigar equals 10-20 mg of nicotine
- » 1 bidi or kretek equals 2-3 mg of nicotine



*Adapted from Ford & Zlabek, 2005

REFLECTIONS

Combination Tobacco Dependence Treatment Pharmacotherapy

Combinations of certain first-line medications have been shown to be more efficacious than a single form of nicotine replacement, and patients should be encouraged to use such combined treatments if they are unable to quit using a single type of first-line pharmacotherapy.

Effective combination medications are:

- » Long-term (>14 weeks) Nicotine Patch + other NRT
- » The Nicotine Patch + Nicotine Inhaler
- » The Nicotine Patch + bupropion Sr
- » The Nicotine Patch + Nortriptyline

(Fiore et al, 2008)

The FDA has **approved only one combination** of tobacco dependence treatment pharmacotherapy for smoking cessation: the Nicotine Patch + bupropion SR (Fiore et al, 2008).

Varenicline is not designed to be used in combination with nicotine replacement therapies because of its nicotine antagonist properties (Fiore et al, 2008).

Clinicians should use their best judgement and consider the current evidence and patient preference before prescribing combination medications. Evidence indicates that combination pharmacotherapy may result in greater suppression of tobacco withdrawal symptoms than the use of a single medication (Sweeney et al, 2001). Patient preference may also play a role in prescription recommendations, because some combinations may produce more side effects and cost more than individual medications (Schneider et al, 2006).



Nicotine Gum (<i>Nicotine Polacrilex</i>)	
Nicotine gum was first approved by the FDA in 1984 and became available without a prescription in 1996. A person will need to stop using tobacco completely before using the nicotine gum.	
Dosage	<p>The gum is available in 2 mg and 4 mg (per piece) strengths. The recommended dosages are:</p> <ul style="list-style-type: none"> » < 25 cigarettes/day: 2-mg » ≥ 25 cigarettes/day: 4-mg » Weeks 1-6: 1 piece every 1-2 hours » Weeks 7-9: 1 piece every 2-4 hours » Weeks 10-12: 1 piece every 4-8 hours » No more than 24 pieces/day
Duration	Nicotine gum should be used on a fixed schedule (at least one piece every 1 to 2 hours) for 6 to 12 weeks, then tapered off.
Efficacy	Use of nicotine gum increases the odds of quitting by 80% as compared to placebo (Fiore et al., 2008).
Precautions	<ul style="list-style-type: none"> » Tobacco users in any of the special circumstances groups should consult with a physician before using nicotine gum. » Extensive dental work/dentures » TMJ disease » Stop use if signs of nicotine toxicity develop. Symptoms of nicotine overdose include nausea, vomiting, dizziness, weakness, and rapid heartbeat.
Side Effects	<ul style="list-style-type: none"> » Mouth soreness » Jaw ache » Hiccups » Nausea/vomiting » Headache » Indigestion <p>Side effects usually transient and mild, often relieved by correcting chewing technique. These effects are generally mild, subside over time, and often can be alleviated by correcting the chewing technique.</p>
Instructions for Use	<p>STOP ALL SMOKING</p> <ul style="list-style-type: none"> » Chew until peppery or minty taste released, “park” between cheek and gum 1-5 minutes, then repeat » Park in different areas of the mouth » Use one piece no longer than 30 minutes » No eating or drinking 15 minutes before and during use (decreases nicotine absorption) » Fixed dosing for 1-3 months may be more useful than ad libitum

(Adapted from Fiore et al., 2008; Physicians' Desk Reference [PDR], 2005; PDR, 2007)

Nicotine Patch (Transdermal Nicotine System)				
The nicotine patch was approved by the FDA in 1991 and became available without a prescription in 1996. A person will need to stop using tobacco completely before using the nicotine patch.				
Dosage	The type and strength of the patch should be selected according to individual patient characteristics, such as previous experience with the nicotine patch, body weight, number of cigarettes smoked per day, and medical history.			
	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 50%; text-align: center;">16 hour</th> <th style="width: 50%; text-align: center;">24 hour</th> </tr> </thead> <tbody> <tr> <td style="vertical-align: top;"> <ul style="list-style-type: none"> » 15-mg x 6 wks, 10-mg x 2 wks, 5-mg x 2wks » Remove before sleeping » Only releases nicotine for 16 hours </td> <td style="vertical-align: top;"> <ul style="list-style-type: none"> » 21-mg x 6 wks, 14-mg x 2 wks, 7-mg x 2wks » 22-mg x 4 wks, 11-mg x 4 wks » May remove CQ patch after 16 hours </td> </tr> </tbody> </table>	16 hour	24 hour	<ul style="list-style-type: none"> » 15-mg x 6 wks, 10-mg x 2 wks, 5-mg x 2wks » Remove before sleeping » Only releases nicotine for 16 hours
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Duration	The nicotine patch may be used for 10 weeks. After approximately 6 weeks of treatment, the patient may begin using a lower strength patch and then taper off by reducing the dosage every 2 weeks until the patch is discontinued completely..			
Efficacy	Use of nicotine patch doubles the odds of quitting as compared to placebo (Fiore et al, 2008). There is no difference in efficacy between 16-hour and 24-hour patches.			
Precautions	<ul style="list-style-type: none"> » Tobacco users in any of the special circumstances groups should consult with a physician before using the nicotine patch. » Extensive skin disease » Hypersensitivity to patch adhesive » Stop use if signs of nicotine toxicity develop. Symptoms of nicotine overdose include nausea, vomiting, dizziness, weakness, and rapid heartbeat. 			
Side Effects	<ul style="list-style-type: none"> » Cutaneous hypersensitivity » Headache » Sleep disturbances/insomnia/abnormal dreams (w/24-hr use) » Approximately 50% of patients using the nicotine patch will experience local skin irritation. Rotate patch sites to reduce skin irritation. Less than 5% of patients using the nicotine patch must discontinue use as a result of skin irritation. 			
Instructions for Use	<p>STOP ALL SMOKING</p> <ul style="list-style-type: none"> » After waking up on quit day, apply patch to relatively hairless area between waist and neck. » Apply new patch at start of each day » Rotate patch site to minimize local skin irritation » If sleep disturbance occurs with 24-hour patch, remove patch at bedtime or try 16-hour patch. 			

(Adapted from Fiore et al, 2008; Physicians' Desk Reference [PDR], 2005; PDR, 2007)



Nicotine Lozenge (<i>Nicotine Polacrilex</i>)	
The nicotine lozenge was first approved by the FDA in 2002. A person will need to stop using tobacco completely before using the nicotine lozenge.	
Dosage	<ul style="list-style-type: none"> » If smoke > 30 mins after waking: 2-mg » If smoke < 30 mins after waking: 4-mg » Weeks 1-6: 1 lozenge every 1-2 hours » Weeks 7-9: 1 lozenge every 2-4 hours » Weeks 10-12: 1 lozenge every 4-8 hours » Do not use more than 5 lozenges in 6 hours. » Do not use more than 20 lozenges per day
Duration	The patient should follow a 12-week schedule (lozenges should not be used for more than 12 weeks).
Efficacy	Use of the nicotine lozenge doubles the odds of quitting as compared to placebo (Shiffman et al., 2002).
Precautions	<ul style="list-style-type: none"> » Tobacco users in any of the special circumstances groups should consult with a physician before using the nicotine lozenge. » Stop use if signs of nicotine toxicity develop. Symptoms of nicotine overdose include nausea, vomiting, dizziness, weakness, and rapid heartbeat. » Contains phenylalanine 3.4-mg per lozenge
Side Effects	<ul style="list-style-type: none"> » Insomnia » Nausea » Hiccups » Coughing » Headache » Heartburn <p>These effects are generally mild and self-limiting. If side effects do not subside, or if they increase in severity, patients should discontinue use and consult a physician.</p>
Instructions for Use	<p>STOP ALL SMOKING</p> <ul style="list-style-type: none"> » Suck lozenge until fully dissolved (20-30 minutes). There may be a warm tingling sensation. The lozenge should be occasionally moved from one side of the mouth to the other until dissolved completely. » Consume only one lozenge at a time » No eating or drinking 15 minutes before and during use (decreases nicotine absorption)

(Adapted from Fiore et al., 2008; Physicians' Desk Reference [PDR], 2005; PDR, 2007)

Nicotine Nasal Spray

The FDA approved the nicotine nasal spray in 1996 for prescription only. A person will need to stop using tobacco completely before using nicotine nasal spray.

Dosage	<p>A single dose of two sprays (one in each nostril) from the inhaler is equal to 1 mg of nicotine.</p> <ul style="list-style-type: none"> » One dose = one 0.5-mg spray to each nostril (1-mg total) » Weeks 1-8: 1-2 doses/hour » Weeks 9-14: gradually reduce dosage and discontinue » Maximum: 40 doses/day (5 doses/hour)
Duration	Nicotine nasal spray may be used for 3–6 months.
Efficacy	Use of nicotine nasal spray nearly triples the odds of quitting as compared to placebo (Fiore et al., 2008).
Precautions	<ul style="list-style-type: none"> » Tobacco users in any of the special circumstances groups should consult with a physician before using nicotine nasal spray. » Severe reactive airways disease » People using nicotine nasal spray have the potential to become dependent; approximately 15–20% of patients report using nicotine nasal spray for longer periods than recommended. » Stop use if signs of nicotine toxicity develop. Symptoms of nicotine overdose include nausea, vomiting, dizziness, weakness, and rapid heartbeat.
Side Effects	<ul style="list-style-type: none"> » Moderate to severe nasal irritation in first few days of use (persistent sneezing, coughing, or runny nose and watery eyes) » Some irritation may persist for weeks » Nasal congestion » Throat irritation » Transient changes in taste and smell » People with a history of nasal irritation and sinus allergies should not use nicotine nasal spray. People who experience severe persistent sneezing, coughing, or runny nose and watery eyes after the first 2 days of using nicotine nasal spray should discontinue use and consult a physician.
Instructions for Use	<p>STOP ALL SMOKING</p> <ul style="list-style-type: none"> » The spray is best delivered with the head tilted slightly back » Avoid swallowing, sniffing, or inhaling during dosing to minimize side effects

(Adapted from Fiore et al., 2008; Physicians' Desk Reference [PDR], 2005; PDR, 2007)



Nicotine Inhaler

The FDA approved the nicotine inhaler in 1997 for prescription only. A person will need to stop using tobacco completely before using the nicotine inhaler.

Dosage	<ul style="list-style-type: none"> » One dose = one puff or inhalation » One cartridge delivers 4-mg nicotine over approximately 80 inhalations (about 3-5 cigarettes) » Weeks 1 to 12: 6-16 cartridges/day
Duration	Treatment is recommended for up to 6 months. Use should be tapered off gradually during the final 3 months of the treatment.
Efficacy	Use of the nicotine inhaler increases the odds of quitting by 150% as compared to placebo (Fiore et al., 2008).
Precautions	<ul style="list-style-type: none"> » Tobacco users in any of the special circumstances groups should consult with a physician before using the nicotine inhaler. » Stop use if signs of nicotine toxicity develop. The symptoms of nicotine overdose include nausea, vomiting, dizziness, weakness, and rapid heartbeat.
Side Effects	<ul style="list-style-type: none"> » Around 40% of patients using the nicotine inhaler will present with local irritation (redness and/or swelling) of the mouth and throat. » Coughing (Approx. 32% of patients) » Rhinitis (Approx. 23% of patients) <p>These symptoms are generally mild and decrease in severity over time. People who experience severe mouth or throat irritation while using the nicotine inhaler should discontinue use and consult a physician.</p>
Instructions for Use	<p>STOP ALL SMOKING</p> <p>People should use the nicotine inhaler any time that they begin to experience a craving for a cigarette or begin to feel other withdrawal symptoms.</p> <ul style="list-style-type: none"> » <i>To use the inhaler:</i> The plastic mouthpiece should be separated into its two parts. One nicotine cartridge should be placed into the mouthpiece. The mouthpiece should be reassembled, pushing the two parts back together to break the seal on either end of the cartridge. The patient should place the end of the mouthpiece in his or her mouth and inhale. » Each cartridge provides 20 minutes of active puffing—approximately 80 draws or about 300 shallow inhalations. » Decreased nicotine delivery in low ambient temperatures (<40F): keep cartridges in pocket to keep warm » No eating or drinking 15 minutes before use and during use (decreases nicotine absorption) » Best effects with frequent puffing

(Adapted from Fiore et al., 2008; Physicians' Desk Reference [PDR], 2005; PDR, 2007)

Bupropion SR (Zyban®)	
Bupropion SR is the first non-nicotine replacement drug approved by the FDA for smoking cessation (1997). It is available by prescription only. Mechanism of action is presumed to be mediated through dopaminergic and/or noradrenergic mechanisms.	
Dosage	The recommended dose is 150 mg once daily for 3 days. Dosage then increases to 150 mg twice a day for 4 days (after which the patient should stop all tobacco use) and continue at this level for the remainder of the treatment.
Duration	Treatment with bupropion SR is recommended for 7 to 12 weeks.
Efficacy	Use of bupropion SR doubles the odds of quitting as compared to placebo (Fiore et al., 2008).
Precautions	<ul style="list-style-type: none"> » Tobacco users in any of the special circumstances groups should consult with a physician before using bupropion SR. » Contraindications: history of seizure disorder, eating disorder, serious head trauma, current alcoholism or alcohol abuse, concurrent use of another form of bupropion, use of MAO inhibitor in past 14 days. » Bupropion allergy » Seizure risk: 1 out of 1000 users » Bupropion SR is not currently recommended as a tobacco cessation treatment for pregnant women and adolescents.
Side Effects	<ul style="list-style-type: none"> » Insomnia » Dry mouth » Shakiness » Rash <p>If insomnia is problematic, physicians should recommend taking the first dose earlier in the morning, so that the second dose (8 hours after the first dose) occurs earlier in the day.</p>
Instructions for Use	<ul style="list-style-type: none"> » Start treatment with bupropion SR 1-2 weeks before quit date » Continue 150-mg b.i.d. for 7-12 weeks after quit date » If insomnia marked, take PM dose in afternoon » Use alcohol only in moderation

(Adapted from Fiore et al., 2008; Physicians' Desk Reference [PDR], 2005; PDR, 2007)



Varenicline (Chantix™)	
Varenicline is a partial nicotinic acetylcholine receptor agonist. It was designed to bind to nicotine receptors in the brain and ease withdrawal symptoms in adult tobacco users. Varenicline blocks the effects of nicotine from cigarettes if the patient resumes smoking.	
Dosage	<ul style="list-style-type: none"> » Day 1 to Day 3: white tablet (0.5-mg), 1 tablet each day » Day 4 to Day 7: white tablet (0.5-mg), twice a day (1 in the morning and 1 in the evening) » Day 8 to end of treatment: blue tablet (1-mg), twice a day (1 in the morning and 1 in the evening) » Varenicline should be taken after eating with a full glass (8 ounces) of water.
Duration	Treatment with varenicline is recommended for 12 weeks. For patients who have quit smoking at 12 weeks, an additional 12 weeks of varenicline is recommended to further increase the likelihood of long-term abstinence (Tonstad et al., 2006).
Efficacy	Use of varenicline 3 times to nearly 4 times greater compared to placebo depending on which time point abstinence is assessed (Gonzales et al., 2006; Jorenby et al., 2006).
Precautions	<ul style="list-style-type: none"> » Tobacco users in any of the special circumstances groups should consult with a physician before using varenicline. » History of kidney problems or kidney failure » History of psychiatric illness » Advise patients and caregivers that the patient should stop taking varenicline and contact a healthcare provider immediately if agitation, depressed mood, or changes in behavior that are not typical for the patient are observed, or if the patient develops suicidal ideation or suicidal behavior. <p><i>Note:</i> Safety and efficacy not established in patients with serious psychiatric illness.</p>
Side Effects	<ul style="list-style-type: none"> » Nausea » Changes in dreaming » Constipation, Gas » Vomiting <p><i>Note:</i> Nausea was reported by approximately 30% of patients treated with varenicline 1 mg twice a day, with approximately a 3% discontinuation rate during 12 weeks of treatment. Nausea was generally described as mild or moderate and often transient. Patients who cannot tolerate nausea may have the dose lowered temporarily or permanently.</p>
Instructions for Use	<ul style="list-style-type: none"> » Start varenicline treatment one week before quit date » Varenicline should be taken after eating with a full glass (8 ounces) of water

(Adapted from Fiore et al., 2008; Physicians' Desk Reference [PDR], 2005; PDR, 2007)

REFLECTIONS

Second-Line Medications

- » Clonidine
- » Nortriptyline

Second-line medications are pharmacotherapies for which there is evidence of efficacy for treating tobacco dependence, but which have a more limited role than first-line medications because:

- » The FDA has not approved them for a tobacco-dependence treatment indication
- » There are more concerns about potential side effects than exist with first-line medications

Second-line treatments should be considered for use on a case-by-case basis after first-line treatments have been used or considered (Fiore et al, 2008).



Clonidine	
Clonidine is appropriate as a second-line treatment for smoking cessation. Clonidine is not approved by the FDA for the treatment of tobacco dependence.	
Dosage	Doses used in various clinical trials have varied from 0.15–0.75 mg/day orally to 0.10–0.20 mg/day transdermal patch, without a clear dose-response relation to cessation.
Duration	Patients should begin taking clonidine shortly (3 days) prior to or on the quit date. Duration of treatment ranges from 3 to 10 weeks.
Efficacy	The use of clonidine doubles the odds of quitting as compared to placebo.
Precautions	<ul style="list-style-type: none"> » As an antihypertensive medication, clonidine can be expected to lower blood pressure in most patients. Monitoring blood pressure is therefore recommended during treatment. » Failure to gradually reduce dosage over 2–4 days before discontinuation may result in rebound hypertension.
Side Effects	The most commonly reported side effects of clonidine are dry mouth, drowsiness, dizziness, sedation, and constipation.
Instructions for Use	Initial dosing is typically 0.10 mg twice daily orally, or 0.10 mg/day transdermal patch. Increase by 0.10 mg/day per week as needed.

(Adapted from Fiore et al., 2008; Physicians' Desk Reference [PDR], 2005; PDR, 2007)

Nortriptyline	
Nortriptyline is appropriate as a second-line treatment for smoking cessation. Nortriptyline is not approved by the FDA for the treatment of tobacco dependence.	
Dosage	<ul style="list-style-type: none"> » Dosage begins 10–28 days before the quit date. » Initiate treatment at 25 mg/day, gradually increasing to 75–100 mg/day. Blood levels of nortriptyline may be monitored.
Duration	Duration of treatment in smoking cessation is approximately 12 weeks.
Efficacy	Use of nortriptyline triples the odds of quitting as compared to placebo.
Precautions	Use with extreme caution in patients with cardiovascular disease.
Side Effects	The most commonly reported side effects are sedation, urinary retention, light-headedness, dry mouth, blurred vision, and hand tremor.
Instructions for Use	Patients should begin taking nortriptyline 10–28 days before the quit date to allow the medication to reach a steady state in the blood.

(Adapted from Fiore et al., 2008; Physicians' Desk Reference [PDR], 2005; PDR, 2007)

**SAMPLE PHYSICIAN ORDER FORM
TOBACCO DEPENDENCE TREATMENT**

Tobacco Treatment Checklist

ASK about tobacco use:	<input type="checkbox"/> Review tobacco use history in chart	<input type="checkbox"/> Ask if currently use tobacco
ADVISE tobacco user to stop:	<input type="checkbox"/> Stop-smoking advice given: "I strongly advise you to quit smoking. It is never too late to turn your health around, and we can help you."	
ASSESS readiness to quit:	<input type="checkbox"/> Willing to quit	<input type="checkbox"/> Thinking about quitting
	<input type="checkbox"/> Unwilling to quit	<input type="checkbox"/> Relapse
ASSIST tobacco user to quit:	<input type="checkbox"/> Brief counseling: Reasons to quit, Barriers to quitting, Lessons from past attempts, Set a quit date if ready, Enlist social support	
	<input type="checkbox"/> Medications (if appropriate): Nicotine Replacement (circle): patch gum nasal spray inhaler lozenge	
	Other (circle): bupropion SR varenicline	
ARRANGE follow-up:	<input type="checkbox"/> Refer to National or Local Quitline: _____ and the American Legacy Program: <i>Become an EX</i> www.becomeanex.org	
	<input type="checkbox"/> Community-based stop-smoking programs	

NOTE: Pharmacotherapies can double or triple a patient's chances of successful abstinence.

FIRST-LINE THERAPY

Nicotine Gum

Chew one piece of gum every 1-2 hours or as needed. Do not use >24 pieces per day.

- 2-mg dose up to 24 pieces per day if patient smokes < 25 cigarettes per day
- 4-mg dose up to 24 pieces per day if patient smokes ≥ 25 cigarettes per day

Nicotine Patch

Apply patch as soon as patient awakens each AM.

May remove at bedtime if patient experiences sleep disruption. Notify physician if localized skin reaction occurs.

- Three strengths: 21, 14, or 7 mg/24 hours per patch
- Two strengths: 22 or 11 mg/24 hours per patch
- One strength: 15 mg/16 hours per patch

Nicotine Lozenge

Use one lozenge q 1 to 2 hours, weeks 1 to 6; one lozenge q 2 to 4 hours, weeks 7 to 9; one lozenge q 4 to 8 hours, weeks 10 to 12. Do not use more than 20 lozenges per day.

- First cigarette >30 minutes after waking: 2 mg lozenge
- First cigarette within 30 minutes after waking: 4 mg lozenge

Nicotine Nasal Spray

One 0.5 mg delivery to each nostril (1 mg total). Initial dosing should be 1 to 2 doses per hour, increasing as needed for symptom relief. Minimum treatment is 8 doses/day and maximum limit is 40 doses/day or 5 doses/hour.

Nicotine Inhaler

Administer 6 to 16 4-mg dose cartridges per day, instructing patient to drink only water for 15 minutes before and during inhalation. Best effects are achieved by frequent puffing.

Bupropion SR

150 mg every AM PO for 3 days, then 150 mg PO b.i.d.

Do not give if patient is pregnant or lactating, has a history of seizures or eating disorder, is already taking another form of bupropion, or is on an MAO inhibitor within 14 days of use.

Varenicline

0.5 mg every AM PO for 3 days, then 0.5 mg b.i.d. for 4 days, then 1 mg b.i.d.

Do not give if patient has history of psychiatric illness or if patient is pregnant or lactating. Adjust dose in patients with renal insufficiency.

AT DISCHARGE

- Prescription for bupropion SR 150 mg b.i.d. x 8 weeks.
- Order for Nicotine Replacement Therapy as above.
- Prescription for varenicline as directed x 12 weeks.

Physician Signature: _____ Date: _____

This sample order sheet may be used as a guideline to assist a physician or healthcare organization to recommend pharmacotherapy (if appropriate) and to refer patients who are dependent on tobacco to intensive services. It is not intended to replace internal processes of physician order development and approval.

(UA HealthCare Partnership, 2009)



Special Circumstances to Consider with Pharmacotherapy

The U.S. Public Health Service Clinical Practice Guideline: *Treating Tobacco Use and Dependence: 2008 Update* recommends that all tobacco users trying to quit be encouraged to use effective medications for cessation unless they present with special circumstances. Those who fall into the categories below should consult with a physician to determine the appropriateness of pharmacotherapy to treat their tobacco dependence (Fiore et al., 2008):

- » Patients who smoke less than 10 cigarettes a day
- » Adolescents
- » Pregnant and breastfeeding women (insufficient evidence supports NRT use with pregnant women [Dempsey & Benowitz, 2002; Hotham, Gilbert, & Atkinson, 2005])
- » Patients with serious medical conditions (for example, recent heart attack, unstable angina, arrhythmia, or uncontrolled hypertension)

Patients Who Smoke Less than 10 Cigarettes per Day

Clinicians should consider prescribing nicotine replacement products at a lower dose than recommended in patients who smoke less than 10 cigarettes per day (Fiore et al., 2008).

Adolescents

There is no contraindication to the use of first-line medications for tobacco dependence treatment in adolescents. However, consider the teen's stage of willingness to quit, body weight, and nicotine dependency level before selecting a dosage (Fiore et al., 2008). Adolescents will likely require lower dosages of medication than adults. Effectiveness of NRT has not been demonstrated effective in pediatric tobacco use prevention (Fiore et al., 2008).

REFLECTIONS

REFLECTIONS

Pregnant and Breastfeeding Women

Pharmacotherapy should be considered when a pregnant woman is otherwise unable to quit, and when the likelihood of quitting, with its potential benefits, outweighs the risks of pharmacotherapy and potential continued smoking (UpToDate, 2009).

Cardiovascular Disease

Nicotine replacement therapy is not an independent risk factor for Cardiovascular Disease (CVD). Nicotine replacement therapy should be used with caution in patients with recent myocardial infarction, serious arrhythmias, and/or serious or worsening angina pectoris (Fiore et al., 2008).

As an antihypertensive medication, clonidine can be expected to lower blood pressure in most patients. Therefore, blood pressure should be monitored during treatment (Talwar, Jain, & Vijayan, 2004).

Failure to gradually reduce the dose over several days before discontinuation may result in rebound hypertension in hypertensive patients and relative hypoglycemia in patients with diabetes (Gourlay, Stead, & Benowitz, 2004).

Because of the risk of arrhythmias and changes in contractility and blood flow, nortriptyline should be used with extreme caution in patients with cardiovascular disease (Fiore et al., 2008).

Varenicline is not designed to be used in combination with nicotine replacement therapies, nor has it been studied in combination with other smoking cessation medications (Cahill et al., 2007).



REFLECTIONS

The Reynolds Adolescent Depression Scale is a 30-item survey designed to measure the presence and degree of symptoms characterizing depression in adolescents. The survey and associated information can be obtained from the publisher's website below.

Website Resource for the Reynolds Adolescent Depression Scale

<http://www.sigmaassessmentssystem.com/assessments/rads.asp>

Precautions, Warnings, Contraindications, and Side Effects

Clinicians should encourage all patients attempting to quit to use effective medications for tobacco dependence treatment, except where contraindicated, where there may be significant side effects, or for specific populations for which there is insufficient evidence of effectiveness.



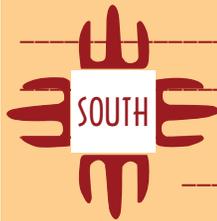
	Nicotine Replacement Therapy (NRT)	Bupropion	Varenicline
Pregnancy	Compatible - Maternal benefit >> Embryo/Fetal Risk Contraindicated (with any use of tobacco)	Human Data Suggest Low Risk	No Human Data - Animal Data Suggest Low Risk
Breast feeding	No Human Data - Potential Toxicity	Limited Human Data - Potential Toxicity	No Human Data - Potential Toxicity
Cardiovascular Disease	NRT is not an independent risk factor for acute myocardial events. NRT should be used with caution among particular cardiovascular patient groups: those with serious arrhythmias, unstable angina pectoris, recent myocardial infarction, and/or uncontrollable hypertension.	Generally well tolerated; occasional reports of hypertension.	Not contraindicated.
Lung/COPD	Nasal Spray: should not be used in persons with severe reactive airway disease.		
Psychiatric disorders/substance abuse disorders	Nasal Spray: highest dependence potential due to highest peak nicotine levels than other NRTs; 15-20% use for longer than recommended periods (6-12 months); 5% used the spray at a higher dose than recommended. Nicotine Patch: insomnia and/or vivid dreams.		Warning about depressed mood, agitation, changes in behavior, suicidal ideation, and suicide. Patients should discuss any history of psychiatric illness prior to starting the medication, and clinicians should monitor patients for changes in mood and behavior.
Seizures		Contraindicated in individuals with a history of seizures or eating disorders.	
Renal Disease			Precautions in patients with significant kidney disease (CrCl < 30 mL/min) or who are on dialysis.
Drug Interactions		Contraindicated in individuals who have used an MAO inhibitor in the past 14 days. Should not be combined with other forms of bupropion (duplication).	
Common Side Effects	Gum: mouth soreness, hiccups, dyspepsia, and jaw ache. Inhaler: local irritation in the mouth and throat (40%), coughing (32%) and rhinitis (23%). Lozenge: nausea, hiccups, heartburn; 4-mg dose has increased rates of headache and coughing (<10%). Nasal Spray: severe nasal irritation during first 2 days (94%) and after 3 weeks mild-moderate (81%); transient changes in smell and taste reported. Patch: local skin reactions (up to 50%) that are mild and self-limiting; occasionally worsen over time; use topical hydrocortisone cream or triamcinolone cream and rotate sites. Insomnia and/or vivid dreams.	Insomnia (35-40%) Dry mouth (10%)	Nausea (30%) Trouble sleeping Abnormal/vivid/strange dreams

(Adapted from Briggs, et.al, 2008; Fiore et al, 2008)

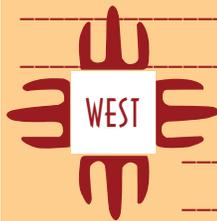
Action



THINKING – What information will help me to understand the health risks of commercial tobacco in my community?



PLANNING – What can I do?



INITIATING A PLAN – How will I do it?



EVALUATING AND MAINTAINING – How will I know that the program is working?

SPECIAL POPULATIONS

UNIT SIX



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Guidelines for Intervening with Pregnant Women

“Smoking hurts your child. Future generations of American Indian people depend on you.”

Lakota women made elaborate beaded ceremonial robes to reflect “the core of the Lakota life-way (*wiconi*) which is woman centered in the *tipi* (lodge or home). In traditional culture, the *tipi* rested upon the image of *Ina Maka* (Mother Earth)... The design elements are all symbolic representations of a woman’s love and commitment to a Lakota woman’s reason for being and belonging”—Beatrice Medicine, *Standing Rock Sioux* (Williams, Wierzbowski, & Preucel, 2005).

When working with an American Indian community, it is important to understand the role that tobacco plays within the tribal culture of that community. In a biomedical approach, tobacco is portrayed as an addiction that requires total abstinence by those who smoke. However, a significant number of Native American nations consider traditional tobacco to be sacred and to be essential in ceremonial healing. Traditional tobacco carries prayers to the Creator, and, thus, is used by pregnant women in many tribes to bless and protect the unborn baby as well as the mother. Ceremonial tobacco use is very different from the recreational use of commercial tobacco, which is considered by American Indians to be inappropriate for expectant mothers.

Because the sacred use of traditional tobacco is an important part of pregnancy in many American Indian cultures, it is essential to present smoking cessation in a culturally appropriate manner. A good way to do this is to foster openness and respect for Native American cultural values, especially around the issue of tobacco. To implement cultural awareness:

- 
- » Emphasize the difference between the use of sacred tobacco and commercial tobacco, especially at this important life stage
 - » Ask about cultural beliefs of pregnant American Indian women in the population with whom you work
 - » Talk and be open with American Indian providers who are from the culture with which you work

“ *Every action should be taken with thoughts of its direct effects on children seven generations from now.*

–Cherokee saying

See the national partnership to help pregnant smokers quit using tobacco:
www.helppregnant smokersquit.org/search.aspx?s=Native+American+Women.



REFLECTIONS

If all pregnant women quit using tobacco during pregnancy, fetal and infant deaths would decrease by 10% (USDHHS, 2001).

Smoking Increases a Pregnant Woman's Risk Of

- » Abruptio placenta
 - » Decreased fetal growth
 - » Ectopic pregnancy
 - » Placenta previa
 - » Placental abnormalities
 - » Premature birth
 - » Spontaneous abortion
 - » Stillbirth
- (Fiore et al., 2008; USDHHS, 2001)

Smoking During Pregnancy Increases a Baby's Risk Of

- » Dying from Sudden Infant Death Syndrome (SIDS)
 - » Cleft palate or cleft lip
 - » Development of childhood cancers
 - » Low birth weight (sickly baby)
 - » Small for gestational age (SGA)
 - » Abnormal lung development
 - » Alteration in architecture of the brain
- (Fiore et al., 2008; USDHHS, 2001)

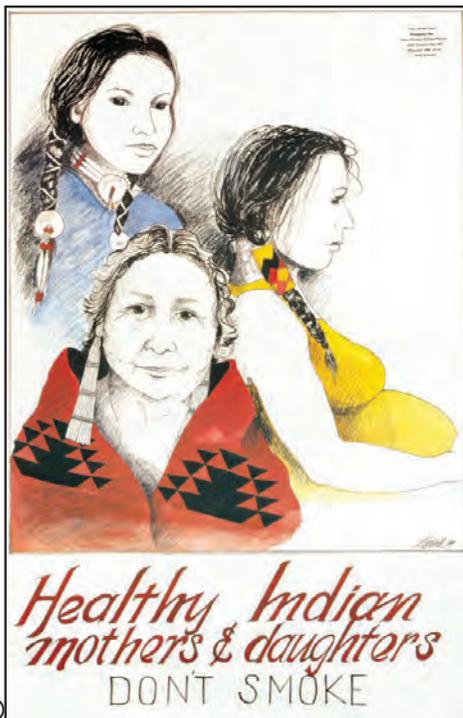
Pregnancy Is a Strong Motivation to Quit Smoking

Twenty-two percent to forty-one percent (22%–41%) of pregnant women quit spontaneously upon learning they are pregnant (Morasco, Dornelas, Fischer, Oncken, & Lando, 2006; Lumley, Oliver, Chamberlain, & Oakley, 2004; USDHHS, 2001). Providing continued support to pregnant women who quit on their own is important due to high rates of relapse since it has been estimated that 21%–35% relapse before delivery and 66%–90% relapse within one year postpartum (USDHHS, 2001, Lumley et al., 2004).

Successful Quitting in Pregnancy is Associated With

- » Older age
 - » Being married
 - » Having a non-smoking partner
 - » Low smoking level prior to pregnancy
 - » Smoking initiation later in life
 - » Previous successful quit attempts
 - » Strong beliefs regarding harmful effects to the fetus
 - » Early prenatal care
- (USDHHS, 2001)

Public Health Service Guidelines for Intervening With Pregnant Smokers



Clinicians should offer interventions to pregnant women who smoke at the first prenatal visit as well as throughout the course of pregnancy.

Pharmacotherapy should only be considered if the potential benefits outweigh its risks.

Because of the risk to the pregnant woman who smokes and to her fetus, pregnant women should be offered extended or augmented psychosocial interventions (Fiore et al, 2008).

REFLECTIONS

REFLECTIONS

Adapting the Five A's to Intervene With a Patient Who is Pregnant

NOTE: *Smoke-Free Families and The National Partnership to Help Pregnant Smokers Quit offer numerous resources to help make the "Five A's" a routine part of prenatal care. These resources are described in the brochure, "Here's How You Can Help Your Pregnant Patient Quit Smoking." For more information, call (919) 843-7663 or email info@helppregnantsmokersquit.org.*

Ask

Ask the individual to choose the statement that best describes her tobacco use status:

- » I have NEVER smoked, or have smoked FEWER THAN 100 cigarettes in my lifetime.
- » I stopped smoking BEFORE I found out I was pregnant, and I am not smoking now.
- » I stopped smoking AFTER I found out I was pregnant, and I am not smoking now.
- » I smoke some now, but I have CUT DOWN on the number of cigarettes I smoke since I found out I was pregnant.
- » I smoke regularly now, ABOUT THE SAME as before I found out I was pregnant.

There are high levels of false self-reports regarding smoking status given by pregnant women, with up to 40% non-disclosure (Fiore et al., 2008).

Advise

Motivational messages (e.g., counseling and brochures) should be tailored to address tobacco use and pregnancy.

These messages should emphasize the impact of tobacco use on both the tobacco user and the unborn baby (Fiore et al., 2008).



REFLECTIONS

Characteristics of Advice:

- » **Clear:** “I think it is important for you to quit smoking now and I will help you.”
- » **Strong:** “As your clinician, I need you to know that quitting tobacco use is the most important action you can take to protect your health and the health of your unborn child.”
- » **Personalized:** “You’ve mentioned that you are worried about having a premature baby. Stopping smoking now is the best action you can take to reduce that risk.”

Assess

Assess whether the patient is willing to make a quit attempt in the next 30 days and Assist accordingly.

- » **Unwilling** to make a quit attempt, then:
Assist by practical counseling using the 5 R’s:
 - » **Relevant** information
 - » Emphasis on **Rewards** and **Risks**
 - » Identification of **Roadblocks**
 - » **Repetition** at each encounter
- » **Willing** to make a quit attempt, then: Assist with a quit plan

Assist

Assist the patient in quitting.

The use of pregnancy-specific tobacco dependence treatment materials is twice as effective as non-specific/generic treatment materials in encouraging abstinence from tobacco in pregnant women (USDHHS, 2001).

Pregnant tobacco users should be encouraged to attempt quitting using educational and behavioral (problem-solving) interventions before pharmacological approaches. They should be strongly encouraged to quit throughout pregnancy because of the serious risks to the mother and unborn baby. Pregnant tobacco users should also be offered extended and augmented psychosocial interventions (Fiore et al., 2008).

REFLECTIONS

Brief interventions should be used in addition to more intensive interventions.

Arrange

Arrange follow-up contact in person or by telephone, within 2 weeks and preferably during the first week of a quit attempt.

- » Let the individual know that you are available when she is willing to quit.
- » Inform the individual that because it is so important, you will continue to ask her about tobacco use.
- » Congratulate success!
- » Discuss continued abstinence at the post-partum visit because of high relapse rates.
- » If relapse occurs, continue to refer to more intensive and/or a specialized program (Fiore et al., 2008).

Pharmacotherapy and Pregnant Women

Pharmacotherapy should be used during pregnancy only if the increased likelihood of abstaining from tobacco use, with its potential benefits, outweighs the risks of pharmacotherapy and potential continued smoking (Fiore et al., 2008; Hughes, Stead, & Lancaster, 2007).

* Note that there are no adequate and well-controlled studies on the use of bupropion in pregnancy.

Clinicians should:

- » Consider monitoring blood nicotine levels to assess level of drug delivery.
- » Consider prescribing medication at the low end of the dose range; alternatively, prescribe usual strength, but instruct patients using patch to remove at bedtime.
- » Consider using intermittent (gum) rather than continuous (patch) drug exposure. *NOTE: Efficacy in pregnancy has not been tested; the relative ratio of risks to benefits is unclear* (Fiore et al., 2008).



Adolescent Tobacco Use



Risk-taking behavior and peer pressure are common among teenagers. Most individuals dependent on tobacco tried their first cigarette before the age of 18, so other activities, especially sports and sweat lodges (if applicable) need to be in place to counter the pressure to smoke. Smoking interferes with sports and a healthy, spiritually balanced lifestyle.

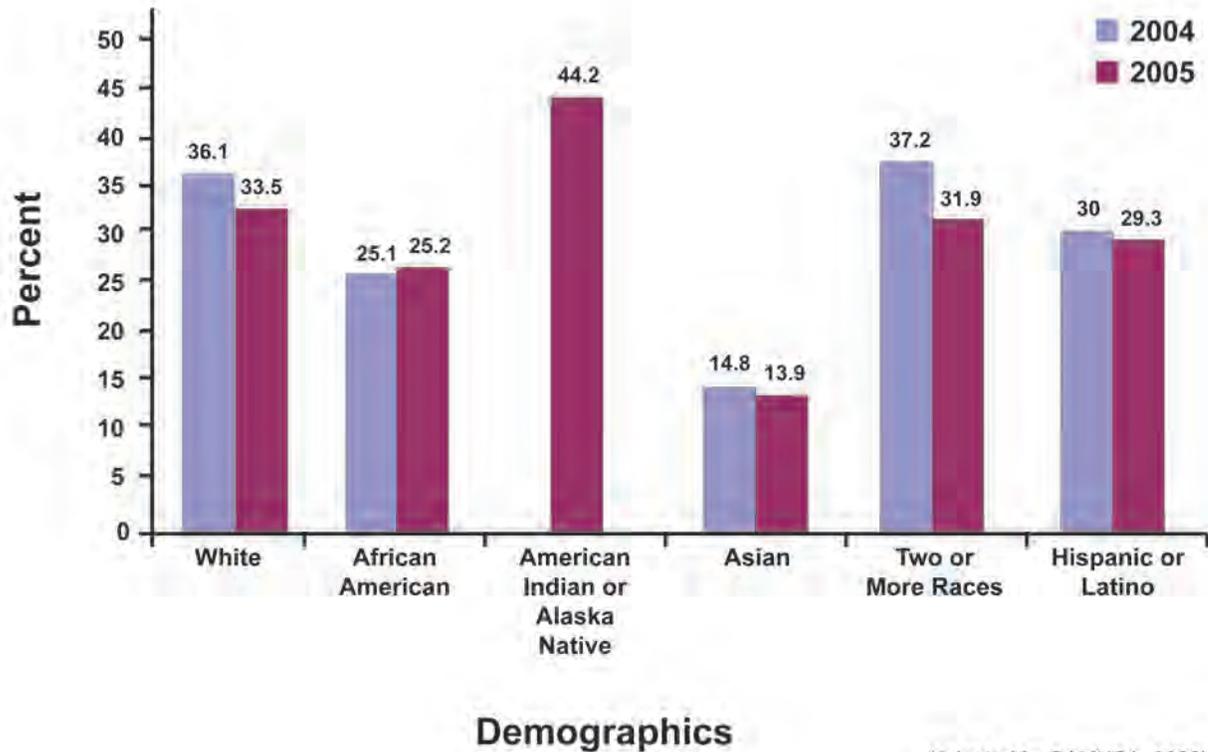
Trends in Teen Tobacco Use

According to Donna E. Shalala, PhD, Former Secretary of the U.S. Department of Health and Human Services, "Today, nearly 3000 young people across our country will begin smoking regularly. Of these 3000 young people, 1000 will lose that gamble to the diseases caused by smoking. The net effect of this is that among children living in America today, 5 million will die an early, preventable death because of a decision made as a child" (1997).



Tobacco Use Among Adolescents

Tobacco Product Use in Lifetime Among Persons Aged 12 to 17



(Adapted by SAMHSA, 2006)

- » More than 6 million kids alive today will die of smoking-related illness if rates do not decline (CDC, 2008b).
- » Each day more than 3500 youths try their first cigarette, and each day more than 1000 other youths under 18 years of age become regular, daily smokers (CDC, 2008b). That's 545,000 new underage daily smokers each year (CTFK, 2005).
- » Nationwide, 20% (3.5 million) of high school students smoke cigarettes (CDC, 2008b).
- » Seven (7) out of ten (10) young people who smoke report that they regret ever having started (Rankin, Jones, Basler, & Moore, 2006).
- » Three (3) out of four (4) young smokers have tried to quit at least once and failed (Fiore et al., 2008).
- » Addiction to nicotine in young people is not limited to smoking.

REFLECTIONS

- » Among all high school seniors who have ever used spit tobacco, almost seventy-five percent (75%) began by the ninth grade (CTFK, 2006b).
- » Eighty-three percent (83%) of American Indian 12th graders report lifetime cigarette use, as compared to 63% of non-American Indians (Beauvais, Jumper-Thurman, Helm, Plested, & Burnside, 2004).
- » Forty-six percent (46%) of American Indian 12th graders report lifetime smokeless tobacco use, as compared to twenty-three (23%) of non-American Indians (Beauvais et al., 2004).
- » In 2007, fourteen percent (14%) of high school students and 4% of middle school students were current cigar users (CDC, 2007).
- » More than One-third of high school students who try smoking will become regular smokers before leaving high school (CDC, 1998).

Tobacco is a Gateway Drug

Nearly 80% of adults dependent on tobacco smoked their first cigarette as an adolescent. And of these adults dependent on tobacco 71% were daily cigarette users before age 18 (SAMHSA, 2006).

Youths who smoke are:

- » Three times more likely to consume alcohol
- » Three times more likely to smoke marijuana
- » Four times more likely to use cocaine than youths who do not smoke cigarettes (CTFK, 2002)



Factors Influencing Initiation

- » Age at exposure
- » Advertising
- » Weight control
- » Curiosity
- » Depression
- » Appearance and image concerns
- » Peer, family, and social factors
- » Psychophysiological response

A parent who smokes doubles the odds that their child will begin smoking. Both parents smoking increases the odds even higher, as well as increasing the number of years of their child's dependency on tobacco.

Anticipate Tobacco Use Among Youth

The National Cancer Institute encourages anyone working with children and adolescents to anticipate exposure to tobacco use and early experimentation with smoking or chewing tobacco. Beginning at age 10, children should be asked about environmental tobacco smoke and actual tobacco use at each encounter with a health provider (Manley, Epps, Husten, Glynn, & Shopland, 1991).

➤ **Anticipate** risk factors for tobacco use:

- » Parents who use tobacco
- » Friends who use tobacco
- » Poor school performance
- » Positive attitude about smoking

➤ **Ask** about tobacco use in the home

- » The Guidelines recommend that Clinicians should strongly advise and that counseling has shown to be effective in treating adolescent smokers (Fiore et al., 2008).

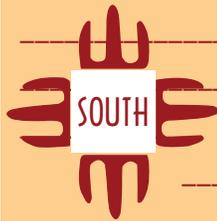
REFLECTIONS



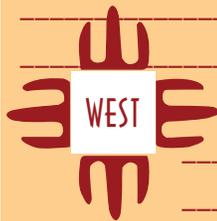
Action



THINKING – What information will help me to understand the health risks of commercial tobacco in my community?



PLANNING – What can I do?



INITIATING A PLAN – How will I do it?



EVALUATING AND MAINTAINING – How will I know that the program is working?

CHRONIC DISEASE AND TOBACCO RISKS

UNIT SEVEN



© Trudy Griffin-Pierce





Comorbidities: Diabetes and Heart Disease

Elders are repositories of tribal traditions, stories, and skills such as basket making and beading. They need to be alive to carry on knowledge from previous generations. Once you have made contact with the tribal community and have given talks at the community center, make an appointment to give a presentation at the community senior center to speak specifically to the elders in the community about tobacco-related health issues. Do not lecture to them, but simply plant the seeds by presenting information on the additional risk that cigarette smoking contributes to the severity of diabetes and heart disease. Be sure to mention that their children and grandchildren depend on them to pass on wisdom from their elders.

Arrange for *Lii Biyiin (Horse Song)*, a 60-minute film by Norman Patrick Brown about diabetes prevention for American Indians/Alaska Natives. This film follows the life of Jack White, a traditional Navajo man who is diagnosed with diabetes and begins a difficult journey back to health. *Lii Biyiin (Horse Song)* was funded by the Indian Health Service and is available as a video from Four Directions Health Communications, Northern Navajo Medical Center, Shiprock, NM, (505) 368-6499. For a real-life scenario of the health consequences of diabetes and daily life, visit *Living a Life with Diabetes is Still a Life to be Lived* at: www.tribalconnections.org/health_news/secondary_features/diabetes3.html.

“ Love your life, perfect your life, beautify all things in your life. Seek to make your purpose in the service of your people.... When you arise in the morning, give thanks for the food and for the joy of living.

–Attributed to Chief Tecumseh

REFLECTIONS

Prevalence of Tobacco Use and Diabetes

Smoking prevalence was reported to be significantly higher for diabetic AI/AN groups than for non-diabetic AI/AN groups, 29.8% and 18.8%, respectively (Morton et al., 2008).

Increased Risk of Developing Type 2 Diabetes

- » The risk of developing Type 2 diabetes increases nearly 6 times for cigarette smokers with a family history of diabetes.
- » Prevalence of Type 2 diabetes increases 1.5–2.7 times for current users of moist snuff as compared to non-users (Persson et al., 2000).
- » In addition to age of onset, blood glucose monitoring, socio-economic status, and health knowledge, smoking is one of the strongest predictors of poor metabolic control (Haire-Joshu et al., 1999).

Consequences of Tobacco Use

Hemodialyzed diabetic cigarette smokers show higher fibrinogen levels and have an increased systolic blood pressure reading. They also have a higher incidence of myocardial infarction (MI), and a decreased 5-year survival rate compared to nonsmoking patients on hemodialysis (Biesenbach & Zazgornik, 1996).

Persons with diabetes who smoke have:

- » Poorer glycemic control and increased prevalence of microvascular complications (Chaturvedi, Stephenson, & Fuller, 1995)
- » Increased risk of macrovascular complications and coronary artery disease
- » Excess morbidity & mortality due to circulatory and cardiovascular disease
- » Increased risk of nephropathy
- » Increased risk of albuminuria by >20%



REFLECTIONS

Heart Disease and Stroke

- » Smoking is one of the causes of coronary heart disease, the leading cause of death in the United States.
- » Cigarette smokers are 2–4 times more likely to develop coronary heart disease than nonsmokers.
- » In 2005, mortality from coronary heart disease (CHD) was **445,687**, accounting for about 1 in every 5 deaths in the United States (American Heart Association, 2009).
- » It is estimated that in 2009, 785,000 Americans will have a new coronary attack and about 470,000 will have a recurrent attack (American Heart Association, 2009).
- » Smoking low-tar or low-nicotine cigarettes rather than regular cigarettes appears to have little effect on reducing the risk for coronary heart disease.
- » Cigarette smoking approximately doubles a person's risk for stroke.
- » The U.S. incidence of stroke is estimated at 795,000 cases per year, with a mortality rate of about 30% (American Heart Association, 2009; El-Saed, Kuller, Newman, Lopez, Costantino, McTigue, Cushman, & Kronmal, 2006).
- » The risk of stroke decreases steadily after smoking cessation; former smokers have the same stroke risk as nonsmokers after 5 to 15 years.
- » Cigarette smoking causes reduced circulation by narrowing the blood vessels (arteries).
- » Smokers are more than 10 times as likely as nonsmokers to develop peripheral vascular disease.
- » Smoking causes abdominal aortic aneurysm.



Tobacco & Oral Health

The oral health risks associated with tobacco use are often overlooked. Tobacco is a known cause of oral cancer and other conditions that negatively affect oral health. The considerable rise in the use of smokeless tobacco products during the last quarter of the 20th century, especially among American Indians/Alaska Natives, highlights the need for early identification of and intervention with patients presenting with tobacco use by healthcare providers.

Risks to Oral Health Caused by Tobacco Use

- » Tobacco penetrates into tooth enamel and dental restorative materials, creating a brown to yellow staining of the teeth (USDHHS, 2000).
- » Current users of smokeless tobacco are 4 times as likely as those who have never used tobacco to have one or more decayed or filled root surfaces (Tomar & Winn, 1999).
- » The use of smokeless tobacco products may increase the risk of dental caries as a result of sweeteners added during the manufacturing process (Tomar & Winn, 1999; USDHHS, 2000).
- » Abrasives contained in chewing tobacco can wear away portions of the occluding surfaces of teeth (USDHHS, 2000).
- » Gingival recession is a common effect of smokeless tobacco. The gingiva may not regenerate after cessation (Spangler & Salisbury, 1995).
- » Additional oral health tobacco-related conditions:
 - » Snuff dipper's pouch
 - » Smoker's palate (nicotinic stomatis)
 - » Smoker's melanosis
 - » Smoker's lip (burns)
 - » Tooth loss
- » Periodontal disease
 - » Destructive periodontitis

REFLECTIONS

REFLECTIONS

- » Focal gingival recession with periodontal attachment loss
- » Acute necrotizing ulcerative gingivitis (trench mouth)
- » Gingival bleeding
- » Halitosis (bad breath)
- » Hairy tongue
(USDHHS, 2000; Mirbod & Ahing, 2000)

Mouth Cancer (Oral Cancer)

- » There are several risk factors associated with the origin of oral cancer, but invariably, it can be traced back to past and present use of alcohol and **tobacco products**: cigarettes, cigars, pipes, and smokeless tobacco (Boffetta, Mashberg, Winkelmann, & Garfinkel, 1992; Silverman, 1990; USDHHS, 2000).
- » Tobacco use and heavy alcohol consumption are the two principal risk factors, accounting for 75% of oral carcinomas (Gonsalves, Chi, & Neville, 2007).
- » Tobacco has been established as a cause of oral cancer, and smokeless tobacco users have a substantially increased risk compared to nonusers (Tomar & Winn, 1999; NIH, 2006; Hatsukami & Severson, 1999; USDHHS, 1986).
- » In comparison with nonsmokers, smokers have up to 10 times the risk of developing oral cancer or cancer of the pharynx (USDHHS, 2004).
- » Oral cancer and pre-malignant lesions in the mouth are more common among users of **smokeless tobacco** (Ghosh, Shukla, Mohapatra, & Shukla, 1996; Winn et al., 1981).
- » Leukoplakia (pre-malignant white patches or plaque on the oral mucosa) occurs 6 times more frequently in smokers than in nonsmokers: 23% versus 4% (USDHHS, 2000; Spangler & Salisbury, 1995).
- » Leukoplakia appears in 40% to 60% of smokeless tobacco users in the area where the tobacco is held in the mouth, usually appearing within a few months of beginning regular use (USDHHS, 2000).

DETECTING ORAL CANCER

A Guide for Health Care Professionals

<p>INCIDENCE AND SURVIVAL</p> <p>Oral or pharyngeal cancer will be diagnosed in an estimated 28,000 Americans this year, and will cause approximately 7,000 deaths. On average, 59 percent of those with the disease will survive more than five years.</p> <p>THE IMPORTANCE OF EARLY DETECTION <i>Leah E. Hines, DMD, FRCR</i></p> <p>With early detection and timely treatment, deaths from oral cancer could be dramatically reduced. The five-year survival rate for those with localized disease at diagnosis is 81 percent compared with only 30 percent for those whose cancer has spread to other parts of the body.</p> <p>Early detection of oral cancer is often possible. Tissue changes in the mouth that might signal the beginnings of cancer often can be seen and felt easily.</p>	<p>WARNING SIGNS <i>Leah E. Hines, DMD, FRCR</i></p> <p>Two lesions that could be precursors to cancer are leukoplakia (white lesions) and erythroplakia (red lesions). Although less common than leukoplakia, erythroplakia and lesions with erythroplakic components have a much greater potential for becoming cancers. Any white or red lesion that does not resolve itself in two weeks should be reevaluated and considered for biopsy to obtain a definitive diagnosis.</p> <p><i>Other possible signs/symptoms of oral cancer</i></p> <p>Possible signs/symptoms of oral cancer that your patients may report: a lump or thickening in the oral soft tissues, sores or a feeling that something is caught in the throat, difficulty chewing or swallowing, ear pain, difficulty moving the jaw or tongue, hoarseness, numbness of the tongue or other areas of the mouth, or swelling of the jaw that causes dentures to fit poorly or become uncomfortable.</p> <p>If the above problems persist for more than two weeks, a thorough clinical examination and laboratory tests, as necessary, should be performed to obtain a definitive diagnosis. If a diagnosis cannot be obtained, referral to the appropriate specialist is indicated.</p>	<p>RISK FACTORS <i>Tobacco/Alcohol Use</i></p> <p>Tobacco and excessive alcohol use increase the risk of oral cancer. Using both tobacco and alcohol poses a much greater risk than using either substance alone.</p> <p><i>Sunlight</i></p> <p>Exposure to sunlight is a risk factor for lip cancer.</p> <p><i>Age</i></p> <p>Oral cancer is typically a disease of older people usually because of their longer exposure to risk factors. Incidence of oral cancer rises steadily with age, reaching a peak in persons aged 65-74. For African Americans, incidence peaks about 10 years earlier.</p> <p><i>Gender</i></p> <p>Oral cancer strikes men twice as often as it does women.</p>	<p>WHAT YOU CAN DO</p> <p>A thorough head and neck examination should be a routine part of each patient's dental visit. Clinicians should be particularly vigilant in checking those who use tobacco or excessive amounts of alcohol.</p> <ul style="list-style-type: none"> • EXAMINE your patients using the head and neck examination described here. • TAKE A HISTORY of their alcohol and tobacco use. • INFORM your patients of the association between tobacco use, alcohol use, and oral cancer. • FOLLOW-UP to make sure a definitive diagnosis is obtained on any possible signs/symptoms of oral cancer. <p>THE EXAM</p> <p>This exam is abstracted from the standardized oral examination method recommended by the World Health Organization. The method is consistent with those followed by the Centers for Disease Control and Prevention and the National Institutes of Health. It requires adequate lighting, a dental mouth mirror, two 2 1/2-gauge squares, and gloves; it should take no longer than 5 minutes.</p>
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THE EXAMINATION



- | | | | |
|--|---|---|--|
| <p>The examination is conducted with the patient seated. Any intraoral prostheses are removed before starting. The extraoral and perioral tissues are examined first, followed by the intraoral tissues.</p> | <p>I. The Extraoral Examination</p> <ul style="list-style-type: none"> ◆ FACE (Figure 1) <p>II. Perioral and Intraoral Soft Tissue Examination</p> | <ul style="list-style-type: none"> ◆ LIPS (Figure 2) ◆ LABIAL MUCOSA (Figures 3 and 4) ◆ BUCCAL MUCOSA (Figures 5 and 6) ◆ GINGIVA (Figure 7) | <ul style="list-style-type: none"> ◆ TONGUE (Figures 8-11) ◆ FLOOR (Figure 12) ◆ PALATE (Figures 13-15) |
|--|---|---|--|

ORAL LESIONS

Suspicious for Oral Cancer



(National Institute of Dental and Craniofacial Research, 2006)



Mental Illness and Tobacco

It has been said that one cannot understand American Indian/Alaska Native perspectives without recognizing the unique relationship between Native people and the federal government. Historical events, such as forced attendance at boarding schools far from home, destroyed not only generational family ties, but also the transmission and strength of traditional cultures. As colonized people and as the most underserved minority in this country, American Indian/Alaska Native people experience intergenerational grief and anger as well as historical trauma related to post-traumatic stress disorder.

“ *Our ceremonies are the strength that sustain us.*

The birthing of a new child,

The wedding of husband and wife,

The teaching of the wise elder,

The healing of those ill in body and mind.

These and more are our ceremonies.

They were our strength when everything was taken away,

They will renew us in hard times ahead,

They make us strong,

They are our strength.

– Cherokee healer

From Listening with Your Heart: Lessons from Native America (Peate, 2003)



REFLECTIONS

For example:

- » Increased dopamine from nicotine may be therapeutic for patients, as it may alleviate negative symptoms (Punnoose & Belgamwar, 2006).
- » Tobacco use may also decrease neuroleptic-induced parkinsonism in people with schizophrenia (Dalack, Healy, & Meador-Woodruff, 1998).
- » Psychosocial factors are also a contributing factor to the high rates, such as limited education, poverty, unemployment, and peer influence, coupled with the mental health treatment system that accepts and often condones smoking (Ziedonis, D. et al., 2008).

Depression and Tobacco Use

People diagnosed with major depression or clinically significant depressive symptoms have smoking prevalence estimates that range from 40%-60%. Individuals with a history of depression are 1.6 times more likely to smoke (Williams & Ziedonis, 2004). Thirty percent (30%) of patients seeking tobacco dependence treatment services may have a history of depression. (Ziedonis & Williams, 2003).

Research suggests that the relationship between depression and smoking may be bidirectional: depression increases the risk of smoking, and chronic smoking increases a person's vulnerability to depression. Shared genes may contribute to both of these vulnerabilities (Ziedonis et al., 2008).

The following findings from the research highlight the difficulties that individuals with depressive disorders may have when trying to quit:

- » A history of major depression is predictive of difficulty abstaining from smoking. Persons with histories of major depression or anxiety disorders report more severe withdrawal symptoms.
- » Attempting to quit tobacco use can trigger a depressive episode in those with a history of depression. Treatment for tobacco



REFLECTIONS

dependence should be coordinated with the clinician providing medical care for depression.

- » Patients with negative affect at pretreatment are less likely to achieve tobacco independence and more likely to slip or relapse (Brandon, Vidrine, & Litvin, 2007).
- » Nicotine withdrawal symptoms include depressive symptoms. FDA-approved pharmacotherapies reduce withdrawal and can lessen depressive responses to quitting.

Bipolar Disorder

Few studies have been done on co-morbid smoking and bipolar disorder, but the prevalence rates from these studies are estimated to be a high of 60%-70% (Kalman et al, 2005). No studies have been done on the treatment of smoking in this disorder.

Anxiety Disorders

There is a wide variation of prevalence rates estimated among people with different types of anxiety disorders.

- » Panic disorder has estimated smoking prevalence rates of 19%-56%.
- » Obsessive-compulsive disorder has the lowest estimated rates of anxiety disorders with a range from 8% to 22%.
- » Post-traumatic stress disorder (PTSD) has the highest estimated prevalence rates especially among combat veterans, 53%-66%. Also, among women diagnosed with PTSD related to physical and sexual assault, a smoking prevalence rate of 44% was found as compared to 26% of women without PTSD.
- » Exposure to trauma (e.g. verbal, physical, or sexual abuse; divorce; incarcerated household member; substance abuse; mental illness) has found to be a significant risk factor for early onset of smoking.
(Kalman et al, 2005)

REFLECTIONS

Treatment of Tobacco Dependence in Individuals with Psychiatric Disorders

The same tobacco dependence treatment strategies recommended in this manual for the general population are recommended for use with people with co-morbid smoking and psychiatric disorders. Additional considerations for treatment are:

Psychiatric Medications

- » Provide pharmacotherapies that target the underlying pathophysiology of the psychiatric disorder that may contribute to susceptibility for smoking.
- » For individuals with depression, bupropion SR and nortriptyline, are also effective in treating depression. The clinician should assess whether or not the patient is using any other form of bupropion (Zyban®, Wellbutrin®) when considering use of bupropion SR to aid a patient in quitting smoking (Fiore et al., 2008).
- » For individuals with schizophrenia, atypical antipsychotic agents such as clozapine has shown to be associated with a reduction in cigarette smoking. Also, atypical psychotic agents combined with a nicotine patch and bupropion have enhanced abstinence rates (Kalman et al., 2005).

Antidepressants and Neuroleptics

The clinician should recognize that tobacco use and/or tobacco cessation may affect the metabolism of individuals using psychiatric medications. Clinicians should closely monitor the level of effects of psychiatric medications in smokers making a quit attempt.

- » Tobacco use may increase the rate of drug metabolism of psychiatric medications (Hughes et al., 2007).
- » Cessation may cause increased plasma drug levels for some antidepressants (e.g., desipramine, doxepin) and neuroleptics (e.g., haloperidol, olanzapine) (Hughes et al., 2007).

Chemical Dependency and Tobacco

Chemically dependent people (e.g. addicted to alcohol or drugs such as cocaine, opioids, or marijuana) have smoking rates that are well above those for the average population, **exceeding 70 percent**.

Chemically dependent individuals tend to be heavy nicotine dependent smokers. The risk of death is significantly higher for individuals with co-morbid addictions of alcohol and nicotine than for individuals who abuse only one of the above addictions (Ranney et al., 2006).

Considerations for Tobacco Dependence Treatment and Chemical Dependency

“The fact that a substantial proportion of alcohol-and opioid-dependent patients die of tobacco-related illness supports the assertion that smoking cessation services be rendered when these patients present to addiction treatment programs, as this offers a “teachable moment” (Kalman et al. 2005).

Multiple strategies such as nicotine replacement therapy in conjunction with counseling that follow the Public Health Service Guidelines are effective in treating tobacco dependence in patients with alcohol or other chemical dependencies.

- » Although some substance abuse treatment providers argue that tobacco dependence treatment should be provided after the person achieves sobriety, evidence indicates that treatment for tobacco dependence can be provided concurrent with treating other chemical dependencies.
- » Evidence indicates that tobacco dependence interventions do not interfere with recovery from chemical dependency.
- » There is little evidence that patients with other chemical dependencies relapse to other drugs when they stop smoking (Fiore et al., 2008).

REFLECTIONS



Nutrition

Nutrition, exercise, and living a healthy lifestyle (without commercial tobacco, drugs, or alcohol) are all part of a traditional, spiritually-based lifestyle. However, American Indian/Alaska Native people who live in rural areas or on reservations may not have access to fresh fruit and vegetables because these items may not be stocked in the local stores, or, if stocked, may be expensive. For these reasons it is important to stay in touch with the community leaders who know what is going on and who support a wellness program. This is the key to the success of the Zuni wellness program: it was started and continues at a grassroots level.

Dietary Guidelines

There is a growing body of evidence which demonstrates that following a diet that complies with the **Dietary Guidelines** may **reduce the risk of chronic disease**.

Recently, it was reported that dietary patterns consistent with recommended dietary guidance were associated with a lower risk of mortality among individuals age 45 years and older in the United States (Kant, et. al., 2004).



(California Adolescent Nutrition and Fitness Program, n.d.)

Smoking Impacts Nutritional Health

Smoking negatively affects nutritional health status in several ways and is considered a nutritional risk. Commercial tobacco users tend to have a higher risk of chronic diseases including, heart disease, by increasing their cholesterol and lowering the amounts of “good cholesterol” High Density Lipoproteins (HDL). Women who use commercial tobacco have lower levels of calcium, increasing their risk of osteoporosis. Smoking also increases the occurrence of cancers in all parts of the digestive tract and a risk of stomach and intestinal ulcers.

Dietary Habits Accompanied with Tobacco Users are Different

Tobacco users may also have different dietary habits that affect their nutrition levels and ultimately their overall health (Preston, 1991). Tobacco users have lower vitamin A and C intakes, are less likely to take supplements and eat high fiber foods. The following table shows how vitamin and mineral uptake is affected in tobacco users.

Tobacco Use and Nutrition Physiology
Vitamin A
<ul style="list-style-type: none">» Lower blood levels of beta carotene and vitamin A» Broken down at a faster rate» Related to current smoking, number of cigarettes daily, number of pack years» May need supplementation
Vitamin C
<ul style="list-style-type: none">» Blood levels of ascorbic acid are 25%–40% lower in tobacco users» May need supplementation and/or increased intake of vitamin C–rich foods
Selenium and B12
<ul style="list-style-type: none">» Chemical in tobacco (cadmium) decreases the bio-availability of selenium» Tobacco use lowers blood and tissue levels of B12, related to the detoxification of cyanide in tobacco smoke
Calcium
<ul style="list-style-type: none">» Calcium levels are decreased» Estrogen and possibly other hormone levels are also decreased, which leads to osteoporosis later in life
Cholesterol Levels
<ul style="list-style-type: none">» Smoking increases Low Density Lipoprotein (LDL) cholesterol» Smoking lowers High Density Lipoprotein (HDL) cholesterol

REFLECTIONS

Cessation and Weight Gain

The majority of smokers will gain weight after quitting smoking. Once a former smoker relapses and begins smoking at pre-quit levels, he or she will usually lose some, if not all, of the weight gained associated with quitting.

- » Most gain fewer than 9 pounds (Abrams et al., 2003).
- » Women tend to gain slightly more weight than men.
- » The belief that little can be done about post-quit weight gain is difficult to address clinically because these beliefs are congruent with research findings (Fiore et al., 2008).
- » Even if smokers do not increase their caloric intake upon quitting, they will gain some weight.

Major Weight Gain

- » Occurs in a minority of those who quit; individuals may gain as many as 30 pounds (Shraim, Parsons, Aveyard, & Hajek, 2006).
- » Effective methods to weight control are needed for smokers preparing to quit, especially if they are sedentary and overweight.

Prerequisites to Controlling Weight Gain AFTER Smoking Cessation

The clinician should:

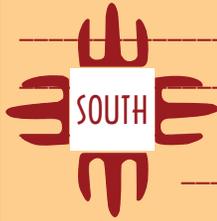
- » Acknowledge that quitting smoking is often followed by weight gain (note that the health risks of weight gain are small when compared to the risks of continued smoking).
- » Recommend that individuals concentrate primarily on quitting smoking, not weight control, until ex-smokers are confident that they will not return to smoking.

REFLECTION

Action



THINKING – What information will help me to understand the health risks of commercial tobacco in my community?



PLANNING – What can I do?



INITIATING A PLAN – How will I do it?



EVALUATING AND MAINTAINING – How will I know that the program is working?

EDUCATION, POLICY, COMMUNITY

UNIT EIGHT

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Broadening the Reach

Successful treatment for tobacco dependence must have a broad buy-in at each level in the system.

Commitment from decision-makers and opinion leaders in the community is essential for success.

Linkage with the community through a wellness

program that supports tobacco dependence treatment is a key to further integration of services and treatment. If such a wellness program does not exist, explore what you can do to help the community begin a program or to include wellness as part of an existing program. Be vigilant for individuals who support commercial tobacco control efforts and who are respected in the community. Engage their support in determining what works in reaching the community. Secure time on the schedule for the next community/tribal meeting (do not be discouraged if this takes a while) to speak about why addressing tobacco dependence is important to the health of the community. Solicit advice from the local leaders regarding the best way to approach this public health problem. Maintain your spirit and optimism; working with American Indian/Alaska Native communities begins by establishing a relationship and developing trust. Building trusting relationships takes time! Word will get around in the community about your intentions. It takes time for people to decide what they think about your cause and about your intentions. Once trust is established, community support will follow.

Systematically teach the patients who come to see you about the public health importance of living a life free from commercial tobacco. American Indian/Alaska Native patients indicate that doctors do not emphasize this health advice nor do they assess for commercial tobacco use during visits. One way for health and human service providers to remember to address commercial tobacco use is to refer to a **poster** on their office wall. As previously noted, the poster should be eye-catching



and integrate the message of being tobacco free as part of a healthy spiritual lifestyle based on traditional values. It is often more comfortable for an American Indian/ Alaska Native patient to respond to a question like, “What do you think about what this poster has to say?” than to be confronted with personal questions that put him or her on the spot.

A discussion can proceed from questions about the poster, such as:

Clinician: “What do you think about this poster?”

Client/Patient: “It’s a good idea to live that way.”

Clinician: “Do you use commercial tobacco?”

Client/Patient: “Well, sometimes...”

Clinician: “Have you ever thought that using commercial tobacco keeps people from living healthy lives according to traditional values?”

Do not push, because this is considered to be rude and intrusive. Instead, take the perspective that you have planted the seed by giving the person something to think about. This is the respectful way to proceed. End by being sure that the person knows where to come for help if he or she desires it.

Health Teaching About the Importance of Tobacco Dependence Treatment through Grassroots Linkages

Leadership through Grassroots: Talk to the leaders you have linked with in the community about a plan to create a system that keeps community members healthy by abstaining from commercial tobacco use. Isolation is a major factor in morbidity and mental health problems for all people, but it is even more pronounced among American Indian/Alaska Native people because community is vital to a sense of well-being. Group identity and harmony, rather than individual achievement, are key values for





American Indian/Alaska Native people. This is why it is essential to enlist community efforts to establish a support network for people trying to abstain from commercial tobacco use. Remember that success depends on community cooperation, support, and leadership.

Make several presentations in the community, because people need time to think over what you discussed during your initial presentation. As a sign of respect for their community and elders, Native people are taught to remain quiet, to think before they speak, and to consult with family before acting. Other commonly held values among American Indian/Alaska Native people include group decision by discussion and consensus and longer contemplation of ideas after a presentation (Hendrix, 2006).

REFLECTIONS

Key Instruments for Community Change

It is important to remember that clinical tobacco dependence treatment does not occur in isolation. Both traditional and contemporary cultural norms in a community will determine whether people want to quit and what approaches will work. Key instruments to support community change include education and policy. Education can take the form of health curricula in schools, public service announcements in various media, presentations to local leaders, health fairs, and powwows. Counter-advertising campaigns have been quite effective in a number of states. Policy changes that have been shown to work include smoke-free building and campus laws, restrictions on youth access, and taxation.

Health Influencers as Conduits for Systems Change

Concerned persons within health and human service systems may be recruited as internal health influencers to support implementation of tobacco dependence treatment programs. Experience suggests that resources beyond those ordinarily present in the clinical practice setting are keys to initiating and developing tobacco dependence treatment services. It is important to support clinicians and non-clinicians by establishing efficient methods to refer patients to tobacco dependence treatment services and by providing the evidence-based system-wide interventions needed to develop and maintain the skill level of clinical staff. There are clinicians and non-clinicians (e.g. health educators and lay community health workers) who are responsible for health and lifestyle changes at the point of care. Often they spend more time with patients than a primary care provider does. These health influencers have the potential to assist in a system-wide integration of the prevention and treatment of tobacco dependence.



Tobacco Dependence Treatment Education Programs

CONTENT of an Educational Tobacco Dependence Treatment Certification Program supports the recommendations of the U.S. Public Health Service Clinical Practice Guideline: *Treating Tobacco Use and Dependence: 2008 Update* and the USDHHS U.S. Interagency Committee on Smoking and Health's (ICSH) National Action Plan for Tobacco Cessation.

The Program incorporates a stepped-care capacity building model to build sustainable capacity at the local level. The model recognizes differing contexts, intensities, and professional roles in the delivery of tobacco dependence treatment services to:

1. Ensure that persons delivering tobacco cessation services have received education and certification consistent with current best practices referenced above and have demonstrated competency in core skills associated with these practices.
2. Enhance the capacity of communities to provide tobacco cessation services at multiple levels of intensity.
3. Promote at the community level, as well as the healthcare system level, self-sustaining networks for delivery of affordable, accessible, and effective cessation services.

Common Elements of Practical Supportive Counseling	<ul style="list-style-type: none"> » Provides basic information about tobacco use and quitting » Encourages patient in the quit attempt » Communicates caring and concern » Encourages patient to talk about the quitting process 	
Common Elements of Problem-Solving Skills/Practical Counseling	<ul style="list-style-type: none"> » Basic information » Identification of danger situations » Coping skills 	
Pharmacotherapy	<ul style="list-style-type: none"> » Nicotine Replacement Therapy: gum, patch, lozenge, inhaler, nasal spray » Over the Counter Medications: bupropion SR, varenicline 	
Minimal Topics Set	<ul style="list-style-type: none"> » Tobacco-related statistics » Simple quit plan components » Slip & relapse » Relapse prevention » Levels of intensity in treatment interventions » Health consequences of tobacco use » Health consequences of ETS » Elements of follow-up 	<ul style="list-style-type: none"> » Five A Model » Motivational interventions » Assessing nicotine dependence » Science of nicotine addiction (e.g., biological, psychological, and sociocultural aspects of tobacco dependence) » Assessing a client's willingness to quit (stages of change)
Minimal Skills-Based Demonstrations	<ul style="list-style-type: none"> » Intervening with individuals unwilling to quit, demonstrating evidence-based methods and techniques » Intervening with individuals willing to quit, demonstrating evidence-based methods and techniques 	

U.S. Public Health Service Clinical Practice Guideline: *Treating Tobacco Use and Dependence: 2008 Update* (Fiore et al., 2008)
 Recommendation: All clinicians and clinicians-in-training should be trained in effective strategies to assist tobacco users willing to make a quit attempt and to motivate those unwilling to quit at this time. (Strength of Evidence = B)

National Action Plan for Tobacco Cessation (Fiore et al., 2004)

Recommendation 5: Invest in tobacco training infrastructure and education to ensure that all clinicians and non-clinicians in the U.S. have the knowledge, skills and support systems in place to intervene with their patients in helping them quit tobacco use.

The Tobacco Dependence Treatment Education Program is evaluated based upon the Model of Social Cognitive Learning Theory/Self-Efficacy and Theory of Planned Behavior. Critical predictors of behavior change include self-efficacy, knowledge, and skills competency.



REFLECTIONS

- » Discuss costs and benefits of tobacco-free communities.
- » If you are just starting out, do your first presentations in places where you are known and respected.
- » Stay within your time lines.
- » Make compelling calls for action that will motivate participation in educational venues.

System-Wide Policies and Procedures

Aggressive policies and procedures are the template for the prevention and treatment of tobacco dependence in health and human service settings. In order to succeed, new procedures must be practical, make the best use of staff and clinician/non-clinician time, and be compatible with existing routines. It is the role of leadership to introduce models for implementation based on the U.S. Public Health Service Clinical Practice Guideline: *Treating Tobacco Use and Dependence: 2008 Update* and to assist clinical staff in adapting those models to their healthcare facility or practice setting. Policies and procedures related to tobacco dependence treatment and prevention are routinely monitored through quality assurance.

Plan of Action: Tobacco-Free Campuses

The Division of Epidemiology and Disease Prevention provides an extensive Tobacco-Free Policy at www.ihs.gov/MedicalPrograms/Epi. You will want to refer to this as your guideline for establishing a tobacco-free campus.

The implementation of the U.S. Department of Health and Human Service Indian Health Service Tobacco-Free Policy is a component of a larger initiative to improve the health and wellness of employees, patients, and visitors.

Before full implementation of a tobacco-free environment initiative can occur, a clear plan must be designed that will encourage a



REFLECTIONS

Tobacco-Free Campus Policy

Policy

(Company Name) _____ is promoting the wellness of our employees by incorporating a total tobacco-free campus, effective [date].

Purpose

The health hazards of smoking have been well documented as they impact both the smoker and the non-smoker who is exposed to environmental tobacco smoke. It is our intent to provide all employees with an environment conducive to good health and a productive atmosphere.

Applicable to

This policy is applicable to all employees, contractors, guests, and visitors while on the property or in any of our _____ based locations.

All employees, contractors, and visitors are prohibited from smoking cigarettes, pipes, cigars, and/or chewing tobacco on site at (Company Name) _____. On site is defined as inside all (Company Name) _____ facilities, as well as on the grounds and parking lots, and inside company-owned and personal vehicles on company property.

Employee noncompliance with the policy will result in disciplinary action, up to and including termination from employment. Human Resources will review all cases of noncompliance with this policy, and any action taken will be in accordance with established company policies and procedures.

Any questions regarding this policy should be referred to the appropriate Human Resources representative.

Smoke-Free Policy

Date _____

A Model Plan for Staff to Implement These Changes Must Start With

- » Including **all** staff as **authentic** partners
- » Agreement on goals and timetable
- » Careful planning and emphasis on education
- » Establishment of policies and procedures
- » Support at the top and a cooperative spirit
- » An understanding that staff must be tobacco free during the hours of employment
- » Using treatment (interventions and consciousness-raising, **not** coercion) when dealing with tobacco issues
- » Taking pride in the policy
- » Patience: keeping all eyes on the prize



The Components of a Model Timetable for Implementing Tobacco-Free Changes	
2 Years	Obtain cooperation, agreement, and support of administration and medical leadership and inform staff.
2 Years to 6 Months	Hold regular in-service education programs with staff, encourage staff who smoke to quit, develop policies and procedures (regarding violations, consequences, Nic Anonymous meetings, visitor restrictions [dress, smell], and staff restrictions).
6 Months	Initiate weekly tobacco education groups for patients and make available self-help materials for patients and staff.
5 Months	Increase intensity of staff education and support staff attendance at relevant conferences.
4 Months	Discuss imminent changes with other departments: admissions, housekeeping, security, maintenance, administration, and dietary, to ensure their support and cooperation.
3 Months	Policies and procedures finalized and accepted, staff members aware of the changes, smoking areas reduced in size, purchase a carbon monoxide monitor (detects patient CO levels in relationship to healthy levels).
2 Months	Unit medical director discusses plans with physicians; current patients are informed of changes and process feelings, begin a second weekly group on smoking cessation.
1 Month	Patients informed that smoking will be eliminated.
3 Weeks	Support department plans finalized: Dietary: provide carrot sticks, juices Housekeeping: deep cleaning Security: discourage entry of contraband; advise and refer based on policy and health warnings Maintenance: research smoke detectors in the bathrooms Activity Therapy: plan extra-morning exercise group Admissions: discuss smoke-free policy with all new patients and families prior to admission Pharmacy: order a supply of nicotine replacement therapies Medical/Nursing Staff: familiarize themselves with carbon monoxide monitor operation; practice Five A Model intervention; ensure placement of information and referral packets
2 Weeks	Begin informing new patients of the tobacco-free policy prior to admission and hold community meetings for patients to discuss policy.
1 Week	Be aware that problems can start (patient and staff discontent, staff question wisdom of policy).
Start Day	Collect all cigarettes and lighters

REFLECTIONS

Thinking in Action: Organize a Roundtable Session

As part of the strategic planning process for the creation of systems change, it can be helpful to hold roundtable sessions. These can be in the form of either large or small group discussions.

For A Large Group Discussion:

- » Involve key opinion leaders within the health and human service organization.
- » Identify tobacco prevention and treatment resources currently in place within the organization.
- » As a team, create plans for linking with external/internal tobacco dependence treatment resources:
 - » Health and human service professional continuing education, certification, and training
 - » Face-to-face practical counseling and telephonic services
 - » Pharmacotherapy aids and discounted medications

For A Small Group Discussion:

Break out into small groups with members of your work unit/team.

- » Select a facilitator and a reporter for the group (may be the same person).
- » Discuss what you currently provide to support tobacco-use prevention and/or treatment.
- » Group-think activities that your unit/team can take on to improve tobacco treatment services, such as:
 - » Listing three activities that the group agrees upon
 - » Identifying next steps needed
 - » Establishing a time frame to implement activities
 - » Describing additional resources or education needed
 - » Summarizing reports from small groups

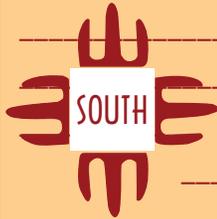


REFLECTION

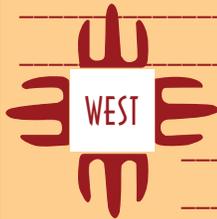
Action



THINKING – What information will help me to understand the health risks of commercial tobacco in my community?



PLANNING – What can I do?



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EVALUATING AND MAINTAINING – How will I know that the program is working?

GPRA, CODING AND BILLING

ENZYME

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REFLECTIONS

Impact of GPRA Measures on IHS Budget

Attaining goals on GPRA measures helps the IHS budget. National goals for these measures are to meet 82% of these GPRA measures. The annual goal is to maintain or improve the % met of the previous GPRA year. In addition, Service Units are advised to set and track local goals quarterly, and devise a working plan to improve.

Meeting or improving the annual GPRA measures determines the budget from Office of Management and Budget (OMB). When developing IHS budget allocations, the Office of Management and Budget considers the following metrics:

- **Meeting GPRA goals** and a comprehensive analysis from the Performance Assessment Rating Tool (PART)
- **Previous IHS budgets** and whether or not it has changed throughout the years. If the budget has changed, has it increased or decreased?
- **User Population:** the number of clients seen at least once in the past three years
 - » Identify growth trends (increases, decreases, no changes)

WHAT DO THE NUMBERS MEAN?

GPRA measures are critical for evaluating trends over time, as well as comparing current figures to target numbers and local and national averages. **The overall outcome of improving GPRA measures is the improvement of quality patient care.**

Once a system-wide plan is established, it is important to address opportunities and challenges to the implementation of the tobacco use and dependence treatment model within health and human service settings. There are several considerations in planning that will help organizations to promote system-wide tobacco dependence treatment interventions with health and human service administrators, clinicians



REFLECTIONS

- » Patients with LDL cholesterol during the Report Period
- » Patients with a Pap smear in the past 3 years
- » **Performance Measure:** The combination of one denominator and one numerator.
 - » Active Clinical patients 50 or older who have received or refused an influenza immunization during the Report Period
 - » Performance Measure Rate: Calculated by dividing the numerator by the denominator
 - » Note: Some performance measures are counts vs. rates, such as # of topical fluoride applications, dental sealants

Performance Measure Logic: The codes and conditions that are checked when determining if a patient meets the denominator and numerator definitions.

Tobacco Specific Measures Example

Tobacco Cessation/Intervention:

Denominator:

- **GPRA:** Active Clinical patients identified as current tobacco users prior to the Report Period, broken down by gender and age groups: <12, 12-17, 18 and older.

Numerators:

- **GPRA:** Patients who have received or refused tobacco cessation counseling or **received a prescription for a smoking cessation aid** during the Report Period. Documented by TO/SHS ed codes, clinic code 94, dental code 1320, CPT codes G0375-G0376-4000F.
 - » Patients who refused tobacco cessation counseling via refusals to TO or SHS education codes

Patients identified during the Report Period as having quit their tobacco use. Identified via Previous Use Health Factors, POV code 305.13.



REFLECTIONS

Relationship Between GPRA and CRS

The IHS Director has designated the Clinical Reporting System (CRS) as the national tool for reporting of all GPRA clinical measures. The following details reporting requirements by facility:

- **Federal (IHS) facilities** are required to use CRS for GPRA reporting.
- **Urban facilities in the CAO** are required to use CRS for GPRA reporting.
- **Urban facilities in other Areas** are not required to use CRS; however, more are using it because CRS makes reporting easier.
 - » **Tribal facilities** are not required to use CRS but are encouraged to use it.

Brief Overview of Clinical Reporting System (CRS) Version 8.0

- » **Split into 2 separate reports:** *National GPRA report & Other Clinical Measures*
- » **Patient Lists:** Reports will have patient lists where user can choose to include patients meeting the measure, not meeting the measure, or both
- » **Area Aggregate Reports:** Available for both the National GPRA & ONM Reports
- » **Quarterly Reporting:** Sites will be asked to export data for both the National GPRA and Other National Measures Reports



REFLECTIONS

- » Medicare Part B 2005, expanded coverage to include intermediate and intensive smoking cessation counseling for inpatients and outpatients (www.medicare.gov)
- » Medicare Part D 2006, included coverage of prescribed medications for tobacco cessation (www.medicare.gov)
- » Government Performance and Results Act (GPRA) 2006, included tobacco dependence treatment ([www.ihs.gov/NonMedicalPrograms/PlanningEvaluation/documents/2006%20National%20Summary \(Public013007\).pdf](http://www.ihs.gov/NonMedicalPrograms/PlanningEvaluation/documents/2006%20National%20Summary%20(Public013007).pdf))

Joint Commission on Accreditation of Healthcare Organizations

In 2002, the Joint Commission on Accreditation of Healthcare Organizations (JC) standardized its core performance measures to compare actual results of care across hospitals. Assessment of performance measures now includes 1) identification of adult smoking history at the time of hospital arrival; 2) for adults diagnosed with acute myocardial infarction, congestive heart failure, and/or pneumonia, identification of history of cigarette smoking during the year prior to hospital admission; 3) documentation of principal or other ICD-9-CM diagnosis codes; and 4) retrospective review of administrative data and medical records. Minimum JC counseling requirements include the documentation of one of the following:

- » Advising patients to refrain from smoking, whether or not currently smoking
- » Requiring patients to view a smoking cessation video
- » Providing patients with brochures on smoking cessation
- » Providing patients with pharmaceutical cessation aids, such as the nicotine patch or bupropion SR

JC evaluates the quality and safety of care of more than 16,000 healthcare organizations. To maintain and earn accreditation, organizations must receive an extensive on-site review by a team of JC



REFLECTIONS

Many Medicaid patients who use tobacco can obtain financial and medical assistance for tobacco cessation treatments. Thirty-six states, as well as the District of Columbia, now cover one or more treatments for tobacco dependence. To learn more about Medicaid coverage in your state, contact your state Medicaid agency (Theobald & Jaén, 2006).

Coding Information

Documentation for a Tobacco Dependence Treatment Visit

Documentation of tobacco dependence treatment can be a complex and confusing subject, but it can be broken down by following a few simple rules. Specific information about tobacco use is documented in the medical record for a number of reasons:

- » To enable RPMS collection and data management to document the Five A's
- » To document data elements to support billing for the services you provided

In addition to these reasons, documentation is important for performing quality assessment, improvement, and management. Standardization of documentation will assist in data sharing with other clinical information systems.

Documentation required by Resource and Patient Management System (RPMS)

Individual Cessation Visits

There is specific information that Resource and Patient Management System (RPMS) needs to organize patient information in the system. This enables RPMS to share that information with many packages and applications. RPMS requires three items when you add any information to a patient's record:



REFLECTIONS

- » Patient name/identifier
- » Creation of a visit/encounter
- » Purpose of visit: This is documented using an ICD9 code. The International Classification of Diseases–9th Revision–Clinical Modification (ICD-9-M) is the official system of assigning codes to diagnoses and hospital procedures in the United States. Even though you use an ICD9 code, a purpose of visit is not the same as a diagnosis - it just tells why the patient came in for the visit.

ICD-9-CM Diagnosis Codes for Tobacco Cessation

- » 305.1 Tobacco Use Disorder
- » 305.1 Tobacco Dependence
- » V 15.82 History of Tobacco Use

For reimbursement, a patient's condition that is adversely affected by tobacco use must also be documented as the primary diagnosis AND/OR the metabolism or dosing of a medication being used to treat a patient's condition must be documented as being adversely affected by his or her tobacco use.

Billing Codes

Two Healthcare Common Procedure Coding System (HCPCS) codes may be used to bill for tobacco-use cessation counseling:

G0375 Tobacco-use cessation counseling visit; intermediate, greater than 3 minutes and less than 10 minutes.

G0376 Tobacco-use cessation counseling visit; intensive, greater than 10 minutes.

Claims for tobacco-use cessation counseling should be submitted with the appropriate diagnosis code (ICD-9-CM). Diagnosis codes should reflect the condition that is adversely affected by the use of tobacco or the medication that is affected by the use of tobacco.

REFLECTIONS

Other ICD-9-CM Codes for Tobacco-Related Diseases Include:

989.84	Toxic effect of tobacco
786.00	Dyspnea
786.20	Cough
786.40	Abnormal sputum
465.90	Infection, upper airway
786.50	Chest pain
794.20	Reduced vital capacity
496.00	Chronic airway obstruction
492.80	Emphysema, obstructive
493.00	Asthma
491.20	Chronic obstructive pulmonary disease (COPD)

As of January 2006, Medicare Part D covers prescribed smoking cessation medications. Medicare requires public reporting of smoking cessation counseling for hospitalized patients with acute myocardial infarction, congestive heart failure, and/or pneumonia. In 2005, Medicare instituted a penalty of 2.0% reduction in annual payments for failure to report. Your local hospital's data can be viewed on the public reporting website at www.hospitalcompare.hhs.gov.

When Private Health Plans cover tobacco cessation counseling, physicians can bill for it using the ICD-9-CM code for tobacco dependence, 305.1, along with the appropriate Current Procedural Terminology (CPT) code.



REFLECTIONS

Three Key Components:

- » a detailed problem focused history
- » a detailed problem focused examination
- » medical decision making of low complexity (usually 30" of face-to-face with patient)

99204

New Patient-(E & M) code for the visit as appropriate (add a modifier of 25 to indicate Tobacco Dependence Treatment)

Three Key Components:

- » a comprehensive history
- » a comprehensive examination
- » medical decision making of moderate complexity (usually 45" of face-to-face with patient)

99205

New Patient-(E & M) code for the visit as appropriate (add a modifier of 25 to indicate Tobacco Dependence Treatment)

Three Key Components:

- » a comprehensive history
- » a comprehensive examination
- » medical decision making of high complexity (usually 60" of face-to-face with patient)

99211

Established Patient--(E & M) code for the visit as appropriate (add a modifier of 25 to indicate Tobacco Dependence Treatment). "Usually the presenting problems are minimal. 5 minutes are spent performing or supervising these services."

99212

Established Patient--(E & M) code for the visit as appropriate (add a modifier of 25 to indicate Tobacco Dependence Treatment)

Requires Two out of Three Key Components:

- » a problem focused history
- » a problem focused examination
- » straightforward medical decision making (usually 10" or less of face-to-face with patient)



REFLECTIONS

- 99213** New Patient-(E & M) code for the visit as appropriate (add a modifier of 25 to indicate Tobacco Dependence Treatment)
Requires Two out of Three Key Components:
- » an expanded problem focused history
 - » an expanded problem focused examination
 - » straightforward medical decision making (usually 15" of face-to-face with patient)
- 99214** New Patient-(E & M) code for the visit as appropriate (add a modifier of 25 to indicate Tobacco Dependence Treatment)
Requires Two out of Three Key Components:
- » a detailed problem focused history
 - » a detailed problem focused examination
 - » medical decision making of low complexity (usually 25" of face-to-face with patient)
- 99215** New Patient-(E & M) code for the visit as appropriate (add a modifier of 25 to indicate Tobacco Dependence Treatment)
Requires Two out of Three Key Components:
- » a comprehensive history
 - » a comprehensive examination
 - » medical decision making of high complexity (usually 40" of face-to-face with patient)

* The modifier tells the payor (insurance companies) the physician or clinician provided significant separately identifiable evaluation & management service on the same day of other service (e.g. they came in for diabetes and the clinician addressed diabetes and also addressed the tobacco abuse) A sample code would be 99215-25.

Tobacco Dependence Treatment As Specific Counseling And/Or Risk-Factor Reduction Intervention:

- 99401** Preventive medicine–individual (approx. 15 minutes)
- 99402** Preventive medicine-individual (approx. 30 minutes)
- 99403** Preventive medicine-individual (approx. 45 minutes)
- 99404** Preventive medicine-individual (approx. 60 minutes)

REFLECTIONS

Behavior Change Interventions, Individual

- 99406 Smoking & tobacco use cessation counseling visit: intermediate, greater than 3" up to 10"
- 99407 Intensive, greater than 10 minutes.
- 99411 Preventive medicine–group (up to 30 minutes)
- 99412 Preventive medicine–group (up to 60 minutes)
- 99078 Preventive medicine–physician education group (add a modifier of 25 to indicate Tobacco Dependence Treatment)

Psychiatric Therapeutic Procedures:

- 90804 Outpatient-Individual psychotherapy, approx. 20-30 minutes
- 90805 Outpatient-Individual psychotherapy, approx. 20-30 minutes with medical evaluation and management services.
- 90806 Outpatient-Individual psychotherapy, approx. 45-50 minutes
- 90807 Outpatient-Individual psychotherapy, approx. 45-50 minutes with medical evaluation and management services.
- 90808 Outpatient-Individual psychotherapy, approx. 75-80 minutes
- 90809 Outpatient-Individual psychotherapy, approx. 75-80 minutes with medical evaluation and management services.
- 90816 Inpatient Individual psychotherapy, approx. 20-30 minutes
- 90817 Inpatient Individual psychotherapy, approx. 20-30 minutes with medical evaluation and management services
- 90818 Inpatient Individual psychotherapy, approx. 45-50 minutes
- 90819 Inpatient Individual psychotherapy, approx. 45-50 minutes with medical evaluation and management services
- 90821 Inpatient Individual psychotherapy, approx. 75-80 minutes
- 90822 Inpatient Individual psychotherapy, approx. 75-80 minutes with medical evaluation and management services
- 90853 Other Group psychotherapy



Tobacco Dependence Treatment As Part Of An Oral Health Examination:

01320 Dental code

Indian Health Service Tobacco Use Patient Education Codes

TO- Tobacco Use Patient Education Codes	
TO-C	Complications
TO-CUL	Cultural/Spiritual Aspects of Health
TO-DP	Disease Process
TO-EX	Exercise
TO-FU	Follow-up
TO-HY	Hygiene
TO-IR	Information and Referral
TO-L	Literature
TO-LA	Lifestyle Adaptations
TO-M	Medications
TO-N	Nutrition
TO-P	Prevention
TO-QT	Quit
TO-S	Safety
TO-SHS	Second-Hand Smoke
TO-SM	Stress Management
-TO	Tobacco Education Topic
-SHS	Second-Hand Smoke Topic

REFLECTIONS

REFLECTIONS

Documenting Patient Education		
Education Code ▼	Provider Code ▼	Goal Set, Goal Met, Goal Not Met ▼
TO - QT - G - 123 - 5min - GS -	Quit Date Set For: mm/dd/yy	
▲ Level of Understanding Good, Fair, Poor, Refused	▲ Time (min)	▲ Free text comment

(From "Documentation of Tobacco Screening and Cessation Intervention," by M. Wachaca and C. Lamer. Copyright © 2006 by Indian Health Service. Adapted with permission of the authors. www.ihs.gov/NonMedicalPrograms/NC4 Documents/Tobacco2-06.pdf)

Billing Information

The ICD9/POV code is documented from the Resource and Patient Management System (RPMS) requirements and is an important piece for billing.

- » CPT Codes (Current Procedural Terminology) are used to describe a medical service that is provided. Some example CPT codes that are specific for tobacco use disorder services are:

CPT Code	Definition
G0375	Tobacco-use cessation counseling visit; intermediate, greater than 3 minutes and less than 10 minutes.
G0376	Document the E/M code for the visit as appropriate (99201-99215)

There are no E/M codes specific for tobacco use disorder interventions. E/M codes should be documented for the visit depending on the level of service provided and the setting in which it is provided. The E/M code should also include a modifier of 25. This shows that the E/M service is a separately identifiable service from the smoking and tobacco-use cessation counseling service.



REFLECTIONS

Bringing it all together.....

How the Five A's are Captured throughout the Documentation Process

Addressing the Five A's is an important part of reducing tobacco use dependence. These steps can be documented in Resource and Patient Management System (RPMS) using the following:

1. **Ask** all patients about smoking status and assess smoker's willingness to quit. Smoking status should be documented in the medical record. Document using the tobacco health factors:

Health Factor	Definition
Non-Tobacco User	Does not and has never used tobacco products
Current Smoker	Currently smokes tobacco (cigarettes, pipe, etc.)
Current Smokeless	Currently uses smokeless tobacco (chew, snuff, etc.)
Current Smoker and Smokeless	Currently uses both smoke and smokeless tobacco
Cessation Smoker	Is transitioning from a Current Smoker to a Previous Smoker. The time period between the stop date and the present date is less than 6 months.
Health Factor	Definition
Cessation Smokeless	Is transitioning from a Current Smokeless tobacco user to a Previous Smokeless tobacco user. The time period between stopping smokeless tobacco and the present date is less than 6 months.
Previous Smoker	Has quit smoking tobacco for 6 months or more
Previous Smokeless	Has quit smokeless tobacco for 6 months or more
Ceremonial Use Only	Uses tobacco for ceremonial or religious purposes only
Exposure to Environmental Smoke	Smoke Is exposed to environmental tobacco smoke at work or outside the home
Smoker in Home	Is exposed to environmental tobacco smoke at home
Smoke-Free Home	No exposure to tobacco smoke at home

REFLECTIONS

2. **Advise** all smokers to seriously consider making a quit attempt using a clear and personalized message. Advice as brief as 3 minutes is effective. Document using patient education codes:

TO - QT - G - XYZ - 5 min

- » "TO-QT" is the patient education code for "tobacco quit" and means that you advised the patient to quit, discussed that willingness and personal motivation are key components to quitting, reviewed the treatment, medication, and support options available to the patient/family, made referrals as appropriate, and review the value of frequent follow up and support during the first months of cessation.
- » "G" is the patient's level of understanding of the information:
 - » **Good** - Verbalizes understanding, verbalizes decision or desire to change (plan of action indicated), or is able to return demonstration correctly (G)
 - » **Fair** - Verbalizes need for more education, undecided about making a decision or a change, or return demonstration indicates a need for further teaching (F)
 - » **Poor** - Does not verbalize understanding, refuses to make a decision or needed changes, or unable to return demonstration (P)
 - » **Group** - Education provided in group and unable to evaluate individual responses (GR)
 - » **Refused** - Refuses or declines patient education (R)
- » "XYZ" is the provider's initials or code of who provided the education.
- » "5 min" is the amount of time (in minutes) that was spent providing education.

3. **Assess** all smokers' willingness to make a quit attempt. If not yet ready to quit, offer motivational intervention using 5 "R's" – relevance, risks, rewards, roadblocks, repetition.

REFLECTIONS

4. **Assist** those ready to make a quit attempt:

- » Set a quit date. Quit date abstinence is a strong predictor of long-term success
- » Give advice on quitting and provide supplementary materials
- » Prescribe pharmacologic therapy as appropriate

Steps 3 and 4 can be combined to document the patient's willingness to make a quit attempt and set a quit date as a goal added on to the education code documented in step 2 above.

If the patient is interested in making a quit attempt

TO - QT - G - XYZ - 5 min - GS - Quit Date set for Jan 1st

- » "GS" stands for goal set. In this case, the patient plans to quit tobacco use and has set a quit date for January 1st. The information following GS is an optional free text field in which you can enter brief pertinent information (up to 180 characters).

Provide additional materials, access to services such as Quitlines, and medication information as appropriate to assist in the quit attempt

TO - L - G - XYZ - 1 min

Tobacco literature (handouts)

TO - HELP - G - XYZ - 2 min

Tobacco Quitline information

TO - M - G - XYZ - 3 min

Medication education

If the patient is not interested in making a quit attempt

TO - QT - G - XYZ - 5 min - GNS - Not Interested Right Now

- » "GNS" stands for goal not set. In this case, the patient is unwilling or interested in quitting tobacco use. The information following GNS is an optional free text field in which you can enter brief pertinent information (up to 180 characters).
- » Provide and review additional written information to patients who are unwilling to quit and document using patient education codes. This may provide help to increase the patient's interest in attempting a quit attempt in the future.

TO - L - G - XYZ - 2 min

Tobacco literature (handouts)

TO - HELP - G - XYZ - 2 min

Tobacco Quitline information



HEALTH FACTORS

ASK ABOUT TOBACCO USE AND EXPOSURE

Ask the patient:

- Do you use tobacco products (cigarettes, snuff, dip, etc)?
- Do you use tobacco products for cultural or religious purposes?
- Are you exposed to tobacco smoke at work?
- Does anyone use tobacco products in or around the home?

Health Factor*	Definition
Non Tobacco User	Does not and has never used tobacco products
Current Smoker	Currently smokes tobacco (cigarettes, cigars, pipe, etc)
Current Smokeless	Currently uses smokeless tobacco (chew, dip, snuff, etc)
Current Smoker and Smokeless	Currently uses both smoke and smokeless tobacco
Cessation Smoker	Is transitioning from a Current Smoker to a Previous Smoker. The time period between stopping smoking and 6 months.
Cessation Smokeless	Is transitioning from a Current Smokeless tobacco user to a Previous Smokeless tobacco user. The time period between stopping smokeless tobacco and 6 months.
Previous Smoker	Has quit smoking tobacco for 6 months or more
Previous Smokeless	Has quit smokeless tobacco for 6 months or more
Ceremonial Use Only	Uses tobacco for ceremonial or religious purposes only
Exposure to Environmental Tobacco Smoke	Is exposed to second hand smoke at work or outside of the home.
Smoker in Home	Is exposed to second hand smoke at home
Smoke Free Home	There is no exposure to tobacco smoke at home

*More than one tobacco health factor may be documented.

RESOURCES

Phone Numbers

American Lung Association Call Center
1-866-QUIT-YES (1-866-784-8937) or
1-800-548-8252

Department of Health and Human Services
National telephone counseling quit line
1-800-QUITNOW (1-800-784-8669)
TTY 1-800-332-8615

Web Sites

CDC's Tobacco Information and Prevention Service
www.cdc.gov/tobacco/news/QuitSmoking.htm

NCI's Tobacco Information and Prevention Service
<http://www.cdc.gov/tobacco/news/QuitSmoking.htm>

American Lung Association – Tobacco Control
www.lungusa.org/site/pp.asp?c=dvLUK900E&b=22937

Smokefree.gov website sponsored by the NCI, CDC, and the American Cancer Society
www.ahrq.gov/path/tobacco.htm

Department of Health & Human Services:
www.surgeongeneral.gov/tobacco/

IHS Health Promotion and Disease Prevention:
www.ihs.gov/NonMedicalPrograms/HPDP/Index.cfm

American Academy of Family Physicians:
www.aafp.org/x27811.xml

Tobaccofree.org: www.tobaccofree.org/other.htm

Freedom From Smoking: www.lungusa.org/ffs/

Key Contacts

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IHS Tobacco Control Lead Consultant:
Mary Wachacha (Mary.Wachacha@ihs.gov)

Documentation of Tobacco Screening and Cessation Intervention



Five A's of smoking cessation counseling:

- Ask about tobacco use
- Advise to quit
- Assess willingness to make a quit attempt
- Assist in quit attempt
- Arrange follow-up

Last updated 8/1/2006

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PATIENT EDUCATION

TO-Tobacco Use Patient Education Codes

TO-C	Complications
TO-CUL	Cultural/Spiritual aspects of health
TO-DP	Disease Process
TO-EX	Exercise
TO-FU	Follow-up
TO-HY	Hygiene
TO-IR	Information and Referral
TO-L	Literature
TO-LA	Lifestyle Adaptations
TO-M	Medications
TO-N	Nutrition
TO-P	Prevention
TO-QT	Quit
TO-S	Safety
TO-SHS	Second-Hand Smoke
TO-SM	Stress Management
-TO	Tobacco Education Topic
-SHS	Second Hand Smoke Topic

Documenting Patient Education

Education Code	Provider Code	Goal Set, Goal Met, Goal Not Met
TO - QT - G - 123 - 5min - GS - will stop today		
Level of Understanding Good, Fair, Poor, Refused	Time (min)	Free text comment

HEALTHY PEOPLE 2010

Steps to ensure that good health, as well as long life, are enjoyed by all

Assess Cigarette Smoking in patients > 18 yrs

Target = assess in 12% of patients

Assess Spit Tobacco Use in patients > 18 yrs

Target = assess in 0.4% of patients

Assess Exposure to Environmental Tobacco Smoke in Non-Smokers > 4 yrs (especially those age 9-12 years)

Target = assess in 63% of patients

Document Tobacco Assessment

- Tobacco Health Factors (any)
- Purpose Of Visit (POV) - 305.1, V15.82
- Dental Code - 1320
- Tobacco Education codes (any)

Document Tobacco Cessation Counseling

- Patient Education
- Visit to clinic code 94 (tobacco clinic)
- Dental Code 1320
- Tobacco Health Factors (Cessation smoker, Cessation smokeless)
- Document Tobacco Cessation
- POV 305.13 (Quit smoking)
- Tobacco Health Factors (previous smoker, previous smokeless)

MEDICARE REIMBURSEMENT

Individual Cessation Visits

Medicare will pay for 2 tobacco cessation attempts in 12-month period, up to 4 face-to-face sessions per attempt. These sessions may occur in the outpatient or inpatient setting (note: CMS will not cover tobacco cessation services if tobacco cessation is the primary reason for the patient's hospital stay). Document the Diagnosis:

- ICD9-CM = 305.1 tobacco dependence
- Must also document a condition that is adversely affected by smoking or tobacco use, or that the metabolism or dosing of a medication that is being used to treat a condition the beneficiary has is being adversely affected by his or her smoking or tobacco use.

Two HCPCS codes may be used for billing:

- G0375 – Smoke/Tobacco counseling 3-10 minutes
- G0376 – Smoke/Tobacco counseling > 10 minutes

Individual Cessation Visits Occurring in Association with another Medical Condition

Document the E&M code for the visit as appropriate (99201-99215)
Add a modifier of 25 to indicate tobacco cessation counseling.

Group Tobacco Cessation Visits

Use Preventative Service Codes:
99411 or 99412 – Preventative Group Medicine Group Counseling or
99078 – Physician Education in a Group Setting
Add a modifier of 25 to indicate tobacco cessation counseling.

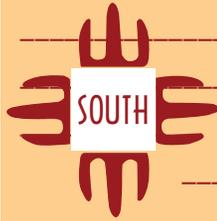
* Services administered by or facilitated by a CMS recognized provider

REFLECTION

Action



THINKING – What information will help me to understand the health risks of commercial tobacco in my community?



PLANNING – What can I do?



INITIATING A PLAN – How will I do it?



EVALUATING AND MAINTAINING – How will I know that the program is working?

RESOURCES



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National and International Quitlines and Cessation Programs

American Cancer Society (1-800-227-2345 or TTY: 1-866-228-4327)
(www.cancer.org/docroot/PED/ped_10.asp)

American Heart Association (1-800-AHA-USA-1 or 1-800-242-8721)
(www.americanheart.org)

American Legacy Foundation (1-800-4A-LEGACY)
(<http://americanlegacy.org>)

American Lung Association (1-800-LUNGUSA)
(www.lungusa.org)

Become An EX

Once you begin, you'll start to look at quitting not as one huge war but a series of small battles you can actual win. (www.becomeanex.org)

Great Start (1-866-66-START)

Links pregnant women who smoke with telephone counselors who understand their special needs and concerns. (<http://www.americanlegacy.org/2110.aspx>)

Circle of Friends (1-800-243-7000)

Developed for women who smoke, Circle of Friends emphasizes support from family and friends. (<http://americanlegacy.org/2108.aspx>)

Bob Quits

This reality-based program provides online videos and diary excerpts following a 35-year-old New Yorker through his quit attempts. (www.bobquits.com)

Mary Quits

This program provides online videos and diary excerpts following a Washington, D.C. woman working through her quit plan. (www.maryquits.com)

Health Dialog

Some health plans and employers contract with Health Dialog to provide telephone counseling and other services. Check with your insurance provider or Human Resources department regarding participation. (www.healthdialog.com)

National Cancer Institute (NCI) (1-877-44U-QUIT or TTY: 1-800-332-8615)

<http://www.cancer.gov/cancertopics/smoking>

National Network of Tobacco Cessation Quitlines (1-800-QUIT-NOW)

The North American Quitline Consortium web site helps users find quitlines throughout North America and Europe. (www.naquitline.org)

Nicotine Anonymous

Nicotine Anonymous offers referrals for group support and recovery using the 12 Steps, as adapted from Alcoholics Anonymous, to achieve abstinence from nicotine. (www.nicotine-anonymous.org)

QuitNet

This online cessation program hosts the world's largest community of smokers and ex-smokers helping each other quit. (www.quitnet.com)

Quit Wizard

This web-based program offers personalized planning tools for quit attempts, as well as support materials to help loved ones quit. (<https://quitwizard.makesmokinghistory.org>)

Stop Tabac

This web-based cessation program is available in English, French, Chinese, German, Danish, Italian, Georgian, and Serbo-Croatian. (www.stop-tabac.ch/en/welcome.html)

University of Arizona HealthCare Partnership

Offering evidence-based continuing education & certification programs to address health risk behaviors with an emphasis on the treatment and early intervention of tobacco use and dependence. (www.healthcarepartnership.org)

You Can Quit Smoking Now! (1-877-44U-QUIT or TTY: 1-800-332-8615)

Sponsored by several government agencies, including the National Cancer Institute, the Centers for Disease Control and Prevention, and the National Institutes of Health, this site offers cessation resources and referrals. (www.smokefree.gov)

Software for Handheld Computers

The National Cancer Institute's Handheld Computer Smoking Intervention Tool (HC-SIT) helps clinicians assist with smoking cessation counseling at the point of care. This software was developed in partnership with the Department of Family Medicine at the University of Virginia. (www.smokefree.gov/hp-hcsit.html)



IMPLEMENTING TOBACCO CONTROL into the PRIMARY HEALTHCARE SETTING

Cardiovascular Health Resources

Cardiovascular Health Program for Alaska Native Women

hpp.sagepub.com/cgi/content/abstract/6/4/472

Diabetes Resources

Awakening the Spirit: Pathways to Diabetes Prevention & Control

www.diabetes.org/communityprograms-and-localevents/nativeamericans.jsp

Educational Resources

Native Circle at Mayo

The Native CIRCLE is a resource center providing cancer-related materials to people involved in the education, care and treatment of American Indians and Alaska Natives. www.nativeamericanprograms.org/index-circle.html

Tobacco Education Clearinghouse of California

Over 400 tobacco education materials are available on this web site. (www.tobaccofreecatalog.org)

Elder Resources

Health and Health Care of American Indian and Alaska Native Elders

www.stanford.edu/group/ethnoger/americanindian.html

Native American Elders Health Care Series: The SHARE Project

<http://learn.sdstate.edu/Share>

National Resource Center on Native American Aging & University of North Dakota

www.med.und.edu/depts/rural/nrcnaa

Indian Health Service Resources

Indian Health Service

www.ihs.gov/MedicalPrograms/epi

Indian Health Service, Elder Care Initiative

www.ihs.gov/MedicalPrograms/ElderCare

The Indian Health Service Primary Care Provider

www.ihs.gov/PublicInfo/Publications/HealthProvider/provider.asp

Native Health Resources

National Congress of American Indians

www.ncai.org

Native American Cancer Research

www.natamcancer.org

Native Health Research Database

<http://hsc.unm.edu/library/nhd>

U.S. Public Health Resources

Surgeon General's Report on Health Consequences of Smoking (2006)

This site includes the Surgeon General's full report, summary, an animated slide show, and fact sheets. (www.cdc.gov/tobacco/sgr/sgr_2006/index.htm)

U.S. Public Health Service Clinical Practice Guideline:

Treating Tobacco Use and Dependence: 2008 Update. The Guideline offers behavioral and pharmaceutical techniques for treating tobacco dependence. (www.surgeongeneral.gov/tobacco)

U.S. Surgeon General Carmona's Testimony on Tobacco Harm Reduction

(www.surgeongeneral.gov/news/testimony/tobacco06032003.htm)

Rural Health Resources

California Rural Indian Health Board, Inc.

The California Rural Indian Health Board, Inc. (CRIHB) was formed in 1969. It is a network of Tribal Health Programs that enables healthcare provision to member Tribes in California, focusing on the needs and interests of the Indians of rural California.

www.crihb.org

Smokeless Tobacco Resources

CDC's Smokeless Tobacco Fact Sheet

www.cdc.gov/tobacco/smokeless/index.htm

National Cancer Institute (NCI) Most Recent Fact Sheet on Smokeless Tobacco

www.cancer.gov/cancertopics/factsheet/Tobacco/smokeless



IMPLEMENTING TOBACCO CONTROL into the PRIMARY HEALTHCARE SETTING

Youth Educational Resources

Campaign for Tobacco-Free Kids (CTFK)

CTFK serves as a resource for information on tobacco-related news reports, initiatives, and research findings. (www.tobaccofreekids.org)

Further Reading: Tobacco-Related Health Issues

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National Network

Inter-Tribal Council of Michigan www.tobaccopreventionnetworks.org

2956 Ashmun St.

Sault Ste. Marie, MI 49783-3720

Phone is 906-632-6896

Fax is 906-635-4212

Contact: Lisa Kerfoot lkerfoot@itcmi.org

CDC Funded Tribal Support Centers

Black Hills Center for American Indian Health www.bhcaih.org

605 W. Desmond Street
Winslow, AZ 86047
Phone: 928-289-6483
Contact: Peter Nez pfnez@bhcaih.org

California Rural Indian Health Board www.crihb.org

4400 Auburn Blvd.
Sacramento, CA 95841
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